

# ARKANSAS STATE HIGHWAY COMMISSION

PROPOSAL DOCUMENTS OF

*FOR THE CONSTRUCTION OF*

STATE JOB NO. 061457

FEDERAL AID PROJECT RPD-STPU-0043(29)

HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

STATE HIGHWAY 367 & 319 SECTION 1 & 14

IN LONOKE COUNTY

Bound herein are the Supplemental Specifications, Special Provisions, Proposal Documents and Schedule of Items applicable to this proposed construction contract.

Applicable to this proposed construction contract, but not bound herein, are the Arkansas State Highway Commission Standard Specifications for Highway Construction, Edition of 2014, and the Construction Plans.



## CAUTION TO BIDDERS

Please review Section 102 of the Standard Specifications, 2014 Edition for Bidding Requirements and Conditions. Mistakes or omissions can be costly. Important items for you to check are included in, but not limited to, those listed below. This checklist is furnished only to assist you in submitting a proper bid. Check as you read.

- Have you acknowledged all Addenda by email or fax?
- Is the unit price entered appropriate for the item?
- Have you entered a unit price for each bid item except in the case of authorized alternate pay items? (A zero bid (\$0.00) is a valid price and will be considered.)
- Have you checked the Schedule of Items for various pay items that may have a minimum or maximum unit bid price? (Refer to the Standard Specifications for further information concerning these items)
  - ✓ Asphalt Binder
  - ✓ Relocating Precast Concrete Barrier
  - ✓ Water
  - ✓ Mobilization
- Have you limited your bid for Mobilization to five percent (5%) of the subtotal?
- For Federal-aid projects, did you complete the Certification for Federal aid Contracts?
- Prior to submitting your bid, did you check for error messages, and are all the folders “green”?
- If submitting a paper copy of the Proposal Guaranty (Bid Bond) is it signed by an officer of your company **AND** the Surety Agent?
- Did you ensure your Proposal Guaranty (if you are submitting a paper bond) will arrive prior to the time and date stated on Page 2 of the Proposal Documents?

**1-17-08**  
**Revised: 6-1-09**  
**Revised: 2-15-12**  
**Revised: 1-15-15**  
**Revised: 5-26-16**  
**Revised: 11-17-17**

## **ARKANSAS DEPARTMENT OF TRANSPORTATION**

### **NOTICE OF NONDISCRIMINATION**

The Arkansas Department of Transportation (Department) complies with all civil rights provisions of federal statutes and related authorities that prohibit discrimination in programs and activities receiving federal financial assistance. Therefore, the Department does not discriminate on the basis of race, sex, color, age, national origin, religion (not applicable as a protected group under the Federal Motor Carrier Safety Administration Title VI Program), disability, Limited English Proficiency (LEP), or low-income status in the admission, access to and treatment in the Department's programs and activities, as well as the Department's hiring or employment practices. Complaints of alleged discrimination and inquiries regarding the Department's nondiscrimination policies may be directed to Joanna P. McFadden Section Head – EEO/DBE (ADA/504/Title VI Coordinator), P. O. Box 2261, Little Rock, AR 72203, (501)569- 2298, (Voice/TTY 711), or the following email address: [joanna.mcfadden@ardot.gov](mailto:joanna.mcfadden@ardot.gov)

Free language assistance for Limited English Proficient individuals is available upon request.

This notice is available from the ADA/504/Title VI Coordinator in large print, on audiotape and in Braille.

## TITLE VI CONTRACT PROVISIONS

### APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the “contractor”) agrees as follows:

(1) Compliance with Regulations: The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, Federal Highway Administration, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.

(2) Nondiscrimination: The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.

(3) Solicitations for Subcontracts, Including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.

(4) Information and Reports: The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the Federal Highway Administration to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

(5) Sanctions for Noncompliance: In the event of a contractor's noncompliance with the Nondiscrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the Federal Highway Administration may determine to be appropriate, including, but not limited to:

- (a) Withholding of payments to the contractor under the contract until the contractor complies, and/or
- (b) Cancelling, terminating or suspending a contract, in whole or in part.

(6) Incorporation of Provisions: The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the Federal Highway Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

## TITLE VI CONTRACT PROVISIONS

### APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

#### **Pertinent Non-Discrimination Authorities:**

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC§ 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures Non-discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of Limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681et seq).

Arkansas Department of Transportation  
Supplemental Specifications and Special Provisions Listing  
State Job Number 061457

The following supplemental specifications and special provisions for this project supplement the standard specifications, edition of 2014. In case of conflict, the supplemental specifications and special provisions shall govern.

ERRATA	ERRATA FOR THE BOOK OF STANDARD SPECIFICATIONS
FHWA-1273	REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS
FHWA-1273	SUPPLEMENT - EQUAL EMPLOYMENT OPPORTUNITY - NOTICE TO CONTRACTORS
FHWA-1273	SUPPLEMENT - SPECIFIC EQUAL EMPLOYMENT OPPORTUNITY RESPONSIBILITIES (23 U.S.C. 140)
FHWA-1273	SUPPLEMENT - EQUAL EMPLOYMENT OPPORTUNITY - GOALS AND TIMETABLES
FHWA-1273	SUPPLEMENT - EQUAL EMPLOYMENT OPPORTUNITY - FEDERAL STANDARDS
FHWA-1273	SUPPLEMENT - POSTERS AND NOTICES REQUIRED FOR FEDERAL-AID PROJECTS
FHWA-1273	SUPPLEMENT - WAGE RATE DETERMINATION
JOB SP	CARGO PREFERENCE ACT REQUIREMENTS
JOB SP	DOCUMENTATION OF PAYMENTS MADE TO DISADVANTAGED BUSINESS ENTERPRISES
JOB SP	ESTABLISHING CONTRACT TIME - WORKING DAY CONTRACT
JOB SP	BIDDING REQUIREMENTS AND CONDITIONS
JOB SP	MANDATORY ELECTRONIC CONTRACT
JOB SP	MANDATORY ELECTRONIC DOCUMENT SUBMITTAL
JOB SP	FLEXIBLE BEGINNING OF WORK
JOB SP	SOIL STABILIZATION
JOB SP	SUBMISSION OF ASPHALT CONCRETE HOT MIX ACCEPTANCE TEST RESULTS
JOB SP	PRICE ADJUSTMENT FOR ASPHALT BINDER
JOB SP	BROADBAND INTERNET SERVICE FOR ASPHALT CONCRETE PLANT
JOB SP	WARM MIX ASPHALT
JOB SP	BROADBAND INTERNET SERVICE FOR FIELD OFFICE
JOB SP	ACTUATED CONTROLLER
JOB SP	CABINET DRAWER ASSEMBLY
JOB SP	EMERGENCY BATTERY BACKUP SYSTEM INSTALLATION
JOB SP	RAILROAD PREEMPTION INTERCONNECT SYSTEM
JOB SP	SYSTEM LOCAL CONTROLLER
JOB SP	LED BLANK OUT SIGN
JOB SP	RETROREFLECTIVE BACKPLATES
JOB SP	ELECTRICAL CONDUCTORS FOR LUMINAIRES
JOB SP	ELECTRICAL CONDUCTORS-IN-CONDUIT
JOB SP	LED LUMINAIRE ASSEMBLY (BUG U0 TYPE)
JOB SP	LED TRAFFIC SIGNAL HEAD
JOB SP	SERVICE POINT ASSEMBLY (TRAFFIC CONTROL DEVICES)
JOB SP	THERMOPLASTIC PAVEMENT MARKING (YIELD LINE)
JOB SP	STREET NAME SIGN (MAST ARM MOUNTED)
JOB SP	EDGE CARD VIDEO PROCESSOR

Arkansas Department of Transportation  
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JOB SP	VIDEO DETECTOR (COLOR)
JOB SP	SHORING FOR CULVERTS
JOB SP	INSURANCE, CONSTRUCTION, AND FLAGGING REQUIREMENTS ON RAILROAD PROPERTY (UPRR)
JOB SP	STORM WATER POLLUTION PREVENTION PLAN
JOB SP	DELAY IN RIGHT OF WAY OCCUPANCY
JOB SP	UTILITY ADJUSTMENTS
SP 108-1	LIQUIDATED DAMAGES
SS 100-3	CONTRACTOR'S LICENSE
SS 100-4	DEPARTMENT NAME CHANGE
SS 102-2	ISSUANCE OF PROPOSALS
SS 108-2	WORK ALLOWED PRIOR TO ISSUANCE OF WORK ORDER
SS 110-1	PROTECTION OF WATER QUALITY AND WETLANDS
SS 210-1	UNCLASSIFIED EXCAVATION
SS 303-1	AGGREGATE BASE COURSE
SS 306-1	QUALITY CONTROL AND ACCEPTANCE
SS 400-1	TACK COATS
SS 400-4	DESIGN AND QUALITY CONTROL OF ASPHALT MIXTURES
SS 400-5	PERCENT AIR VOIDS FOR ACHM MIX DESIGNS
SS 400-6	LIQUID ANTI-STRIP ADDITIVE
SS 404-3	DESIGN OF ASPHALT MIXTURES
SS 410-1	CONSTRUCTION REQUIREMENTS AND ACCEPTANCE OF ASPHALT CONCRETE PLANT MIX COURSES
SS 410-2	DEVICES FOR MEASURING DENSITY FOR ROLLING PATTERNS
SS 505-1	PORTLAND CEMENT CONCRETE DRIVEWAY
SS 600-2	INCIDENTAL CONSTRUCTION
SS 603-1	LANE CLOSURE NOTIFICATION
SS 604-1	RETROREFLECTIVE SHEETING FOR TRAFFIC CONTROL DEVICES IN CONSTRUCTION ZONES
SS 604-3	TRAFFIC CONTROL DEVICES IN CONSTRUCTION ZONES (MASH)
SS 605-1	CONCRETE DITCH PAVING
SS 606-1	PIPE CULVERTS FOR SIDE DRAINS
SS 620-1	MULCH COVER
SS 621-1	FILTER SOCKS
SS 632-1	CONCRETE ISLAND
SS 634-1	CURBING
SS 700-2	TRAFFIC CONTROL FACILITIES
SS 723-1	GENERAL REQUIREMENTS FOR SIGNS

Arkansas Department of Transportation  
Supplemental Specifications and Special Provisions Listing  
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The following supplemental specifications and special provisions for this project supplement the standard specifications, edition of 2014. In case of conflict, the supplemental specifications and special provisions shall govern.

SS 729-1                      CHANNEL POST SIGN SUPPORT

## **ARKANSAS DEPARTMENT OF TRANSPORTATION**

### **SUPPLEMENTAL SPECIFICATION**

#### **ERRATA FOR THE BOOK OF STANDARD SPECIFICATIONS**

Errors noted in the printed book of Standard Specifications for Highway Construction, Edition of 2014, are listed below and this publication is hereby revised as follows:

- Page 124: The third sentence of the first paragraph of Subsection 110.03(c) should read: The Engineer will make a decision within 10 business days concerning the necessity or practicability of the request.
- Page 195: The sixth paragraph of subsection 303.02 should read: For Classes 1 through 8 materials, the fraction passing the #200 (0.075 mm) sieve shall not be greater than three-fourths of the fraction passing the #40 (0.0425 mm) sieve. For Classes 3 through 8, the fraction passing the #40 (0.425 mm) sieve shall have a liquid limit not greater than 25.
- Page 363: In the second paragraph of Subsection 502.02, the reference to ASTM 775 should be replaced by “ASTM A 775”.
- Page 636: In the second paragraph of Subsection 730.02, the references to AASHTO M 183 should be replaced with ASTM A36.
- Page 637: The last sentence of the second paragraph of Subsection 730.03 should read: All bolts, nuts, and washers shall be galvanized according to AASHTO M 232 or ASTM B 695, Class 40 or 50.
- Page 767: In the fourth paragraph of Subsection 807.06(a), the reference to ASTM B595 should be replaced by “ASTM B695”.
- Page 841: Subsection 817.04(a) should read: The treatment of lumber and timber shall meet the applicable requirements of the current edition of the AWWA, Standards U1, Commodity Specification E, Use Category UC4C.

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

**ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

**I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

**II. NONDISCRIMINATION**

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under

this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are

applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar

with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor

will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions

of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or

will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## 3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-

Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

##### a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly

rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

##### b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

(1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

(2) the prime contractor remains responsible for the quality of the work of the leased employees;

(3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and

(4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

## VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

## VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

##### **1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

## **2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

### **2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* \*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS PREFERENCE FOR APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

## SUPPLEMENTAL SPECIFICATION

## EQUAL EMPLOYMENT OPPORTUNITY - NOTICE TO CONTRACTORS

Elsewhere in this contract are three Supplemental Specifications on Equal Employment Opportunity designated as PR-1273 Supplements. They are (1) Specific Equal Employment Opportunity Responsibilities (23 U.S.C. 140), (2) Equal Employment Opportunity - Goals and Timetables, and (3) Equal Employment Opportunity - Federal Standards. This notice is to clarify the responsibilities for review of compliance and enforcement for these separate supplemental specification requirements.

The first of the Supplemental Specifications cited above covers the requirements for the equal employment opportunity program under Title 23 for which the Arkansas Department of Transportation (ARDOT) is responsible. The ARDOT performs the necessary compliance review and enforcement of this Supplemental Specification which is applicable to all contractors holding Federal-aid highway contracts.

The latter two Supplemental Specifications are for the specific equal opportunity requirements for Executive Order 11246 which is the sole responsibility of the Office of Federal Contract Compliance Programs (OFCCP), Department of Labor. Review and enforcement under these Supplemental Specifications is performed by OFCCP.

OFCCP has, under Paragraph 8 of the EEO Federal Standards Supplemental Specification, recognized the Arkansas AGC Heavy Highway Affirmative Action Plan as meeting the provisions of that Supplemental Specification and Supplemental Specification (2) cited above. With this recognition, those contractors signatory to the AGC Plan have been waived from individual review by OFCCP. However, OFCCP retains the right to review any such contractors whenever circumstances warrant. Also, contractors non-signatory to the AGC Plan are subject to OFCCP review under EO 11246.

ARDOT and OFCCP have agreed to work towards eliminating duplicative reviews on individual contractors; however, each agency may make reviews at any time notwithstanding the cited agreement.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

## SPECIAL PROVISION

SPECIFIC EQUAL EMPLOYMENT OPPORTUNITY RESPONSIBILITIES  
(23 U.S.C. 140)**1. General.**

a. Equal employment opportunity requirements not to discriminate and to take affirmative action to assure equal employment opportunity as required by Executive Order 11246 and Executive Order 11375 are set forth in Required Contract Provisions (Form FHWA-1273 and Supplements) and these Special Provisions which are imposed pursuant to Section 140 of Title 23, U.S.C., as established by Section 22 of the Federal-Aid Highway Act of 1968. The requirements set forth in these Special Provisions shall constitute the specific affirmative action requirements for project activities under this contract and supplement the equal employment opportunity requirements set forth in the Required Contract Provisions. The initial measure of the contractor's good faith efforts to comply with these Special Provisions shall be its efforts to meet the goals set forth in the "Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity (Executive Order 11246)" for minority and female participation expressed in percentage terms for the contractor's work force in each trade on this project.

b. The contractor will work with the Department and the Federal Government in carrying out equal employment opportunity obligations and in their review of his/her activities under the contract.

c. The contractor and all his/her subcontractors holding subcontracts not including material suppliers, of \$10,000 or more, will comply with the following minimum specific requirement activities of equal employment opportunity: (The equal employment opportunity requirements of Executive Order 11246, as set forth in Volume 6, Chapter 4, Section 1, Subsection 1 of the Federal-Aid Highway Program Manual, are applicable to material suppliers as well as contractors and subcontractors.) The contractor will include these requirements in every subcontract of \$10,000 or more with such modification of language as is necessary to make them binding on the subcontractor.

**2. Equal Employment Opportunity Policy.**

The contractor will accept as his operating policy the following statement which is designed to further the provision of equal employment opportunity to all persons without regard to their race, color, religion, sex, age, disability, or national origin, and to promote the full realization of equal employment opportunity through a positive continuing program:

It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, age, disability, or national origin. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training.

**3. Equal Employment Opportunity Officer.**

The contractor will designate and make known to the Department contracting officers an equal employment opportunity officer (hereinafter referred to as the EEO Officer) who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of equal employment opportunity and who must be assigned adequate authority and responsibility to do so.

**4. Dissemination of Policy.**

a. All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's equal employment opportunity policy and contractual responsibilities to provide equal employment opportunity in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

(1) Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's equal employment opportunity policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

(2) All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer or other knowledgeable company official covering all major aspects of the contractor's equal employment opportunity obligations within thirty days following their reporting for duty with the contractor.

(3) All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer or appropriate company official in the contractor's procedures for locating and hiring minority and female employees.

b. In order to make the contractor's equal employment opportunity policy known to all employees, prospective employees and potential sources of employees, i.e., schools, employment agencies, labor unions (where appropriate), college placement officers, etc., the contractor will take the following actions:

(1) Notices and posters setting forth the contractor's equal employment opportunity policy will be placed in areas readily accessible to employees, applicants for employment, and potential employees.

(2) The contractor's equal employment opportunity policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

### **5. Recruitment.**

a. When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be published in newspapers or other publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

b. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority and female applicants, including, but not limited to, State employment agencies, schools, colleges, and minority group organizations. To meet this requirement, the contractor will, through his EEO Officer, identify sources of potential minority and female employees, and establish with such identified sources procedures whereby minority and female applicants may be referred to the contractor for employment consideration.

In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with equal employment opportunity contract provisions. (The U.S. Department of Labor has held that where implementation of such agreements has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor will encourage his present employees to refer minority and female applicants for employment by posting appropriate notices or bulletins in areas accessible to all such employees. In addition, information and procedures with regard to referring minority and female applicants will be discussed with employees.

### **6. Personnel Actions.**

Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, age, disability, or national origin. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

### **7. Training and Promotion.**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees and applicants for employment.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event the Optional Training Special Provision is provided under this contract, this subparagraph will be superseded by that Special Provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

### **8. Unions.**

If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an equal employment opportunity clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, age, disability, or national origin.

c. The contractor is to obtain information as to the referral practices and policies of the labor union, except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the State Highway Department and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, age, disability, or national origin, making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The U.S. Department of Labor has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the Department.

### **9. Subcontracting.**

a. The contractor's attention is called to the Special Provision on Disadvantaged Business Enterprises in Federal-Aid Highway Construction.

b. The contractor will use his best efforts to ensure subcontractor compliance with their equal employment opportunity obligations.

### **10. Records and Reports.**

a. The contractor will keep such records as are necessary to determine compliance with the contractor's equal employment opportunity obligations. The records kept by the contractor will be designed to indicate:

(1) the number of minority and non-minority group members and women employed in each work classification on the project,

(2) the progress and efforts being made in cooperation with unions to increase employment opportunities for minorities and women (applicable only to contractors who rely in whole or in part on unions as a source of their work force),

(3) the progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees, and

(4) the progress and efforts being made in securing the services of Disadvantaged Business Enterprises or subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. All such records must be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the Department and the Federal Highway Administration.

c. The contractors will submit an annual report to the State Highway agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form PR 1391.

### **11. Corrective Action Plans.**

The contractor understands that a designated representative of the Department will periodically review compliance by the contractor with all contractual provisions incorporated pursuant to Executive Order 11246, as amended, and Federal Highway Administration Equal Employment Opportunity Special Provisions implementing the Federal-Aid Highway Act of 1968, where applicable.

In the event that the designated representative of the Department finds that the contractor has failed to comply with any of the aforementioned contractual provisions, he will notify the contractor of this finding in writing. A declaration of default will result in the suspension of all future payments. No declaration of default will be made if the Department and the contractor formally agree to enter into a corrective action plan setting out the specified steps and timetables the contractor will be contractually obligated to perform in order to re-establish his compliance. This corrective action plan, in order to be accepted by the Department, shall include the following mandatory enforcement language:

"If, at any time in the future, the Office of Federal Contract Compliance Programs or the Federal Highway Administration or the Arkansas State Highway Commission or their successor(s) believe that (name of contractor) has violated any portion of this agreement, (name of contractor) shall be promptly notified of the fact in writing. This notification shall include a statement of the facts and circumstances relied upon in forming that belief. In addition, the notification shall provide (name of contractor) with 15 days to respond in writing to the notification except where the Office of Federal Contract Compliance Programs, the Federal Highway Administration or the Arkansas State Highway Commission alleges that such delay would result in irreparable injury. It is understood that enforcement proceedings for violation of this agreement may be initiated at any time after the 15-day period has elapsed (or sooner if irreparable injury is alleged) without issuance of a show cause notice."

"It is recognized that where the Office of Federal Contract Compliance Programs and/or the Federal Highway Administration and/or the Arkansas State Highway Commission believes that (name of contractor) has breached this agreement, evidence regarding the entire scope of (name of contractor) alleged noncompliance from which this agreement resulted, in addition to evidence regarding (name of contractor) alleged violation of this agreement, may be introduced at the enforcement proceeding."

"Violation of this agreement may subject (name of contractor) to sanctions pursuant to the Arkansas State Highway Commission contract administration procedures. It is further recognized that liability for violation of this agreement may also subject (name of contractor) to sanctions set forth in Section 209 of Executive Order 11246, as amended, and/or appropriate relief."

The contractor will submit quarterly reports to the Department as a result of any deficiencies cited during an equal employment opportunity compliance review. The reports will indicate the affirmative action steps taken to correct the deficiencies. Instructions for submission of the reports will be furnished by the Equal Employment Opportunity Section.

ARKANSAS DEPARTMENT OF TRANSPORTATION

SUPPLEMENTAL SPECIFICATION

EQUAL EMPLOYMENT OPPORTUNITY - GOALS AND TIMETABLES

NOTICE OF REQUIREMENT FOR AFFIRMATIVE  
ACTION TO ENSURE EQUAL EMPLOYMENT  
OPPORTUNITY (EXECUTIVE ORDER 11246)

1. The Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

FEMALES

Statewide - 6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is as described in the Proposal Form for this report.

MINORITIES

COUNTY

Arkansas	16.4%	Lee	26.5%
Ashley	16.4%	Lincoln	16.4%
Baxter	3.3%	Little River	19.7%
Benton	3.3%	Logan	6.6%
Boone	3.3%	Lonoke	16.4%
Bradley	16.4%	Madison	3.3%
Calhoun	16.4%	Marion	3.3%
Carroll	3.3%	Miller	19.7%
Chicot	16.4%	Mississippi	26.5%
Clark	16.4%	Monroe	16.4%
Clay	26.5%	Montgomery	16.4%
Cleburne	16.4%	Nevada	20.2%
Cleveland	16.4%	Newton	3.3%
Columbia	20.2%	Ouachita	16.4%
Conway	16.4%	Perry	16.4%
Craighead	26.5%	Phillips	26.5%
Crawford	5.6%	Pike	20.2%
Crittenden	32.3%	Poinsett	26.5%
Cross	26.5%	Polk	6.6%
Dallas	16.4%	Pope	16.4%
Desha	16.4%	Präirie	16.4%
Drew	16.4%	Pulaski	15.7%
Faulkner	16.4%	Randolph	26.5%
Franklin	6.6%	Saline	15.7%
Fulton	16.4%	Scott	6.6%
Garland	16.4%	Searcy	3.3%
Grant	16.4%	Sebastian	5.6%
Greene	26.5%	Sevier	20.2%
Hempstead	20.2%	Sharp	16.4%
Hot Spring	16.4%	Stone	16.4%
Howard	20.2%	St. Francis	26.5%
Independence	16.4%	Union	16.4%
Izard	16.4%	Van Buren	16.4%
Jackson	16.4%	Washington	3.3%
Jefferson	31.2%	White	16.4%
Johnson	16.4%	Woodruff	16.4%
Lafayette	20.2%	Yell	16.4%
Lawrence	26.5%		

## ARKANSAS DEPARTMENT OF TRANSPORTATION

## SUPPLEMENTAL SPECIFICATION

## EQUAL EMPLOYMENT OPPORTUNITY - FEDERAL STANDARDS

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY  
CONSTRUCTION CONTRACT SPECIFICATIONS  
(EXECUTIVE ORDER 11246)

## 1. As used in these specifications:

a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;

b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;

c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.

## d. "Minority" includes:

- i. Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
- ii. Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
- iii. Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
- iv. American Indian or Alaskan Native (all persons having origins in any of the original peoples of North American and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved

Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.

6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The

Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.

d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.

f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees before the start of work and then not less often than once every six months; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

g. Review the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foreman, etc., prior to the initiation of construction work at any job site and then not less often than once every six months. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.

i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above describing the openings, screening procedures, and test to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.

l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between sexes.

o. Document and maintain a record of all solicitations of offers for subcontractors for disadvantaged business

enterprise construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's non-compliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, national origin, age or disability.

11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Employment Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of

these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41CFR60-4.8.

14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

16. In addition to the reporting requirements set forth elsewhere in this contract, the contractor and the subcontractors holding subcontracts not including material suppliers, of \$10,000 or more, shall submit for every month of July during which work is performed employment data as contained under Form PR-1391 (Appendix C to 23 CFR, Part 230), and in accordance with the instructions included thereon.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
 SUPPLEMENTAL SPECIFICATION  
 POSTERS AND NOTICES REQUIRED FOR FEDERAL-AID PROJECTS**

POSTER OR DOCUMENT REQUIRED	REQUIRED BY	WHERE TO OBTAIN
1. Equal Employment Opportunity is the Law	U.S. Department of Labor (OFCCP)	ARDOT Resident Engineer
2. "EEO is the Law" Poster Supplement	U.S. Department of Labor (OFCCP)	ARDOT Resident Engineer
3. Company EEO Policy (prepared by the Contractor on the Company's letterhead)	U. S. Department of Labor (OFCCP)	Contractor to Prepare: a. EEO policy statement. b. Notice encouraging employees to refer minority and female applicants for employment. c. Notice informing employees of an available training program and the entrance requirements. d. Complaint procedures. e. Notice identifying company EEO officer by name, including address and telephone number where EEO officer can be located. f. Work environment statement. g. Certification of nonsegregated facilities. *h. Notice to unions disseminating EEO commitments and responsibilities and requesting their cooperation.
4. Current Wage Rates (PR-1273 Supplement) or SS Revisions of PR-1273 for Off-System Projects	*Union Contractors Only  U. S. Department of Labor	Contained in contract. Extra copies may be obtained from Program Management - ARDOT Resident Engineer

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
 SUPPLEMENTAL SPECIFICATION  
 POSTERS AND NOTICES REQUIRED FOR FEDERAL-AID PROJECTS**

<b>POSTER OR DOCUMENT REQUIRED</b>	<b>REQUIRED BY</b>	<b>WHERE TO OBTAIN</b>
5. "Employee Rights Under the Davis-Bacon Act" (WH 1321)	U. S. Department of Labor	ARDOT Resident Engineer
6. "Employee Rights Under the Davis-Bacon Act" (WH 1321 SPA)	U. S. Department of Labor	ARDOT Resident Engineer
7. Minimum Wage Rate (WH 1088)	U. S. Department of Labor	ARDOT Resident Engineer
8. "NOTICE" Federal Aid Projects (PR-1022)	U. S. Department of Transportation (FHWA)	ARDOT Resident Engineer
9. Job Safety and Health Protection OSHA 3165	U. S. Department of Labor (OSHA)	ARDOT Resident Engineer
10. Job Safety and Health Protection OSHA 3167	U. S. Department of Labor (OSHA)	ARDOT Resident Engineer
11. Emergency Phone Numbers of Doctors, Hospital and Ambulance near Job Site for referring injured employees.	U. S. Department of Labor (OSHA)	ARDOT Resident Engineer
12. WCC Form AR-P Workers Compensation Notice and Instructions to Employers and Employees	State of Arkansas	Insurance Carrier
Self-Insurer	State of Arkansas	Administrator - Self-Insured Group

7/26/96  
Rev. 2/11/98  
Rev. 2/20/03  
Rev. 7/27/06  
Rev. 10/24/06  
Rev. 9/16/13  
Rev. 8/22/17

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENTAL SPECIFICATION  
POSTERS AND NOTICES REQUIRED FOR FEDERAL-AID PROJECTS**

<b>POSTER OR DOCUMENT REQUIRED</b>	<b>REQUIRED BY</b>	<b>WHERE TO OBTAIN</b>
<p>13. Log and Summary of Occupational Injuries and Illnesses (OSHA Form 300). The Summary portion must be posted from February 1 to April 30, of the year following the year covered by the form.</p>	<p>U. S. Department of Labor (OSHA) Public Law 91-596</p>	<p>ARDOT Resident Engineer</p>
<p>14. Family and Medical Leave Act of 1993 (WH-1420) Employers who employ 50 or more employees for at least 20 workweeks in the current or preceding calendar year.</p>	<p>U. S. Department of Labor</p>	<p>ARDOT Resident Engineer</p>
<p>15. Employee Polygraph Protection Act (WH-1462)</p>	<p>U. S. Department of Labor</p>	<p>ARDOT Resident Engineer</p>
<p>16. Your Rights Under USERRA (The Uniformed Services Employment and Reemployment Rights Act)</p>	<p>U. S. Department of Labor</p>	<p>ARDOT Resident Engineer</p>
<p>17. Arkansas Department of Labor Notice to Employer &amp; Employee</p>	<p>Arkansas Department of Labor</p>	<p>ARDOT Resident Engineer</p>
<p>18. Pay Transparency Nondiscrimination Provision</p>	<p>U. S. Department of Labor (OFCCP)</p>	<p>ARDOT Resident Engineer</p>

ARKANSAS DEPARTMENT OF TRANSPORTATION  
 SUPPLEMENTAL SPECIFICATION  
 WAGE RATE DETERMINATION

"General Decision Number: AR20200168 05/01/2020

Superseded General Decision Number: AR20190168

State: Arkansas

Construction Type: Highway

County: Lonoke County in Arkansas.

HIGHWAY CONSTRUCTION PROJECTS

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.80 for calendar year 2020 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.80 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2020. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Modification Number	Publication Date
0	01/03/2020
1	05/01/2020

\* SUAR2014-040 07/21/2014

	Rates	Fringes
CARPENTER, Includes Form Work....	\$ 14.09	0.00
CEMENT MASON/CONCRETE FINISHER...	\$ 15.11	0.00
FENCE ERECTOR.....	\$ 12.69	0.00
HIGHWAY/PARKING LOT STRIPING: Operator (Striping Machine).....	\$ 13.25	0.00
HIGHWAY/PARKING LOT STRIPING: Painter.....	\$ 21.75	0.00
IRONWORKER, REINFORCING.....	\$ 14.22	0.00
IRONWORKER, STRUCTURAL.....	\$ 15.36	0.00
LABORER: Asphalt, Includes Raker, Shoveler, Spreader and Distributor.....	\$ 14.45	0.00
LABORER: Common or General.....	\$ 11.38	0.00

ARKANSAS DEPARTMENT OF TRANSPORTATION  
 SUPPLEMENTAL SPECIFICATION  
 WAGE RATE DETERMINATION

LABORER: Mason Tender - Cement/Concrete.....	\$ 15.23	0.00
LABORER: Pipelayer.....	\$ 14.33	0.00
OPERATOR: Asphalt Spreader.....	\$ 15.80	0.00
OPERATOR: Backhoe/Excavator/Trackhoe.....	\$ 18.33	0.00
OPERATOR: Bobcat/Skid Steer/Skid Loader.....	\$ 16.06	0.00
OPERATOR: Broom/Sweeper.....	\$ 12.00	0.00
OPERATOR: Bulldozer.....	\$ 16.74	0.00
OPERATOR: Crane.....	\$ 20.63	0.00
OPERATOR: Distributor.....	\$ 14.52	0.00
OPERATOR: Drill.....	\$ 14.85	0.00
OPERATOR: Grade Checker.....	\$ 16.24	0.00
OPERATOR: Grader/Blade.....	\$ 20.04	0.00
OPERATOR: Hydroseeder.....	\$ 10.79	0.00
OPERATOR: Loader.....	\$ 17.05	0.00
OPERATOR: Mechanic.....	\$ 22.19	0.00
OPERATOR: Milling Machine.....	\$ 17.52	0.00
OPERATOR: Oiler.....	\$ 18.46	0.00
OPERATOR: Paver (Asphalt, Aggregate, and Concrete).....	\$ 17.89	0.00
OPERATOR: Post Driver (Guardrail/Fences).....	\$ 16.97	0.00
OPERATOR: Roller.....	\$ 15.50	0.00
OPERATOR: Scraper.....	\$ 19.31	0.00
OPERATOR: Screed.....	\$ 15.01	0.00
TRAFFIC CONTROL: Flagger.....	\$ 12.67	0.00
TRAFFIC CONTROL: Laborer-Cones/ Barricades/Barrels - Setter/Mover/Sweeper.....	\$ 12.95	0.00
TRUCK DRIVER: Dump Truck.....	\$ 14.81	0.00
TRUCK DRIVER: Flatbed Truck.....	\$ 21.03	0.00
TRUCK DRIVER: Lowboy Truck.....	\$ 17.49	0.00
TRUCK DRIVER: Servicer.....	\$ 15.90	0.00
TRUCK DRIVER: Water Truck.....	\$ 14.73	0.00
TRUCK DRIVER: Semi/Trailer		



the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request

ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENTAL SPECIFICATION  
WAGE RATE DETERMINATION

review and reconsideration from the Wage and Hour Administrator  
(See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the  
interested party's position and by any information (wage  
payment data, project description, area practice material,  
etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an  
interested party may appeal directly to the Administrative  
Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION"

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**CARGO PREFERENCE ACT REQUIREMENTS**

The requirements of the Cargo Preference Act (CPA) and implementing regulations (46 CFR 381.7(a)-(b)) are applicable to this contract. For additional information, see the FHWA's web page:

<https://www.fhwa.dot.gov/construction/cqit/cargo.cfm>

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SPECIAL PROVISION**  
**JOB 061457**

**DOCUMENTATION OF PAYMENTS MADE TO  
DISADVANTAGED BUSINESS ENTERPRISES**

Although this contract does not have a Disadvantaged Business Enterprise (DBE) Goal, in accordance with Subsection 103.08(a) of the Standard Specifications all payments made to DBE Contractors, suppliers, manufacturers, and/or non-construction service firms must be reported by the Prime Contractor.

As required by Subsection 103.08(h), the Prime Contractor must use the appropriate DBE Payment Log form included in this Special Provision during the progress of the Contract. Listed below are the instructions on when each form is required to be submitted.

- The Prime DBE Payment Log (page 3) must be submitted by the Prime Contractor when he/she is a certified DBE Contractor and work was performed by their own forces or money was earned by the DBE Prime Contractor for work performed by a Subcontractor during the estimate period.
- The DBE Subcontractor Payment Log (page 2) must be submitted by the Prime Contractor when a Subcontractor is a certified DBE Contractor and work was performed by a Subcontractor or money was earned by a Subcontractor for work performed by a Second-tier Subcontractor during the estimate period.
- The 2nd Tier DBE Payment Log (page 4) must be submitted by the Prime Contractor when a 2nd Tier Subcontractor is a certified DBE Contractor and work was performed by a 2nd Tier Subcontractor during the estimate period.
- The 2nd Tier DBE Payment Log (page 4) must be submitted by the Prime Contractor when payments are made to a Department Certified DBE supplier, manufacturer, and/or non-construction service firm by the Prime Contractor or any Subcontractor or 2nd Tier Subcontractor during the estimate period.

A separate DBE Payment Log form is required for each DBE firm receiving payments for work completed or services provided during each estimate period. The DBE Payment Log forms, along with instructions for their use, are available on the Department's website at:

[http://ardot.gov/Construc/DBE\\_Log.xls](http://ardot.gov/Construc/DBE_Log.xls)

All certifications of payments must be received by the Resident Engineer within thirty-five (35) calendar days following the end of each estimate period. Facsimile or scanned copies of the completed original payment log forms are acceptable to fulfill this requirement.

Upon completion of the contract, a final certificate of payments to all DBE firms -- page 5 of this Special Provision -- is required by Subsection 103.08 (h). The final amount paid to each DBE firm shall match the total to date reported on the last DBE payment log submitted for each firm. If necessary, an additional DBE payment log shall be submitted with the certificate of payment itemizing all payments made to DBE firms since the last estimate period. A signed, original of the Final Certificate of Payment must be furnished to the Resident Engineer.









## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### ESTABLISHING CONTRACT TIME – WORKING DAY CONTRACT

1. **General.** This method shall be used to establish the contract time (working days).
2. **Definition of Terms. (a) Specified Site Use Work.** The specified site use work, shall consist of all items of work in the Contract.
  - (b) **Working day.** As defined in Subsection 101.01 of the Standard Specifications.
  - (c) **Contract Time.** The number of working days established by the bidder to complete the project.
  - (d) **Substantially Complete.** The date at which time charges cease due to the completion of all pay items. The Engineer will be the sole authority in determining when the work is substantially complete. Site Use Work will be considered complete on this date.
  - (e) **Bid Site Use Time.** The number of working days specified in the bid by the bidder as the time required to substantially complete the Specified Site Use Work.
  - (f) **Punch List.** A list of items and/or areas of the project requiring correction, replacement, repair, or general cleanup which is furnished by the Engineer following the declaration of the project as Substantially Complete.

3. **Preparation of Proposal.** The bidder shall establish the number of working days to be used to substantially complete the Specified Site Use Work.

#### 4. **Assessment of Site Use Time.**

Unless an emergency is declared or unless allowed by other job provisions, the Contractor shall not perform work that requires inspection on Sundays, legal holidays designated in Subsection 101.01 of the Standard Specifications, Edition of 2014, and Monday following a holiday on Sunday or Friday preceding a holiday on Saturday. If the Commission declares Friday following Thanksgiving Day as a Departmental holiday, the Contractor shall not perform work that requires inspection on this day.

Extensions of the Bid Site Use Time will be granted ONLY for the following reasons:

- (a) The work has been delayed by any act or omission of the Commission. This includes suspension of the work when the suspension is not the fault of the Contractor.
- (b) Change Orders affecting the work that results in additional time being required to complete the Specified Site Use Work.

Requests for extension of the Bid Site Use Time shall be made in writing and shall state the reasons for the request and identify the specific days for which extension is requested.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**ESTABLISHING CONTRACT TIME – WORKING DAY CONTRACT**

The Engineer will be the sole authority in determining when the Specified Site Use Work is substantially complete.

**5. Contract Time and Liquidated Damages.** Determination of working days charged, extensions of Contract Time, and assessment of liquidated damages for failure to complete all work within the Contract Time line will be made in accordance with the Section 108 of the Standard Specifications.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**BIDDING REQUIREMENTS AND CONDITIONS**

**Section 102** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The fourth sentence of the second paragraph of **Subsection 102.01** is hereby deleted, and the following substituted therefore:

Prospective bidders may file a questionnaire at any time; however, prospective bidders will not be given authorization to submit a proposal unless a rating has been extended based on an acceptable questionnaire.

The last paragraph of **Subsection 102.01** is hereby deleted.

The second sentence of **Subsection 102.02** is hereby deleted, and the following substituted therefore:

The Notice to Contractors will contain a description of the proposed work, and information regarding access to proposal documents, plans, specifications, and the amount and nature of the proposal guaranty.

**Subsection 102.03** is hereby deleted, renamed **Contents of Proposal Documents**, and the following substituted therefore:

The proposal documents will state the location and description of the contemplated construction and will show the estimate of the various quantities and kinds of work to be performed or materials to be furnished, and will have a schedule of items. The proposal documents will state the time in which the work must be completed, the amount of the proposal guaranty, and the date and time of the letting of work. The documents will also include any special provisions or requirements that vary from or are not contained in the standard specifications.

All forms included in the proposal documents are considered a part thereof. The plans, specifications, and other documents designated in the proposal documents will be considered a part of the proposal whether included or not.

The first through fourth paragraphs of **Subsection 102.04** are hereby deleted, and the following substituted therefore:

To become an eligible bidder, prospective bidders must be registered to bid in Arkansas with Bid Express. Prospective bidders must also contact the Program Management Division at (501) 569-2261 during regular business hours between the date the project is advertised and 4:30 p.m. on the day prior to the scheduled bid opening to request to become eligible to bid specific projects. Only prequalified contractors or their authorized representative may request to become an eligible bidder.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### BIDDING REQUIREMENTS AND CONDITIONS

If the prospective bidder's prequalification rating is not "unlimited", the bidder shall file a certification with the Department citing all contracts in force and the unfinished value of such work. A prospective bidder will not be allowed to submit a proposal until a certification for the current bidding period is on file and the amount of work the contractor may be allowed to undertake is determined. The contractor's prequalification rating, less the unfinished value of all contracts in force, will determine the amount of additional work that the contractor may be allowed to undertake. A contractor will not be allowed to submit a proposal on an individual project for which the estimated cost is more than the amount that the contractor may be allowed to undertake, but the contractor will be allowed to submit a proposal on more than one project, providing that the estimated cost of each project is not more than the amount that the contractor may be allowed to undertake. In the event a contractor submits a low bid on more than one project and the aggregate amount is greater than the amount the contractor may be allowed to undertake, the Commission will exercise its discretion in the award of a particular project or projects.

A charge will be assessed for authorization to submit a proposal, paper copies of the proposal documents, and plans issued. These services are provided during regular business hours until 4:30 p.m. on the day prior to the scheduled bid opening at the Arkansas Department of Transportation, 10324 Interstate 30, Little Rock, Arkansas 72209, (501) 569-2261. Payment shall be made at the time services are provided or upon receipt of statement therefore. No refund will be allowed for bids not submitted or for plans or proposal documents returned.

The second sentence of the first paragraph of **Subsection 102.06** is hereby deleted, and the following substituted therefore:

The bidder is expected to examine carefully the site of the proposed work, the proposal documents, plans, specifications, supplemental specifications, and special provisions before submitting a proposal.

The first paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

The proposal shall only be submitted through the internet bidding service, Bid Express. The bidder shall specify a unit price in figures for each pay item for which a quantity is given. A unit price of "zero" (\$0.00) is a valid price and will be considered. A blank unit price is not considered valid. The unit bid price should not be carried beyond 1 cent (\$0.01). Any figures on the unit bid price beyond 1 cent will be dropped.

The second and third paragraphs of **Subsection 102.07** are hereby deleted.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**BIDDING REQUIREMENTS AND CONDITIONS**

The fifth paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

The bidder's proposal must be submitted with a digital signature containing the name of the individual, one or more members of the partnership, one or more members or officers of each firm representing a joint venture, or one or more officers of a corporation, or by an agent of the Contractor legally qualified and acceptable to the Department.

The sixth paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

If the proposal is submitted with a digital signature of any person who is not listed in the bidder's Prequalification Questionnaire (Questionnaire Form) as the individual, as a partner of a partnership, or as an officer of a corporation, authorization for such submittal should be on file with the Department prior to the download of bids. This authorization shall be made before the downloading of bids and be in the form of a Power of Attorney duly executed and signed by an official with power to constitute such authority.

The last sentence of the seventh paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

Those items of Asphalt Binder that are subject to a minimum bid price will bear the note "(Minimum bid price is \$120.00 per ton)" within the Schedule of Items of the proposal documents.

The first sentence of the ninth paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

The proposal documents for all federal aid projects will contain a bidders list.

The last sentence of the ninth paragraph of **Subsection 102.07** is hereby deleted, and the following substituted therefore:

The information provided will not be used for contract awarding purposes but must be provided before the Contractor will be given authorization to submit proposals for future lettings.

**Subsection 102.08 Irregular Proposals** is hereby deleted, and the following substituted therefore:

- (a) Proposals will be considered irregular and will be rejected for the following reasons:
- (1) If the proposal does not contain a unit price for each pay item listed except in the case of authorized alternate pay items.
  - (2) If the proposal is not digitally signed by an authorized representative of the firm.

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**BIDDING REQUIREMENTS AND CONDITIONS**

- (3) If the proposal is not accompanied by the proper proposal guaranty.
- (4) If a proposal is received from an individual, firm, partnership, or corporation with an interest, as principal, in another proposal for the same project.
- (5) If the proposal is not accompanied by the Certification to Submit DBE Participation.
- (b) Proposals will be considered irregular and may be rejected for the following reasons:
  - (1) If the proposal is not accompanied by a bid schedule and bid schedule narrative as required in the proposal documents.
  - (2) Unbalanced proposals in which the prices for some items are out of proportion to the reasonable costs representative of those items.
  - (3) If there are irregularities of any kind that may tend to make the proposal incomplete, indefinite, or ambiguous as to its meaning.

The first sentence of **Subsection 102.09** is hereby deleted and the following substituted therefore:

No proposal will be considered by the Commission unless a guaranty in the form of a bank draft, certified check, or cashier's check drawn on a solvent bank or trust company, or a bidder's paper bond executed by an approved surety company has been received by the Program Management Division prior to the download of bids.

The following paragraph is hereby added after the first paragraph of **Subsection 102.09**:

Electronic bid bonds are allowed. The prospective bidder should verify their bid bond in their proposal prior to submission.

**Subsection 102.10** is hereby deleted and the following substituted therefore:

The proposal shall only be submitted through the internet bidding service, Bid Express.

**Subsection 102.11** is hereby deleted, and the following substituted therefore:

A bidder may withdraw or modify a proposal after it has been submitted to Bid Express, up to the time set for the deadline for proposals to be received. A proposal may also be withdrawn if the Commission fails to make an award within 40 calendar days after the date of downloading.

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**SPECIAL PROVISION**

**JOB NO. 061457**

**BIDDING REQUIREMENTS AND CONDITIONS**

**Subsection 102.12** is hereby deleted, renamed **Downloading of Proposals**, and the following substituted therefore:

Proposals will be downloaded and then posted on the Department's website at the time and place indicated in the Notice to Contractors.

The last sentence of **Subsection 102.15** is hereby deleted, and the following substituted therefore:

In any case, the prospective bidders will be contacted prior to the download of bids.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**MANDATORY ELECTRONIC CONTRACT**

**Paper Contract Documents and Forms will not be accepted.**

The Department will only accept and execute an electronic contract for this project through Doc Express, a paperless contracting system. Prospective bidders will need to contact Doc Express to set up an account prior to the bid opening date. The toll-free phone number for Doc Express is 1-888-352-2439 and their website address is [www.docexpress.com](http://www.docexpress.com).

**Section 103** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows.

The first sentence of **Subsection 103.06(a)** is hereby deleted, and the following substituted therefore:

The Contract shall be electronically signed by the successful bidder and electronically submitted to the Program Management Division, Construction Contract Procurement Section, together with the required bonds and proof of liability insurance, within 10 business days after the notice of award has been issued.

**Subsection 103.08(d)(3)d.** is hereby deleted, and the following substituted therefore:

Documentation of the bidder's commitment to use a DBE subcontractor whose participation it submits to meet a contract goal; and

**Subsection 103.08(d)(3)e.** is hereby deleted, and the following substituted therefore:

Document confirmation from the DBE that it is participating in the contract as provided in the Contractor's commitment.

**Subsection 103.08(d)(5)** is hereby deleted, and the following substituted therefore:

The preceding information shall be submitted directly to the Arkansas Department of Transportation, Program Management Division, via Doc Express.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**MANDATORY ELECTRONIC DOCUMENT SUBMITTAL**

**Paper Document Submittals will not be accepted.**

The Department will only accept electronically-submitted documents for consideration on this project. All correspondence and submittals to the Department shall be submitted through Doc Express, a paperless contracting system. When signed originals are required, the original shall be the document uploaded to Doc Express and the signature shall be the electronic signature applied through Doc Express. The Contractor shall use the same organizational account for project documentation as used to fulfill the requirements of the Mandatory Electronic Contract Special Provision. The toll-free phone number for Doc Express is 1-888-352-2439 and their website address is [www.docexpress.com](http://www.docexpress.com).

Any reference in the Standard Specifications to document submittal in writing or by U.S. Mail, facsimile, or in person is hereby amended to require that such documents be submitted using Doc Express with the following exceptions:

- Material delivery tickets which are used for payment or for field verification shall be submitted on paper as required by the Standard Specifications for Highway Construction, Edition of 2014.
- Any document with specific submittal requirements in state and/or federal law or federal regulations that conflict with the requirements of this Special Provision shall be submitted in accordance with such state and/or federal law or federal regulations.

A user guide is available on the Department's web page to assist Contractors with the use of Doc Express. The "Contractor Guide to Using Doc Express" is available on the Department's web page at this link:

[http://ardot.gov/construction\\_division/Contractor\\_Guide\\_DocExpress.pdf](http://ardot.gov/construction_division/Contractor_Guide_DocExpress.pdf).

The Contractor may provide access for subcontractors to view and submit items in Doc Express by following the instructions provided in the "Contractor Guide to Using Doc Express". Once an organizational account is activated and the Contractor provides access to the contract, a subcontractor may submit documents to the Contractor in Doc Express by uploading the electronic documents as directed in the User Guide. Any documents uploaded by the subcontractor must be then retrieved and published by the Contractor within Doc Express for further action by the Engineer. The Engineer will not review or take any actions on any documents submitted by the subcontractor until the document has been appropriately submitted by the Contractor.

Any submittals, documents, subcontracts, proposals, working drawings, or any other items submitted by the Contractor within Doc Express are not considered approved by the Engineer until written notification of the approval is published by the Engineer in the "CON-Correspondence-From Department to Contractor" drawer in Doc Express. Any action taken by the Contractor prior to this notification is taken at the Contractor's own risk.

The Department's System Administration team has no authority to take action on any documents submitted to the system. Access for this team is for management of the application only. Knowledge of any document submitted is not imputed to the Department by the knowledge of Systems Administration.

The requirements of this Special Provision shall supersede the requirements of all other Special Provisions unless such Special Provision includes a stated exception to this Special Provision.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**FLEXIBLE BEGINNING OF WORK**

**DESCRIPTION:** The Department is hereby amending **Section 108, Prosecution and Progress, of the Standard Specifications for Highway Construction, Edition of 2014**, as follows:

**Section 108.02 (b) Work Order.**

(2) The bullet stating "Erection of advance warning signs." is deleted.

(4) **b. Working Day Contract.** is hereby deleted and the following substituted:

**b. Working Day Contract.** Unless the Contractor is otherwise advised in writing, the Work Order for a working day contract shall become effective on the fifteenth calendar day following the execution of the Contract by the Department. Should the effective date fall on Saturday, Sunday, a legal holiday designated in Subsection 101.01 (c), Monday following a holiday on Sunday, or Friday preceding a holiday on Saturday, the effective date shall be the next work day. The written Work Order from the Engineer will follow with the effective date being as specified.

The assessment of contract time will commence when the Contractor begins work or no later than 90 calendar days after the issuance of the work order if the Contractor has not commenced work. The Contractor will submit written notification to the Engineer five days prior to commencing work.

(4) **c. is hereby deleted.**

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**SOIL STABILIZATION**

**Section 210 Excavation and Embankment** of the Standard Specifications, Edition of 2014, is hereby amended as follows:

**Subsection 210.07 Construction Requirements** is expanded to include the following:

At locations that the Engineer designates the existing soils to be unstable and cannot be stabilized through normal drying and compactive efforts, the Contractor may, with the approval of the Engineer, utilize the following additives to expedite the drying process:

- Quicklime (dry) meeting the requirements of Subsection 301.03(b), or
- Portland cement and/or fly ash meeting the requirements of Subsection 307.03(b)

The Engineer shall determine which additive will be used. The rate of application shall be determined by trial mixing and shall be approved by the Engineer. The spreading and mixing procedure used shall thoroughly and uniformly disperse the material into the soil. Any procedure that results in excessive loss of material or that does not achieve the desired results shall be immediately discontinued.

**Subsection 210.12 Method of Measurement** is expanded to include the following:

- (g) Soil Stabilization will be measured by the ton of the additive used.

**Subsection 210.13 Basis of Payment** is expanded to include the following:

(d) Soil Stabilization completed and accepted and measured as provided above will be paid for at the contract unit price bid per ton for Soil Stabilization, which price shall be full compensation for furnishing, hauling and placing the material; for spreading and mixing; and for all labor, equipment, tools and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Soil Stabilization	Ton

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

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**JOB NO. 061457**

**SUBMISSION OF ASPHALT CONCRETE HOT MIX ACCEPTANCE TEST RESULTS**

Division 106 of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is hereby added to **Subsection 106.04, Acceptance of Materials:**

All ACHM Contractor Acceptance Tests shall be submitted electronically by use of the ACHM Microsoft Excel Spreadsheet for Contractors/Suppliers and on paper.

The ACHM Microsoft Excel Spreadsheet for Contractors/Suppliers can be downloaded from the following website: [http://www.ardot.gov/contracts/contractor\\_information/contractor.aspx](http://www.ardot.gov/contracts/contractor_information/contractor.aspx).

To download this file and the supporting documentation, click on the link labeled Contractor\_ACHM.exe which is listed under User Help File and Utilities on the website noted above.

Use of this file requires Microsoft Excel 2000, 2003, or 2007.

The preferred method of transmitting the file is to e-mail the completed ACHM Microsoft Excel Spreadsheet for Contractors/Suppliers to the Department's ACHM Plant Inspector assigned to the project. It is also acceptable to transmit the file by Compact Disk (CD) or other electronic device. Regardless of the method of transmission used, the signed paper acceptance tests must be provided to the Resident Engineer.

Any questions or issues arising from the use of this file should be referred to the Resident Engineer.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### PRICE ADJUSTMENT FOR ASPHALT BINDER

A price adjustment clause is included in this Contract to provide additional compensation to the Contractor or a credit to the Department for fluctuations in asphalt binder prices. This price adjustment is dependent upon a change in the average price of asphalt binder which results in an increase or decrease in the price of products utilized on this project.

**Payment.** Payment will be made to the Contractor for monthly fluctuation in the price of asphalt binder used in performing the applicable items of Asphalt Concrete Hot Mix work as listed in the table below when the asphalt binder price fluctuates from the base price defined below. Payments may be positive, negative, or nonexistent depending on the circumstances. Payments or deductions for the asphalt binder price adjustment will be included in the Contractor's current estimates, and the payment or deduction authorized for each estimate will be based upon the quantities for applicable items of work.

The Asphalt Binder Price Adjustment will be a dollar amount paid as compensation to the Contractor, or as a credit to the Department as reflected on the Current (or Final) Estimate Summary Report as Payment Adjustments.

**Asphalt Binder Price Adjustment (ABPA).** The Asphalt Binder Price Adjustment (ABPA) for the current estimate will be computed according to the following formula:

$$ABPA = Q \times D$$

Where

- ABPA = Asphalt binder price adjustment, in dollars;
- Q = Quantities paid for the applicable items on the current estimate, in tons of mix;
- D = Allowable price differential, in dollars.

The above formula will be applied to each individual payment of the applicable item. When the Current (or Final) estimate is generated, the sum of these individual adjustments will be included as a Payment Adjustment.

Applicable Items of Asphalt Concrete Hot Mix Work	
ITEM OF WORK	SPECIFICATION NUMBER
Asphalt Binder in ACHM Base Course	405
Asphalt Binder in ACHM Binder Course	406
Asphalt Binder in ACHM Surface Course	407

When the units of measure in this contract for the items of work listed in the table do not correspond with the units shown in the table (i.e. Asphalt Concrete paid by the square yard, etc.), those items will not be subject to the terms of this special provision or any asphalt binder price adjustment.

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### PRICE ADJUSTMENT FOR ASPHALT BINDER

The allowable price differential, "D", for the current estimate will be computed according to the following formula:

$$D = P - P(b)$$

P, the asphalt binder current price in dollars per ton, is the Monthly Asphalt Binder Price Index for the month in which the payment entry is entered.

P(b), the asphalt binder base price in dollars per ton, is the Monthly Asphalt Binder Price Index for the month in which the bids for the work were received.

**Asphalt Binder Index Determination.** The Monthly Asphalt Binder Price Index will be determined by calculating the average for performance-graded binder using the Selling Price of PG 64-22 paving grade. The monthly asphalt binder price will be an average of five asphalt binder prices. The prices will be furnished by the four largest asphalt binder suppliers in the State of Arkansas as determined by the previous calendar year. For an asphalt supplier to be included in the asphalt binder price index they must supply at least ten percent of the asphalt binder in Arkansas. The final component in the asphalt binder price index will be the Asphalt Weekly Monitor® furnished by Poten & Partners, Inc. The issue of the Asphalt Weekly Monitor® used will be for the last full week in the previous month received by the Department prior to the first day of the index month. The four largest suppliers included in the asphalt binder price index shall furnish the Department with their average price on the Thursday before the Friday of the last full week of the month. If any supplier fails to submit a price by this deadline, that supplier's price will not be included in the asphalt binder price index for that month.

**Supplemental Items Subject to Adjustment.** Items included in the contract that are listed in the table above are subject to adjustment in accordance with this provision, regardless of any amount of overrun to the plan quantity. Any new items of work added to the Contract by supplemental agreement that are listed in the table above will be subject to the asphalt binder price adjustments in accordance with this provision. The base asphalt binder price, P(b), for any newly added eligible items will be the same P(b) as the eligible items in the Contract, and the new unit price established by supplemental agreement will be determined accordingly.

**Viewing Asphalt Binder Price Index.** Historical asphalt binder price index values will be available on the Department's website using this web link:

[http://www.ardot.gov/ProgCon/AHTD\\_Aspphalt\\_Binder\\_Index.pdf](http://www.ardot.gov/ProgCon/AHTD_Aspphalt_Binder_Index.pdf)

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**BROADBAND INTERNET SERVICE FOR ASPHALT CONCRETE PLANT**

**Section 409.03(h)** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following bullet is added under detailed requirements:

- Broadband Internet Service shall be provided.

The Broadband Internet Service shall be provided with an Internet Protocol (IP) address which is reachable on the global Internet (public) and which is permanently assigned (static). The Contractor is not required to provide this service if an IP address which is both static and public is not available.

If this service is not available at the beginning of a project but becomes available during the life of the project, the Contractor shall provide the service immediately from the date of availability.

The data transfer rate shall be 3 megabits per second (Mbps) download and 500 kilobits per second (kbps) upload, or higher, with latency not to exceed 150 milliseconds. If the Broadband Internet Service meets all of the requirements of this specification except for the data transfer rate and/or latency, then the best performing available connection shall be provided.

Prior to the selection of the Broadband Internet Service provider, the Contractor shall submit to the Resident Engineer, in writing, the proposed method for providing Broadband Internet Service. The Resident Engineer shall review this submittal and respond in writing regarding the acceptability of the proposed method.

The Broadband Internet Service shall be provided with equipment providing one Ethernet port.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**WARM MIX ASPHALT**

**DESCRIPTION:** The Department will allow the use of Warm Mix Asphalt (WMA). All provisions for the production and placement of conventional HMA mixtures as stipulated in Section 410 Construction Requirements and Acceptance of Asphalt Concrete Plant Mix Courses of the Standard Specifications for Highway Construction, Edition 2014, are applicable except as noted below.

**Section 410 Construction Requirements and Acceptance of Asphalt Concrete Plant Mix Courses** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 410.03:** Replace the third sentence with “WMA production temperatures at the plant shall be according to the Contractor’s approved mix design but may be adjusted based on recommendations of the WMA additive/process manufacturer.”

Add the following paragraph: “Implementation of best management practices in the control of aggregate moisture content prior to introduction to the drying or mixing drum is highly recommended in order to achieve the maximum benefit of WMA technology.”

**Section 410.07:** Replace the last sentence of the first paragraph with “Spreading and finishing temperatures shall be according to the Contractor’s approved mix design, but in no case shall the WMA be placed at a temperature less than 220° F.”

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**BROADBAND INTERNET SERVICE FOR FIELD OFFICE**

**Section 602** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is added after the first paragraph of **Subsection 602.02(b)**:

Broadband Internet Service shall be provided to the field office where available.

The Broadband Internet Service shall be provided with an Internet Protocol (IP) address which is reachable on the global Internet (public) and which is permanently assigned (static). The Contractor is not required to provide this service if an IP address which is both static and public is not available.

If this service is not available at the beginning of a project but becomes available during the life of the project, the Contractor shall provide the service immediately from the date of availability.

The data transfer rate shall be 3 megabits per second (Mbps) download and 500 kilobits per second (kbps) upload, or higher, with latency not to exceed 150 milliseconds. If the broadband Internet service meets all of the requirements of this specification except for the data transfer rate and/or latency, then the best performing available connection shall be provided.

Prior to the selection of the broadband Internet service provider, the Contractor shall submit to the Resident Engineer, in writing, the proposed method for providing broadband Internet service. The Resident Engineer shall review this submittal and respond in writing regarding the acceptability of the proposed method.

The Broadband Internet Service shall be provided with equipment providing one Ethernet port.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**ACTUATED CONTROLLER**

**Section 701 Actuated Controller** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The second paragraph of **Subsection 701.02 Materials (a) General** is hereby deleted and the following substituted therefore:

Prior to the ordering of all traffic signal equipment, the Contractor shall submit to the Engineer two (2) printed copies of the applicable brochures containing the design criteria for the equipment which the Contractor proposes to install for approval. The specific items that are proposed for use shall be clearly marked in the applicable brochures. A list shall be attached to identify the item and contain the manufacturer, quantity, model, and identifying descriptions of each item. Adequate engineering data, essential shop drawings, and schematic diagrams shall be provided for review. Partial submittals will not be accepted for consideration and shall be returned for correction without review.

- 1. Review.** For all traffic signal equipment submittals, the Engineer's review of the equipment submittals should be completed within thirty (30) days from the date of the submission unless otherwise specified. Once the Engineer has determined that the equipment submitted meets the design criteria, a written approval will be provided, in which no further action is required. If equipment submitted for use is rejected, the Contractor shall re-submit the equipment for review within fifteen (15) days of notification of equipment rejection. Resubmittal of rejected equipment for review will be considered the starting point of a new approval cycle as described.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**CABINET DRAWER ASSEMBLY**

**Section 701 Actuated Controller of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:**

**Subsection 701.02 (d) (10) Wiring Diagrams and Controller Manual** is hereby deleted and the following substituted therefore:

Three copies of the Cabinet Wiring Diagram and one copy of the controller manual shall be supplied with each cabinet. One diagram and the manual shall be placed in the "Cabinet Drawer Assembly". The "Cabinet Drawer Assembly" shall be fabricated to the approximate dimensions shown on the plans. Included with the "Cabinet Drawer Assembly" will be all hardware necessary to fasten and install the Assembly to the underside of a cabinet shelf roughly at the midpoint of the Cabinet vertically. One diagram shall be delivered to the City or County before final inspection of the intersection. One diagram shall be given to the Engineer.

The "Cabinet Drawer Assembly" shop drawing shall be included in the traffic equipment submittal.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

### EMERGENCY BATTERY BACKUP SYSTEM INSTALLATION

1. **DESCRIPTION.** This item consists of installing an Emergency Battery Backup System (BBS) with fully conditioned power, for a traffic signal control and communications equipment with batteries, mounted inside a separate equipment cabinet of the type and size called for at the location shown on the plans, or as directed by the Engineer, and shall conform to the following specifications. Power output to equipment shall be fully conditioned whether operating on line voltage or battery backup. No meter base is required.
2. **MATERIALS.** The Battery Backup System (BBS) shall include, but not be limited to the following:
  - Inverter/Charger, batteries, combination power transfer relay and manual bypass switch and all necessary hardware and interconnect wiring. The BBS shall provide reliable emergency power to traffic system equipment in the event of a power failure or interruption.
  - The BBS system shall be UL listed for use with traffic signal equipment. The general category shall be QQIJ Power Supplies, Specialty. The specific listing shall be DC-AC inverter with integral battery chargers, for use with traffic signal equipment.

#### A. Operation.

1. The BBS shall provide a minimum two (2) hours of full run-time operation for all equipment as indicated on plan sheet(s) but not less than a minimum 700W/1000VA active output capacity, with 80% minimum inverter efficiency.
2. The maximum transfer time allowed, from disruption of normal utility line voltage to stabilized inverter line voltage from batteries shall be 65 milliseconds. The same maximum allowable transfer time shall also apply when switching from inverter line voltage to utility line voltage.
3. The BBS shall provide the user with two sets of three (3) double-pole double-throw (DPDT) dry relay contact closures, available on two terminal blocks (one side of each relay on each block) with #6/32 screw terminals rated at a minimum 120V/1A, and labeled so as to identify each contact. The terminal block wiring shall be protected with a clear plastic cover. There shall be a LED indication when each relay is activated. All relays should be deactivated when the inverter switch is off.
  - The first relay contact closures shall be energized whenever the unit switches to battery power. Contacts shall be labeled or marked "On Batt."

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#### EMERGENCY BATTERY BACKUP SYSTEM INSTALLATION

- The second relay contact closures shall be energized whenever the battery approaches approximately 40% of remaining useful capacity. Contacts shall be labeled or marked "Low Batt." There shall be a manually adjustable potentiometer to variably adjust this value based on the specific load.
  - The third relay contact closures shall be energized two hours after the unit switches to battery power. Contacts shall be labeled or marked "Timer."
4. Operating temperature for the inverter/charger, and power transfer relay and manual bypass switch shall be  $-37^{\circ}\text{C}$  to  $+74^{\circ}\text{C}$ .
  5. The Power transfer relay and manual bypass switch module shall be rated at 240VAC/30 amps.
  6. The BBS shall use a temperature-compensated battery charging system. The charging system shall compensate over a range of 2.5 – 4.0 mV/  $^{\circ}\text{C}$  per cell.
    - The temperature sensor shall be external to the inverter/charger unit. The temperature sensor shall come with seven (7') feet of wire.
  7. Batteries shall not be recharged when battery temperature exceeds  $50^{\circ}\text{C} \pm 3^{\circ}\text{C}$ .
  8. BBS shall bypass the utility line power whenever the utility line voltage is outside of the following voltage range: 100VAC to 130VAC ( $\pm 2\text{VAC}$ ).
  9. Output voltage shall be between 110 VAC and 125 VAC, pure sine wave output,  $\leq 3\%$  THD, 60Hz  $\pm 0.05\text{Hz}$ .
  10. BBS shall be compatible with all traffic controllers, other equipment and cabinet components for full time operation.
  11. In cases of low (below 98VAC) or absent utility line power, when the utility line power has been restored at above  $105\text{ VAC} \pm 2\text{ VAC}$  for more than 30 seconds, the BBS shall transfer from battery backed inverter mode back to utility line mode.
  12. In cases of high utility line power (above 132VAC), when the utility line power has been restored at below  $125\text{VAC} \pm 2\text{ VAC}$  for more than 30 seconds, the BBS shall transfer from battery backed inverter mode back to utility line mode.
  13. BBS shall be equipped to prevent a malfunction feedback to the cabinet or from feeding back to the utility service.
  14. In the event of inverter/charger failure, battery failure or complete battery discharge, the power transfer relay shall revert to the NC (and de-energized) state, where utility line power is connected to the cabinet.
  15. Recharge time for the battery, from "protective low-cutoff" to 80% or more of full battery charge capacity, shall not exceed ten (10) hours.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

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## EMERGENCY BATTERY BACKUP SYSTEM INSTALLATION

### B. Mounting and Configuration.

1. A mounting pad shall be constructed as shown on the plans.
2. The complete BBS, including batteries, shall fit inside a typical, fully equipped and approved stand-alone cabinet on a separate mounting pad. This cabinet/enclosure shall include vent, fan and thermostat.
3. The mounting method shall be shelf or tilt out tray-mount. The BBS dimensions shall be no deeper than 13 inches to minimize cabinet size.
  - The BBS shall be shelf-mounted with wiring, controls and meters in the front and clearly readable. The BBS should not be mounted on its side.
  - All batteries should mount on the shelves in the cabinet.
  - The Power transfer relay and manual bypass switch shall mount at a convenient location.
  - All interconnect wiring shall be provided between Power transfer relay and bypass switch and Cabinet Terminal Service Block and shall be no less than seven (7') feet of UL Style 1015 CSA TEW with the following characteristics:
    - AWG Rating: 10 AWG
    - Stranding: 105 strands of 30 AWG tinned copper
    - Rating: 600 V, 105 °C, PVC Insulation
    - Relay contact wiring provided for each set of NO/NC relay contact closure terminals shall be ten (10') feet of UL Style 1015 CSA TEW 18 AWG wire, same ratings as above, except 16 strands of 30 AWG tinned copper.

### C. Maintenance, Displays, Controls and Diagnostics.

1. The BBS shall include a LED display to indicate battery voltage and standard meter probe input jacks (+) and (-) to read the exact battery voltage.
2. The BBS shall have lightning surge protection compliant with IEEE/ANSI C.62.41.
3. The BBS shall be equipped with an integral system to prevent battery from destructive discharge and overcharge.
4. The BBS and batteries shall be easily replaced with all needed hardware and shall not require any special tools for installation.
5. The BBS shall include a front-panel event counter display to indicate the number of times the BBS was activated and a front-panel hour meter to display the total

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number of hours the unit has operated on battery power. Both meters shall have push button resets.

6. Manufacturer shall include a set of equipment lists, operation and maintenance manuals, and board-level schematic and wiring diagrams of the BBS, and the battery data sheets.

#### D. RS232.

1. The BBS shall be equipped with RS232 or approved communications. The connector shall be a DB-9 female connector.
2. Connection to the BBS shall be with a standard, readily available RS232 cable. Custom cabling is not allowed.
3. The BBS system shall be monitored and controlled by using HyperTerminal or other readily available terminal emulation software. Proprietary software is not allowed.
4. The BBS Communication Interface shall display BBS Status as well as allow for inputting of BBS Commands.
5. The BBS Status shall display the following information:
  - **AC Transfer Pts.:** Displays the current setting of the AC transfer threshold points.
  - **BBS Mode:** Displays whether the BBS is in Standby or Backup mode. When in Backup mode this also indicates that 'On Batt-Relay A' is active.
  - **Outage Counter:** Displays the current number of outages since the Outage meter was last reset.
  - **Total Outages:** Displays the total number of outages since the BBS was installed. This cannot be reset.
  - **Run Time:** Displays the current Run Time of the unit since the Run Time meter was last reset.
  - **Total Run Time:** Displays the total Run Time of the unit since the BBS was installed. This cannot be reset.
  - **Batt. Level:** Displays the current battery capacity.
  - **Batt. Full:** Indicates that the battery bank is fully charged.
  - **Check Batt.:** Indicates a problem with the batteries or the charger.
  - **Low Batt. Relay:** Indicates that the battery bank has reached the Remaining Capacity point set in Section 5.1 and that 'Low Batt-Relay B', is active.

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- **Timer Relay:** Indicates that the BBS has been in backup mode for 2 hours and that 'Timer-Relay C', is active.
  - **Overload:** Indicates that an overload condition exists.
  - **High Temp:** Indicates that a high temperature condition exists.
6. The BBS Command section shall be as follows:
- **Set Time:** Set the time in the following 24HR format hh:mm
  - **Set Date:** Set the date in the following format. mm/dd/yy
  - **Status Update:** Press to update the display with current BBS information.
  - **Reset Outage Meter:** Resets both the internally stored Outage Counter and the external Outage Meter. The unit will keep a total running count of all outages.
  - **Reset Run Time Meter:** Resets both the internally stored current Run Time Meter and the external Run Time Meter. The unit will keep a total run time for the life of the unit.
  - **Display Event Buffer:** Scroll out the BBS events to the display. All events are stamped with the date and time.
  - **Change AC Level Setting:** Switches the acceptable input AC threshold range from the standard 100-130 VAC to the optional 95-134 VAC range.
  - **Model Number:** Enter the model number of the unit using ASCII-Numeric text.
  - **Serial Number:** Enter the serial number of the unit using ASCII-Numeric text.

#### E. Battery System.

1. Individual batteries shall be:
  - Voltage rating: 12V type
  - Amp-hour rating: 105 amp-hour maximum
  - Group size: 31 maximum
  - Batteries shall be easily replaced and commercially available off the shelf.
2. Batteries used for BBS shall consist of 2 to 8 batteries to run the loads for the specified time, and a maximum system voltage of 24 VDC to comply with NEC Class 2 Voltage.

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3. Batteries shall be deep cycle, sealed prismatic lead-calcium based AGM/VRLA (Absorbed Glass Mat/ Valve Regulated Lead Acid).
4. Batteries shall be certified by the manufacturer to operate over a temperature range of – 25 °C to +74 °C.
5. The batteries shall be provided with appropriate interconnect wiring.

#### F. Battery Harness.

1. Interconnect wiring shall be via two-piece modular harness consisting of 8 gauge welding style cable, UL listed, super K90.
2. Cable assembly shall be equipped with insulated, mating, power pole style connectors. Where two-piece power pole style connectors are used Positive (+) shall be red, Negative (-) shall be black.
3. All power pole connectors shall be assembled to ensure proper polarity and circuit configuration throughout the entire harness.
4. Part one of the two-piece harness shall consist of seven inches of appropriate colored cable with ¼ inch ring terminals for connecting to the battery terminal and the appropriate colored modular power pole style connector.
5. Battery terminals shall be covered and insulated with appropriate colored molded boots.
6. Part two of the harness shall consist of mating two-piece power pole style connectors for connecting to the batteries and a single insulated power pole connector for connecting to the BBS unit.
7. Cable length shall be a minimum of 12 inches between batteries and 60 inches between BBS unit and first battery. Other battery-to-battery lengths for different configurations can be specified with the order.

#### G. Quality Assurance.

1. Each BBS shall be manufactured by an ISO 9001:2000 certified company in accordance with a manufacturer Quality Assurance (QA) program.
2. QA process and test results documentation shall be kept on file for a minimum period of seven years.
3. Each system shall be visually inspected for any exterior physical damage or assembly anomalies. Any defects shall be cause for rejection.

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3. **CONSTRUCTION REQUIREMENTS.** The BBS shall be installed on a separated mounting pad in the vicinity of the service point and controller cabinet as shown on the plans.
4. **METHOD OF MEASUREMENT.** Battery Backup System Installation will be measured by the unit.
5. **BASIS OF PAYMENT.** Work completed and accepted under this item and measured as provided above will be paid for at the contract unit price bid for each Battery Backup System Installation; which price shall be full compensation for furnishing the cabinet and battery backup system; for mounting of the cabinet; for installing, wiring, and testing the battery backup system; for excavation and backfilling; for construction of the mounting pad; and for all materials, labor, equipment, tools, and incidentals necessary to complete the work.

Payment shall be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Battery Backup System	Each

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1. **DESCRIPTION.** This item shall consist of furnishing and installing at the locations shown on the plans, **Railroad Preemption Interconnect System** of the type and size called for in this Special Provision. This item shall consist of all materials, equipment, tools, wires, components and labor necessary to operate as railroad preemption interconnect system between the railroad preemption circuits and the traffic signal controller as indicated on the plan sheets, contract and this special provision. This item shall conform to the following specifications in this special provision and be according to plan sheets for the subject job. All construction and materials shall be in accordance with the Manual on Uniform Traffic Control Devices (MUTCD), the Standard Specifications for Highway Construction, Edition 2014, **Division 700 Traffic Control Facilities**, and this Special Provision shall apply.
2. **MATERIALS AND CONSTRUCTION:**
  - A. **General:** The purpose of this special provision is to define the required interface between a highway-rail grade crossing warning system and a traffic control signal for the purpose of railroad preemption operation.
    1. The Railroad Preemption Interconnect System shall feature the following operations, functionality and circuits as specified in the Highway-Rail Grade Crossing Traffic Signal Preemption Request Form:
      - a. Advance Pedestrian Preemption (APP)
      - b. Advance Vehicle Preemption (AVP)
      - c. Advance Preemption (AP)
      - d. Supervised Circuit (SUP)
      - e. Gate down Circuit (GD)
      - f. Crossing Active Circuit (XR)
      - g. Island Occupied (ISL)
      - h. Traffic Signal Health Circuit (TSH)
  - B. **Model:** The units furnished under this specification shall be the latest improved model in current production, as offered to commercial trade, built for the US market, and shall be of quality workmanship and material. Machines manufactured for foreign markets will not be accepted. All equipment offered under this specification shall be new. Used, reconditioned, shopworn, demonstrator, prototype or discontinued models are not acceptable.
  - C. **Manufacturer requirements:** The manufacturer shall have a minimum of 5 years of experience with the design, development, manufacturing, installation, testing, operation

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and maintenance of the Railroad Preemption Interconnect System for the transportation market. The manufacturer shall regularly and currently produce Railroad Preemption Interconnect System. Experiences with manufacturing other types of electronic products will not satisfy the requirements of this Railroad Preemption Interconnect System specification.

- D. Product Testing:** It is the Contractor responsibility to test the Railroad Preemption Interconnect System with the signal traffic cabinet and controller and ensure correct functionality and operation of the system as a whole (at least one test prior to field installation and one test after field installation). The Contractor shall test the functionality of the traffic signal cabinet and controller hardware and firmware to ensure the required railroad preemption features are provided.

The Contractor shall demonstrate a full test of all Railroad Preemption Interconnect System's functionality on the first day of turning the signal into operation mode (out of flash). In the event that the Contractor fail to demonstrate a full test of all Railroad Preemption Interconnect System's functionality on the first day of turning the signal into operation mode, due to equipment failure or any other reason, the Contractor will be responsible to ship the Railroad Preemption Interconnect System along with the traffic signal cabinet to the supplier's facility to be fixed, retested then installed at no cost to the Department. The first day into operation test shall be in the present of the Engineer over the job (Resident Engineer) and the ITS Management Signal Inspector. The Contractor shall provide a minimum of a 2-week notice prior to turning the signal/system into operation to allow enough time for all required personal to schedule and attend.

The Contractor shall make sure that the supplier program the timing for the Railroad Preemption Interconnect System according to the Highway-Rail Grade Crossing Traffic Signal Preemption Request Form and timing sheet prior to preform testing. The Contractor shall contact the ITS Management section or Roadway Design to obtain these form if not already available.

It is the Contractor responsibility to ensure the supplier representative is present during the test period on the first day of turning the signal into operation mode.

It is the Contractor responsibility to contact the Railroad Company to ensure their representative is present during the test period on the first day of turning the signal into operation mode. The Railroad company representative shall be able to activate the necessary circuits and/or relays to help the Contractor demonstrate the full test of the all Railroad Preemption Interconnect System's functionality.

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The Contractor shall provide the following documents:

1. Written certificate or a signed document of the Manufacturer's approval of the Railroad Preemption Interconnect System provided to be capable of all required operation and functionality (for subject job) listed in the Highway-Rail Grade Crossing Traffic Signal Preemption Request Form and this Special Provision.
2. Written certificate or a signed document of the supplier's approval of the Railroad Preemption Interconnect System interfacing with the traffic signal controller, cabinet and all equipment (for subject job) to provide a full operation as provided in the plans and this Special Provision.
3. Signed document from the supplier indicating the Railroad Preemption Interconnect System has been tested with the cabinet and controller provided for the subject job prior to on-site installation.
4. Signed document from the Contractor indicating the Railroad Preemption Interconnect System has been tested with the cabinet and controller provided for the subject job after field installation.

A copy of each of the above documents shall be provided to the Department's ITS Management Section prior to the first day of turning the traffic signal into operation mode (the day of testing the Railroad Preemption Interconnect System). The traffic signal will not be inspected nor the Railroad Preemption Interconnect System be accepted if the above documents are not provided.

**30-day Performance Test.** After all, testing procedures have been performed, submitted, and approved by the Engineer according to the specifications in the special provision and plans, the Contractor shall conduct a performance test, which shall consist of a continuous 30-day period of operation without a major malfunction. A major malfunction is considered to be any occurrence, other than a power failure beyond the Contractor's control, that renders the installation inoperative either momentarily or for a longer period.

The testing period shall fall under, and follow, all 30-day performance test; of the traffic control equipment. It is the Contractor responsibility to ensure a complete and correct operation of the Traffic Signal System and Railroad Preemption Interconnect System according to plans and this special provision.

The 30-day performance test shall not commence until ITS Management Section representative has inspected the system and all of its assemblies and has notified RE office that Performance Test can commence. The 30-day performance test shall not commence on any portion of the system until all tests have been performed by the

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Contractor to the satisfaction of the Engineer in the presence of the Department's ITS Management Section representative.

The Contractor shall guarantee satisfactory in-service operation of the mechanical and electrical equipment and related components for a period of 6 months following completion of the 30-day performance test, at no cost to the Department.

Defective equipment or accessories shall be repaired or replaced according to applicable specifications and to the satisfaction of the Engineer within 24 hours during the 30-day performance test and the 6 month guarantee period. The Contractor shall preform all work possible that will minimize the time that the system is out of operation. The Contractor shall repair any issues that occur during the test period at no cost to the Department.

If any equipment or components of the system including tools cannot be repaired such that the performance test can be resumed within 24 hours of notification of a failure or defect, then the 30-day performance test shall start over at Day 1 on successful repair of the system and failed equipment to the Engineer's satisfaction.

In the event of any damages to the cabinet and/or any equipment/properties during construction and the test period, the Contractor shall be responsible of replacing any damaged equipment and/or properties with new equivalent from the same brand, type, and size at no cost to the Department.

Failure to meet these requirements will result in rejection of the product. The Arkansas Department of Transportation will not accept, pay or reimburse for any rejected product and/or shipment charges.

- E. Product warranty:** The Contractor shall obtain and assign to the Department transferable manufacturers warranties or guarantees on the complete assembly of the system and its components including electrical and mechanical equipment, consistent with those provided as customary practice, and all materials furnished in this Item. The Contractor shall ensure that the Railroad Preemption Interconnect System have a minimum of a 1-year manufacturer's warranty and that 90% (328 days) of that warranty remains at the date of final acceptance by the Engineer. If the manufacturer's warranties for the components are for a longer period, those longer period warranties will apply. The Contractor shall guarantee that the materials and equipment furnished and installed for this project performs according to the manufacturer's specifications.

Contractor shall ensure that the manufacturer's warranties for all parts and equipment of the system are fully transferable from the Contractor to the Department and/or to the maintenance agency afterward.

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The Contractor shall ensure that these warranties require the manufacturer to furnish replacements for any parts or equipment found to be defective during the warranty period at no cost to the Department within 10 calendar days of notification by the Department or the maintenance agency.

**F. Software requirement:** The Railroad Preemption System shall include a license free software. The Contractor shall provide a USB drive with software to connect to the Railroad Preemption Interconnect System. The software shall provide user interface to:

1. Perform firmware updates to the processor module and expansion module via USB connector. The Contractor shall provide USB type A male to USB type B male cable.
2. Program and edit location data stored on the processor module.
3. Save location and configuration data to user's PC.
4. Retrieve location and data configuration from the user's PC via serial or WiFi connection.
5. Remove system password protection
6. Create a .pdf file containing the location/data information from the user's PC via serial or WiFi connection.
7. View real time status from the user's PC via serial or WiFi connection.
8. View and download event data logging from the user's PC via serial or WiFi connection.

**G. Other requirements:** The Railroad Interconnect system shall be according to the following:

1. All Submittals of the system and its equipment including data/specification sheet(s) shall be submitted to the Department for approval prior to installation.
2. The system shall be NEMA compliant.
3. The system shall feature real-time clock for date and time stamp validation for even logging.
4. System operation and programming manual to describe all functionalities and specifications of the Railroad Preemption Interconnect System. The Contractor shall provide the latest manual for the system in the same USB drive for the software mentioned above.
5. Cabinet assembly wiring diagram and table. Sheet showing where/how the Railroad Preemption Interconnect System is wired in to the traffic signal cabinet and the railroad circuits.
6. Utilize an OLED real time status and diagnostics display screen with buttons for configuration selection and setup.
7. Configuration report including the following:
  - a. **Track Clearance Green Time:** in seconds or Not Used

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- b. **Right of Way Transfer Time:** in seconds or Not Used
- c. **Max Preemption Time:** in seconds or Not Used
- d. **Use Supervised Circuit:** Yes or Not Used
- e. **Use Traffic Signal Health:** Yes or Not used
- f. **Gate Down timer:** in seconds or Not Used
- g. **Gate Down Ext Timer:** in seconds or Not Used
- h. **Interconnect Configuration:** Single-Break or Double Break
- i. **Advance Pedestrian Preemption:** used or not used

Failure to meet these requirements will result in rejection of the product. The Arkansas Department of Transportation will not accept, pay or reimburse for any rejected product and/or shipment charges.

**3. TRAFFIC SIGNAL OPERATION:**

- A. General:** Where Railroad Preemption Interconnect System is required, the controller assembly shall provide the operation required in this specification relative to the preemption functionality and operation.

The controller assembly shall be provided with all hardware, software and firmware necessary to provide the operation described herein. The Contractor shall closely review the functional requirements of the Railroad Preemption Interconnect System operation to assure that the equipment is capable of performing the functions as specified. The Railroad Preemption Interconnect System circuits shall be designed to provide and support the specific functionality specified in this special provision and plan sheets. As a result, no exceptions will be permitted to these requirements.

- B. Interconnection Circuits:** The following section describes various interconnection circuits which is used between the grade crossing warning system (railroad equipment) and the traffic signal controller for railroad preemption. The combined circuits are specified in the preemption design and are referred to as the "Interconnection."

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1. **Advance Pedestrian Preemption (APP):** This circuit shall be only used when Advance Pedestrian Preemption operation is specified. When it is specified, it shall always be used in conjunction with an Advance Vehicle Preemption Circuit. This circuit is provided by the railroad and will notify the traffic signal controller of an approaching train prior to the operation of the active warning devices and prior to the operation of the Advance Vehicle Preemption circuit. The period of time between this notification and the instant when Advance Vehicle Preemption circuit is activated is known as the Advance Pedestrian Preemption Time (APPT). APPT is used to terminate any active pedestrian service displaying a WALK indication. When the APP circuit is activated, any remaining WALK time shall be truncated to an alternate value. Once any remaining WALK time has completed its time interval, the pedestrian change interval shall begin. The Pedestrian Change time shall be truncated to an alternate value. Once the Pedestrian Change interval has completed timing its alternate time, no new pedestrian service shall begin until this circuit returns to its non-activated state. If no pedestrian service is active when the APP circuit is activated, no new pedestrian service shall be allowed. Any pedestrian calls received during APP shall be stored until pedestrian service is allowed. During the APPT, vehicular movements shall continue to operate normally.
2. **Advance Vehicle Preemption (AVP):** This circuit is only used when Advance Pedestrian Preemption operation is specified. When it is specified, it is always used in conjunction with an Advance Pedestrian Preemption Circuit. This circuit is provided by the railroad and will notify the traffic signal controller of an approaching train prior to the operation of the railroad active warning devices. The period of time between this notification and the instant when the railroad grade crossing warning devices are activated is known as Advance Vehicle Preemption Time (AVPT). In most cases, AVPT is used by the traffic signal controller to terminate any active non-track clearance vehicular movements and to advance to a programmed preemption clearance interval in order to provide vehicle operators with an opportunity to start up and clear the track(s). When this input is activated, the follow sequence shall occur:
  - Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value (usually zero) and any remaining walk time shall be completed. When the alternate walk time has completed, the associated pedestrian change interval shall begin.
  - Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate pedestrian change value (usually zero).
  - Any minimum green interval which has not completed its programmed value shall be truncated to an alternate minimum green value.

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- The normal yellow change interval shall complete its programmed value. The yellow change interval shall begin once the pedestrian intervals and the minimum green interval have completed their timing.
  - The normal red clearance interval shall complete its programmed value.
3. **Advance Preemption (AP):** This circuit is used when Advance Pedestrian Preemption operation is NOT specified. Where used, this circuit is provided by the railroad and will notify the traffic signal controller of an approaching train prior to the operation of the railroad active warning devices. The period of time between this notification and the instant when the railroad grade crossing warning devices are activated is known as Advance Preemption Time (APT). In most cases, APT is used by the traffic signal controller to terminate any active non-track clearance movements and to change to a programmed preemption clearance interval in order to provide vehicle operators with an opportunity to start up and clear the track(s). When this input is activated, the follow sequence shall occur:
- Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value and any remaining walk time shall be completed. When the alternate walk time has completed, the associated pedestrian change interval shall begin.
  - Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate pedestrian change value.
  - Any minimum green interval which has not completed its programmed value shall be truncated to an alternate minimum green value.
  - The normal yellow change interval shall complete its programmed value. The yellow change interval shall begin once the pedestrian intervals and the minimum green interval have completed their timing.
  - The normal red clearance interval shall complete its programmed value.
4. **Supervision (SUP):** This circuit is provided by the railroad and is used to notify the traffic signal controller of a fault condition in the interconnection cable. The circuit is the inverse operation of Advance Preemption. The Supervision and Advance Preemption circuits shall be checked against each other for proper operation at all times. If at any time these circuits are both energized or are both de-energized, this shall indicate a vital interconnect failure and shall cause the traffic signal controller unit to transition to all-red soft flash until the fault is repaired.

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5. **Crossing Active (XR):** This circuit is provided by the railroad and will notify the traffic signal controller of an approaching train at the point the active warning devices (railroad flashing lights) begin their operation. This circuit is commonly referred to as an "XR" circuit by the railroad. It is also the circuit typically used for "Simultaneous Preemption".

Where Advance Preemption is used, the intent is for the traffic signal controller to enter the preemption sequence during the Advance Preemption Time and, if used, the Advance Pedestrian Preemption Time and/or the Advance Vehicle Preemption Time period for through train moves. Where a train move restarts within the approach circuit to the crossing, the Advance Preemption Time may be reduced or eliminated. This commonly occurs where railroad switching operations take place, where trains meet or pass, or where trains stop at stations within the approach circuit to the crossing. The railroad then has operating rules which govern the movement of trains over the crossing. However, in these instances where APT is reduced or eliminated and the Crossing Active input is activated, the period of time allocated for the APT has not completed its programmed value and any remaining Walk, Pedestrian Change or Minimum Green time shall be truncated to zero. Yellow Change shall begin for any phases other than the preemption clearance interval phases followed by the Red Clearance interval.

Where Simultaneous Preemption is used, the intent is for the traffic signal controller to transition to the preemption clearance interval. The following sequence shall occur:

- Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value and any remaining walk time shall be completed. When the alternate walk time has completed, the associated pedestrian change interval shall begin.
- Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate pedestrian change value.
- Any minimum green interval which has not completed its programmed value shall be truncated to an alternate minimum green value.
- The normal yellow change interval shall complete its programmed value.
- The normal red clearance interval shall complete its programmed value.

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6. **Gate Down (GD):** This circuit is provided by the railroad and will notify the traffic signal controller when the gate(s) controlling access over the track(s) approaching the intersection is/are lowered to within approximately 5 degrees of horizontal or when a train has physically entered the limits of the grade crossing (island circuit). The traffic signal controller unit shall not leave the Preemption Clearance Interval (track clearance) until the controller unit receives an indication that the railroad gates are now within 5 degrees of horizontal or that the island has been occupied. Once the Gate Down input to the controller unit is activated, the controller unit must then complete a track clearance green extension time prior to the display of yellow change.
  7. **Island Occupied (ISL):** This circuit is provided by the railroad and will notify the traffic signal controller when a train has physically entered the limits of the grade crossing. This is referred to as the "Island".
  8. **Traffic Signal Health Circuit (TSH):** This circuit is provided by the traffic signal controller and will notify the railroad warning system whenever the traffic signal has entered conflict flash, manual flash, soft flash, manual signals off, or when commercial power and backup power system has failed (signals off). The Traffic Signal Health Circuit is an output from the traffic signal controller to the railroad control equipment that is part of the interconnection.
- C. Functional Implementation:** The preemption sequencing shall be implemented through the Railroad Preemption Interconnect System installed in the cabinet assembly. Based on the states of the interconnection inputs from the railroad warning system, the system shall call pre-defined preemption plans in the controller unit. Each preemption plan shall be configured for non-locking operation providing the operation described in the following statements:
1. **Plan 1 - All-Red Soft Flash:** When activated, this plan has priority over all other preemption plans. The controller unit shall transition to All-Red Soft Flash via the following steps:
    - A preemption delay period shall elapse prior to transition to this plan. This delay period is typically set to allow a railroad preemption system module to be changed within a short period of time without placing the intersection into flashing operation. This period shall be set to 3 seconds.
    - Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value and any remaining walk time shall

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be completed. When the alternate walk time has completed, the associated pedestrian change interval shall begin.

- Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate pedestrian change value.
  - Any minimum green interval which has not completed its programmed value shall be truncated to an alternate minimum green value.
  - The normal yellow change interval shall complete its programmed value.
  - The normal red clearance interval shall complete its programmed value.
  - The All-Red Flash interval shall be displayed and begin to time its programmed minimum time. Once the programmed time has completed, the sequence shall remain in All-Red Soft Flash operation until the Plan 1 input is no longer active.
  - When the Plan 1 input is no longer active, the sequence shall advance to the programmed exit phases following a programmable steady All-Red display.
2. **Plan 2 - Preemption Clearance Interval:** When activated, this plan has priority over lower numbered preemption plans. The controller unit shall transition to the Preemption Clearance Interval via the following steps:
- Any pedestrian walk interval which has not completed its programmed value shall be truncated to zero.
  - Any pedestrian change interval which has not completed its programmed value shall be truncated to zero.
  - Any minimum green interval which has not completed its programmed value shall be truncated to zero.
  - The normal yellow change interval shall complete its programmed value.
  - The normal red clearance interval shall complete its programmed value.
  - The Preemption Clearance interval shall be displayed and begin to time its programmed minimum time. Once the programmed time has completed, the sequence shall remain in the Preemption Clearance interval.
  - When the Plan 2 input is no longer active, the sequence shall advance to the programmed exit phases.
3. **Plan 3 - Preemption Clearance Interval:** When activated, this plan has priority over lower numbered preemption plans. The controller unit shall transition to the Preemption Clearance Interval via the following steps:
- Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value, and any remaining walk time shall

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be completed. When the alternate Walk time has completed, the associated Pedestrian Change interval shall begin.

- Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate Pedestrian Change value.
  - Any minimum green interval which has not completed its programmed value shall be truncated to an alternate minimum green value.
  - The normal yellow change interval shall complete its programmed value.
  - The normal red clearance interval shall complete its programmed value.
  - The Preemption Clearance interval shall be displayed and begin to time its programmed minimum time. Once the programmed time has completed, the sequence shall remain in the preemption clearance interval.
  - When the Plan 3 input is no longer active, the sequence shall advance to the programmed exit phases.
4. **Plan 4 - Pedestrian Change Interval:** When activated, this plan has priority over lower numbered preemption plans. The controller unit shall transition to the Pedestrian Change Interval via the following steps:
- Any pedestrian walk interval which has not completed its programmed value shall be truncated to an alternate walk value and any remaining walk time shall be completed. When the alternate walk time has completed, the associated Pedestrian Change interval shall begin.
  - Any pedestrian change interval which has not completed its programmed value shall be truncated to an alternate pedestrian change value.
  - As long as the Plan 4 input is active, all allowed vehicle phases shall continue to operate normally. No new pedestrian service may begin.
  - When the Plan 4 input is no longer active, the sequence shall advance to the programmed exit phases.
5. **Plan 5 - Preemption Dwell Interval:** When activated, this plan has priority over lower numbered preemption plans. The controller unit shall transition to the Preemption Dwell Interval via the following steps:
- The normal yellow change interval shall complete its programmed value.
  - The normal red clearance interval shall complete its programmed value.
  - The Preemption Dwell operation shall commence operation and remain as long as the Plan 5 input is active.
  - When the Plan 5 input is no longer active, the sequence shall advance to the programmed exit phases.

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SPECIAL PROVISION****JOB NO. 061457****RAILROAD PREEMPTION INTERCONNECT SYSTEM**

6. **Plan 6 - Special Applications Interval:** Plan 6 is typically used where two preemption clearance intervals are required based on site specific intersection geometry.

**4. RAILROAD PREEMPTION INTERCONNECT SYSTEM COMPONENTS:**

**A. General:** The contractor shall provide a solid state interface Railroad Preemption Interconnect System using two special railroad preemption system modules. The modules shall be installed in a standalone companion input file rack with module insertion verification protection. The contractor shall furnish a standalone companion input file rack with module insertion verification protection for the railroad preemption complete with two modules. The interface shall function as follows:

- The railroad preemption system shall operate from nominal 120 VAC power applied via the companion input file rack.
- Each interconnection circuit to the railroad warning system shall operate on 24 VAC and be isolated from all traffic signal cabinet internal voltage sources, AC line, grounds or AC neutral. The system shall be capable of utilizing "Single-Break" or "Double-Break" circuitry. Configuration headers shall be provided on the appropriate modules to permit selection of either mode.
- The railroad preemption system shall generate the isolated 24 VAC supply for the interconnection circuits.
- Each input from the railroad shall be optically isolated from other inputs and not referenced to any traffic signal cabinet internal voltage sources, AC line, grounds or AC neutral.
- The railroad preemption system shall provide a Traffic Signal Health isolated output. This output shall be an isolated 12 VDC and shall be energized when Traffic Signal Health is valid. The system shall monitor the 120 VAC load switch signal bus control circuit. In addition, the system shall monitor an appropriate signal output to sense "soft flash" operation. Soft Flash is flashing operation generated by the controller unit by providing outputs to the load switches that are turned off and on to develop the specific flash pattern. These two inputs shall be referenced to 120 VAC Neutral. If the signal bus becomes de-energized or flashing operation is sensed, the system shall de-energize the Traffic Signal Health output to the railroad.
- The railroad preemption system shall provide outputs referenced to controller unit logic ground for selection of programmed functions.

**B. Supplemental Terminals:** All supplemental terminals provided as a part of the preemption interface shall utilize a "cage-clamp" design, such as manufactured by WAGO

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SPECIAL PROVISION****JOB NO. 061457****RAILROAD PREEMPTION INTERCONNECT SYSTEM**

Corporation or equivalent. Terminals which provide "side wipe" connections or set screws are not acceptable.

**C. Controller Harness:** The appropriate harness for the specific controller unit provided shall be furnished and connected to the preemption interface panel.

**D. Railroad Preemption Processor Module:** The Railroad Preemption Processor Module shall be a processor based unit that incorporates an organic light-emitting diode (OLED) display which plugs into the railroad preemption system companion input file rack. It shall be provided with an internal power supply to operate from the 120 VAC source provided in the input file. The isolator shall provide the following functions and features:

1. Light-emitting diode (LED) indicator for "POWER".
2. LED indicator for "HEALTH".
3. LED indicator for "FAULT".
4. Menu-driven OLED graphic display to indicate unit status, inputs, outputs and system timing.
5. Four-button keypad for user operation.
6. Input isolation for Advance Preemption, Supervised, Crossing Active and Gate Down from railroad warning system.
7. Outputs (four) for traffic signal controller unit to select preemption plans.
8. Configurable for single-break or double –break interconnection circuits.
9. Event logging minimum of 2500 events.
10. Real time preemption status screen.
11. Real time clock.
12. Shelf mounting.
13. Temperature range: -40 to 185 F (-40 to +85 C)

**E. Railroad Preemption Expansion Module:** The Railroad Preemption Expansion Module shall be a processor based unit which plugs into the railroad preemption system companion input file rack to the left of the Railroad Preemption Processor Module. The expansion module shall provide the following functions and features:

1. Input for 120 VAC signal bus (load switch power).
2. Input for 120 VAC flashing signal indication for soft flash sense (soft flash indication).
3. 12 VDC isolated output to drive railroad vital relay for Traffic Signal Health.
4. Isolated option inputs for Track Clearance Green(s) monitoring from traffic signal load switch outputs.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**RAILROAD PREEMPTION INTERCONNECT SYSTEM**

5. Isolated option input for Advance Pedestrian Preemption, Gate Up, Island or Double-Break Supervision from railroad warning system.
6. Outputs (two) for the traffic signal controller unit to select preemption plans
7. Output (advance) for control of blank-out signs or other devices.
8. Output (simultaneous) for control of blank-out signs or other devices.
9. LED indicators for power, AC signal buss, flash status, traffic signal health, IR receive, IR transmit, IR communication health and health.
10. Shelf mounting.
11. Temperature range: -40 to 185 F (-40 to +85 C)

**F. Railroad Preemption Isolator Module Companion Input File Rack:** The Companion Input File Rack provides two slots for the preemption system isolator modules. The Companion input file rack shall provide the following features:

1. Two module configuration, Input File Rack, for shelf mounting Railroad Preemption Isolator Processor and Expansion modules.
2. WAGO "Cage-Clamp" or equivalent connectors for field wiring.
3. Module insertion verification relay protection on processor module slot. Verifies if the processor module is properly seated, when viewed from the front, in the right module slot. An improperly seated or missing module will place a call to the traffic signal controller preemption plan programmed for flash operation.

**5. METHOD OF MEASUREMENT:** Railroad Preemption Interconnect System will be measured by the unit price bid per each. One unit shall include all items that is listed in this Special Provision.

**6. BASIS OF PAYMENT:** Work completed, accepted and measured as provided above will be paid for at the contract unit price bid for each Railroad Preemption Interconnect System, which price shall be full compensation for furnishing all equipment required; mounting, wiring and testing; and for all materials, equipment, tools, labor, and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Railroad Preemption Interconnect System	Each

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

#### JOB 061457

#### SYSTEM LOCAL CONTROLLER

1. **DESCRIPTION.** This item shall consist of furnishing and installing at locations shown on the plans or as directed, **System Local Controller** with communications interface for both **IP Addressable Ethernet** as well as **RS 232 Serial Radio** for future use and other associated equipment, to operate with a railroad preemption interconnect system. All requirements of Standard Specifications for Highway Construction, Edition of 2014, **Division 700 Traffic Control Facilities**, and specifically **Section 701 Actuated Controller**, shall apply. Portions of the standard specifications may be superseded by these special provisions.
  - A. **General.** The system consists of an **Eagle Centralized TACTICS Server** traffic control system. The traffic operations system software is currently licensed to the State. All equipment shall be completely compatible traffic control system hardware and software.
2. **MATERIALS AND CONSTRUCTION.** (Other Special Provisions in this contract may also apply). The cabinet facilities and installation, in addition to standard requirements for **Section 701 Actuated Controller**, shall incorporate the provisions listed in this special provision in order to accomplish the following:
  - A. **System Local Controller and Conflict Monitor.** Where specified as "TS2-Type 2 E-Net" unit shall utilize SDLC Port and Malfunction Management Unit (MMU) in monitoring for conflict display at the intersection. Where specified as type "TS1" unit shall include SDLC port but be set up in the TS1 mode and utilize a NEMA Standard 12 Channel Monitor. Unit shall have the capability of monitoring intersections utilizing the latest's proposed operation of "Flashing Yellow Arrow" (FYA) display.
  - B. **Expandability.** All traffic controllers (timers) shall be not less than 8 Phases. This does not apply to cabinet facilities and conflict monitor which shall conform to the summary of quantities or other provisions in this contract. Detector wiring harnesses or rack mount detector channel slots shall, as a minimum, be wired for future connection for the number of phases as described in the Summary of Quantities or plan sheets (whichever is greater); for a minimum of 8 system detectors; or as governed by other provisions in this contract.
  - C. **Controller Manuals and Documentation.** All documentation and software shall be provided a minimum of 14 calendar days before commencement of the 30-day trial period. The 30-day trial period will not start until this as well as other requirements for system operation have been met. Controller manuals (software and software manuals), must be provided 14 calendar days prior to placing intersection into operation.

Two sets (no photo-copies) of controller manuals shall be provided, one copy to the City or County and one copy to the Department's Maintenance Division.

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**SPECIAL PROVISION**

**JOB 061457**

**SYSTEM LOCAL CONTROLLER**

**D. System Timing and Operation Test.** The 30-day performance test shall not commence on any portion of the system until all test have been performed by the contractor to the satisfaction of the Engineer in the presence of the Department. Timing data will be provided by the Department's Maintenance Division. The contractor shall give the Engineer a minimum of 14 calendar days' notice to requiring timing data for testing and setup. Contractor shall be responsible for verification that data provided shall be functional and shall notify the Department's Maintenance Division of any changes necessary prior to installation.

In the event that the contractor is not qualified to perform these test and verification, he will be responsible for seeing that a manufacturer's representative is present on the day of testing.

**3. METHOD OF MEASUREMENT.** Completed and accepted items will be measured as follows:

**A.** System Local Controller will be measured by the unit.

**4. BASIS OF PAYMENT.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid as follows:

**A. System Local Controller -** Price bid for system local controller and associated equipment of the phases specified, shall be full compensation for furnishing all equipment for providing the foundation, and mounting the cabinet; for installing, wiring and testing the controller and communications unit; for excavation and backfilling; and for all materials, equipment, tools, labor, and incidentals necessary to complete the work.

Payment shall be made under:

**Pay Item**

**Pay Unit**

System Local Controller TS 2-Type 2, (\_\_\_ Phases)

Each

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

### LED BLANK OUT SIGN

1. **DESCRIPTION.** This item consists of furnishing and installing "LED Blank Out Signs" of the type and size called for at the location shown on the plans, or as directed by the Engineer, and shall conform to the following specifications.
2. **MATERIALS.** The blank out signs shall be the Light Emitting Diode (LED) type that is capable of displaying messages in the colors of red, amber, white, or bluish green. The LED lens shall meet the latest requirements of the Institute of Transportation Engineers (ITE) applying to LED road signs. All messages shall be clearly legible, attracting attention under any lighting conditions. At full intensity, the signal face shall be highly visible anywhere within a 15-degree cone centered about the optic axis. The sign shall be completely blanked out when not energized and no phantom words or legend shall be visible under any ambient light conditions. Messages shall be displayed by utilizing one to five rows of LED's to form the legend or symbols. In the event of failure of any individual LED's will not affect the performance of the other LED's to continue to provide a discernable message. The entire sign face shall be a minimum of 0.080-inch aluminum or equivalent, and have the LED assembly mounted to it. The sign face will be protected by a polycarbonate, matte/clear, face plate. The blank out sign shall consist of a weatherproof housing and door, lens, sign face, LED module, solid-state power supplies, and all necessary mounting hardware. Lettering of the sign face shall be a minimum of four (4) inches. The message color will be provided by a tempered LED correct lens color filter in compliance with the Institute of Transportation Engineers specifications. The sign will be capable of continuous operation over a range in temperatures from -35°F to +165°F. The sign displays shall be illuminated by 5mm LED's with an average 100,000-hour life. All LED's shall be high in optical power emitting radiation on the order approximately 2.0 candela's when operated at 20 milliamps DC.

The blank out signs shall be rectangular in shape and provide a Lexan faceplate. Reflectors and housings shall comply with **Section 706 Traffic Signal Head** of Standard Specifications for Highway Construction, Edition of 2014.

3. **MANUFACTURER'S WARRANTY.** The standard contract warranty shall apply with the time extensions applied to materials. The contractor shall provide a written manufacturer's guarantee to the Agency (City, County or etc.) who provides electrical service and maintenance of the intersection. Warranty shall provide the following stipulations:
  - Isolated Failures Warranty Period not less than 7 Years
  - Design Failures Warranty Period not less than 5 Years

Warranty for isolated lens failure shall include replacement LED module at no cost for materials and shipping for a period of 7 years from the date the intersection is considered substantially complete by the engineer. An LED module shall be considered failed when the luminosity drops below the ITE specifications.

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**LED BLANK OUT SIGN**

A product "Design Failure" is considered to have occurred if, within a period of 5 years or less, a total of ten percent (10%) of the LED modules on a particular Job are considered failed. The supplier shall then "recall" the entire shipment at no cost to the agency maintaining the equipment. This shall include labor and equipment necessary to replace the units.

4. **CONSTRUCTION METHODS.** All LED Blank Out Signs shall be mounted to maintain a 17'-0" minimum, 19'-0" maximum vertical clearance directly under the sign. The LED Blank Out Sign shall be so positioned and adjusted as to provide maximum visibility to vehicles which are to be controlled by the signal controller.
5. **METHOD OF MEASUREMENT.** LED Blank Out Signs will be measured by the unit.
6. **BASIS OF PAYMENT.** Work completed and accepted and measured as provided above, will be paid for at the contract unit price bid for LED Blank Out Signs, which price shall be full compensation for furnishing all materials, fittings, brackets, clamps, equipment, tools, labor, and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
LED Blank Out Sign	Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SPECIAL PROVISION****JOB NO. 061457****RETROREFLECTIVE BACKPLATES**

**Section 706 Traffic Signal Head** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The ninth paragraph of **Subsection 706.02 Materials (c) Housing** is hereby deleted and the following substituted therefore:

Visors and backplates for metal signal sections shall be made from 0.050" (1.25 mm) minimum thickness aluminum alloy sheet.

- The minimum thickness of 0.050" does not include the retroreflective border.
- Backplates shall not be flexible nor of the hinged design.
- The backplate shall be louvered.
- A louvered backplate shall include louvers with no louvers closer than 0.5" from the inner or 2.5" from the outer edge. Sides are defined on how the signal head is oriented in the plans.
- The backplate shall have a 2" wide yellow (non-fluorescent) retroreflective sheeting border, placed flush with the outer edge of the backplate and placed no closer than 0.5" from all louvers. No sheeting is allowed over any louvered area.
- Sheeting shall be applied in such a manner to provide wrinkle and bubble free surfaces. Application of sheeting shall be in accordance with this special provision otherwise will be cause for rejection of materials due to workmanship.
- The sheeting shall be Type VIII or Type IX in accordance with ASTM D4956 or ASTM D4956-05 and listed on ARDOT's qualified product list.
- All applicable brochures containing the design criteria for the retroreflective sheeting border shall be submitted by the Contractor for approval.
- The sheeting shall be applied in the orientation for the maximum angularity according to the manufacturer's recommendations to project rectangular appearance at night.
- All backplates types shall be securely attached to the signal-head as recommended by the manufacture's specifications and methods.

The tenth paragraph of **Subsection 706.02 Materials (c) Housing** is hereby deleted and the following substituted therefore:

Visors and backplates for plastic signal faces shall be either formed from sheet plastic or assembled from one or more injection, rotational, or blow molded plastic sections with a minimum thickness of 0.10" (2.5 mm).

- The minimum thickness of 0.10" does not include the retroreflective border.
- Backplates shall not be flexible nor of the hinged design.
- The backplate shall be non-louvered.

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**SPECIAL PROVISION**

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**RETROREFLECTIVE BACKPLATES**

- The backplate shall have a 2" wide yellow (non-fluorescent) retroreflective sheeting border, placed flush with the outer edge of the backplate.
- The sheeting shall be Type VIII or Type IX in accordance with ASTM D4956 or ASTM D4956-05 and listed on ARDOT's qualified product list.
- All applicable brochures containing the design criteria for the retroreflective sheeting border shall be submitted by the Contractor for approval.
- The sheeting shall be applied in the orientation for the maximum angularity according to the manufacturer's recommendations to project rectangular appearance at night.
- All backplates types shall be securely attached to the signal-head as recommended by the manufacture's specifications and methods.

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**SPECIAL PROVISION**

**JOB NO. 061457**

**ELECTRICAL CONDUCTORS FOR LUMINAIRES**

**DESCRIPTION.** This item consists of furnishing and installing electrical conductors as noted on the plans. This shall include conductors from the luminaire service point to the luminaire disconnect point and from the luminaire disconnect point to luminaires mounted on the traffic signal poles. Circuit breakers and weatherproof breaker boxes are considered subsidiary to "Electrical Conductors for Luminaires" and shall be provided and installed by the Contractor at the luminaire disconnect point.

**MATERIALS.** The electrical conductors shall consist of two conductor cables (#12 A.W.G.). Electrical conductors shall be stranded or solid copper UF rated 600 volt, suitable for underground duct installation in wet or dry locations. Electrical conductors shall comply to ASTM Specification B3, B-8 or B-787. The insulation shall be a color coded premium grade flame retardant PVC (polyvinyl chloride). The jacket shall be polyamide nylon. Circuit breakers shall be rated at 20 amps.

**CONSTRUCTION REQUIREMENTS.** The Contractor shall furnish and install a luminaire disconnect (20 amp circuit breaker assembly and weatherproof box) at the location designated on the plans that meets the requirements of the local utility company. The Contractor shall connect the circuit breaker assembly to the line side of the service point supplying the controller. Conductors for luminaires shall run directly from load side of luminaire disconnect to luminaires mounted on signal poles. Disconnect or trip of luminaire disconnect shall not effect power to controller. Luminaire disconnect shall be clearly labeled as "Street Light" circuit.

Splices are allowed at pole bases or as approved by the Engineer. Splicing methods considered acceptable are: Soldered, compression connectors of proper size employing cyclic crimping devices, terminal strips, or other method approved by the Engineer. Splices on terminal strips shall utilize proper spade lugs. All splices shall be waterproof. When taping is required, the wire shall be covered with six (6) layers of plastic electrical tape and sealed with "Scotch-Coat" or other similar electrical sealing material. Where wire nuts are used, soldering, taping and sealing is still required. Electrical insulating putty may be used to round off sharp corners of wire or connectors before applying tape. Slack cable (3 ft. min.) shall remain at each splice location or at end of luminaire arm when luminaire is not to be installed by contractor. Final connection of power from the local utility to the service point will be made by others.

**METHOD OF MEASUREMENT.** Electrical Conductors for Luminaires will be measured by the linear foot. Multiple conductors shall be measured together, not measured singularly.

10-18-2002  
02-06-2003 Rev.  
02-18-2003 Rev.  
01-17-2008 Rev.  
12-16-2016 Rev.  
11-16-2017 Rev.  
12-06-2018 Rev.

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**SPECIAL PROVISION**

**JOB NO. 061457**

**ELECTRICAL CONDUCTORS FOR LUMINAIRES**

**BASIS OF PAYMENT.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid per linear foot for Electrical Conductors for Luminaires of the type and size called for on the plans, which price shall be full compensation for furnishing materials, splicing and connections and for all tools, equipment, labor, and incidentals necessary to complete the work.

Payment will be made under:

**Pay Item**

**Pay Unit**

Electrical Conductors for Luminaires

Linear Foot

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**ELECTRICAL CONDUCTORS-IN-CONDUIT**

**DESCRIPTION.** This item consists of furnishing and installing electrical conductors from point to point as indicated on the plan sheets.

**MATERIALS.** The electrical conductors shall consist of cables of the gauge and number of conductors specified on the plan sheets, and shall be USE rated (single conductor) or UF rated (two conductor), suitable for underground duct installation in wet or dry locations. Electrical conductors shall be solid or stranded copper unless otherwise approved by the Engineer.

Where specified "With Ground" (WG), included shall be a copper safety ground of either bare copper or green insulated; of not less than two sizes less than the load carrying conductors, whichever is greater.

Where specified "Equipment Ground Conductor" (E.G.C.), conductor shall be a copper safety ground of either bare copper or green insulated of the size and quantity shown.

**CONSTRUCTION REQUIREMENTS.** Splices are allowed at pole bases or as approved by the Engineer. Unless waterproof quick disconnects are used, Splicing methods considered acceptable are: Soldered, compression connectors of proper size employing cyclic crimping devices, terminal strips, or other method approved by the Engineer. Splices on terminal strips shall utilize proper spade lugs. All splices shall be waterproof. When taping is required, the wire shall be covered with six (6) layers of plastic electrical tape and sealed with "Scotch-Coat" or other similar electrical sealing material. Where wire nuts are used, soldering, taping and sealing is still required. Electrical insulating putty may be used to round off sharp corners of wire or connectors before applying tape. Slack cable (3 ft. min.) shall remain at each splice location.

**METHOD OF MEASUREMENT.** Electrical Conductors-In-Conduit shall be measured by the linear foot. Multiple conductors shall be measured together, not measured singularly.

**BASIS OF PAYMENT.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid per linear foot for Electrical Conductors-In-Conduit of the type and size called for on the plans, which price shall be full compensation for furnishing materials, splicing, and connections and for all tools, equipment, labor, and incidentals necessary to complete the work.

Payment will be made under:

**Pay Item**

**Pay Unit**

Electrical Conductors-In-Conduit ( \_c/\_ A.W.G.,\_)

Linear Foot

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### LED LUMINAIRE ASSEMBLY (BUG U0 TYPE)

1. **DESCRIPTION.** This work shall consist of furnishing and installing LED luminaire assemblies on traffic signal poles, including the accessories, in accordance with these specifications and at the locations shown on the plans or as directed.
2. **MATERIALS AND CONSTRUCTION REQUIREMENTS.**

**A. Luminaire.** Each luminaire assembly shall consist of a "Cobra Head", power door style; Light Emitting Diode (LED) light source capable of outputting at least 12,000 lumens, and optics to produce an IESNA Type-III light distribution with a BUG rating of U0. The rated Correlated Color Temperature (CCT) shall be 4000° K +/- 200°K, and the Color Rendering Index (CRI) shall be no less than 60. As a minimum, 40% of Light Flux values shall be maintained on the downward street side; with greater than 0.002 foot-candles per 1000 lamp lumens at a point of "1 x 4" mounting heights on the downward street side. Mesopic multipliers (i.e., effective luminance factors) shall not be used. All values shall assume photopic visual adaptation. Luminaires with a Light Loss Factor using the L70 Method shall have a minimum rating of 50,000 hours, and a minimum 5 year warranty. The warranty shall provide for the repair or replacement of defective electrical parts (including light source and power supplies/drivers) for a minimum of five (5) years from the date of purchase. Luminaire shall be able to operate normally in temperatures from -40° C to +40° C. LED light source(s) and driver(s) shall be RoHS compliant.

The luminaires shall be all aluminum die cast hinged construction. Each luminaire assembly shall have a photocell and receptacle in the top of the luminaire housing and shall meet the requirements of the local utility company. The luminaires shall be rated IP-66 or better, and shall employ the use of borosilicate glass lenses. All luminaire internal components shall be assembled and pre-wired using modular electrical connections, and shall be designed for ease of component replacement and end-of-life disassembly.

All luminaires shall contain built-in drivers with power door assembly, and be of an approved streamlined design. Drivers shall be wired for line voltage as indicated on the plan sheets (plus or minus 10% line voltage, variation), 60-cycle, single phase, multiple circuit operation, with high power factor (90% or higher). The driver shall be suitable for the proper operation of the LED array inclusive to the luminaire assembly, with a minimum open circuit voltage as specified on the plan sheets, and shall be an easily replaceable part of the luminaire assembly. The luminaire shall be listed for wet locations by a U.S. Occupational Safety Health Administration (OSHA) Nationally Recognized Testing Laboratory (NRTL). The luminaire shall have lightning suppression equipment capable of meeting the performance requirements for electrical immunity as specified in ANSI C136.2, using a combination wave test level of at least 10kV/5kA.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**LED LUMINAIRE ASSEMBLY (BUG U0 TYPE)**

Luminaire assemblies (with accessories) shall be supplied in one style or model number from one manufacturer only. The contractor shall submit manufacturer's brochures with illustrations and data in addition to LM-79, LM-80 and TM-21 reports to the Arkansas Department of Transportation for approval of luminaires, accessories and installation details. All submitted luminaires shall be listed on the Department of Energy's LED Lighting Facts website, and all supporting calculations and test data from the LM-79, LM-80 and TM-21 reports must be in accordance with LED Lighting Facts guidance.

**B. Photo Cell.** Each luminaire assembly shall have a solid state photocell and receptacle in the top of the luminaire housing. Photocells shall have a locking-type photoelectric control with a rating of 5,000 operations minimum (13 years) on loads of 1800VA. The photocell shall operate at the same voltage rating as the luminaire driver.

3. **METHOD OF MEASUREMENT.** Completed and accepted LED Luminaire Assembly will be measured by the unit.
4. **BASIS OF PAYMENT.** Work completed and accepted under this item and measured as provided above shall be paid for at the contract unit price bid for each LED Luminaire Assembly, which price shall be full compensation for furnishing and installing the luminaires, lamps of the type described herein, driver, photocell, and all materials, equipment, tools, labor, and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
LED Luminaire Assembly	Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**LED TRAFFIC SIGNAL HEAD**

1. **DESCRIPTION.** This item shall consist of furnishing and installing 300 mm (12") diameter Traffic Signal Heads and components based on Light Emitting Diode (LED) technology according to these specifications as well as **Section 706 Traffic Signal Head** of the Standard Specifications for Highway Construction, Edition of 2014, to approval of the engineer. Portions of the standard specifications will be superseded by these special provisions.
2. **MATERIALS.** The LED modules shall be suitable for span wire and mast arm mounted signals. Units must meet the following specifications to be accepted.
  - (A) **Physical and Mechanical.** LED traffic signal modules designed shall not require special tools for installation. Retrofit replacement LED signal modules shall fit into existing traffic signal housings built to the VTCSH Standard without modification to the housing. Installation of a retrofit replacement LED signal module into an existing signal housing shall only require the removal of the existing optical unit components, i.e., lens, lamp, and gaskets; shall be weather tight and fit securely in the housing; and shall connect directly to existing electrical wiring utilizing spade connectors. It shall not be necessary to remove reflector or lamp module. Reflector and lamp module is not required where new housings are provided.
  - (B) **Optical Requirements.** The RED and GREEN modules shall be measured per ITE specifications, and are required to meet luminous values that are a minimum of 115 percent greater than the required minimum values in the specifications at the time of production. The YELLOW modules shall be tested for luminous output at 25°C, allowing the modules to achieve thermal equilibrium for 60 minutes, while the modules are energized at nominal operating voltage, at a 8.3% (or 1/12) duty cycle or 5 sec on/55 sec off). The yellow modules shall meet all other ITE specifications.
  - (C) **Optical Unit.** LED signal modules shall meet the following requirements:
    - Optical unit replacement** - The LED module shall be constructed to allow the replacement of the outer lens and/or the light engine when needed.
    - Lens Surface** - The external lens shall be smooth on the outside to prevent excessive dirt/dust buildup.
    - Tinting** - The RED, YELLOW and optionally on GREEN lens shall be tinted or shall use transparent film or materials with similar characteristics.
    - Chromaticity** - The measured coordinates of LED signal modules shall conform to the chromaticity requirements of Section 8.04 and Figure 1 of the VTCSH standard.
    - Environment** - The LED signal module shall be rated for use in the ambient operating temperature range, measured at the exposed rear of the module, of -40° C (-40° F) to +74°C (+165° F). The LED sign module shall be protected against dust and moisture intrusion per the requirements of NEMA Standard 250-1991, sections 4.7.2.1 and

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### LED TRAFFIC SIGNAL HEAD

4.7.3.2, for Type 4 enclosures to protect all internal LED, electronic, and electrical components. The LED signal module lens shall be UV Stabilized.

**Pre assembly** - The LED signal module shall be a single, self-contained device, not requiring on-site assembly for installation into an existing traffic signal housing. The power supply for the LED signal module may be either integral or packaged as a separate module. The power supply may be designed to fit and mount inside the traffic signal housing adjacent to the LED signal module. The assembly and manufacturing process for the LED signal assembly shall be designed to assure all internal LED and electronic components are adequately supported to withstand mechanical shock and vibration from high winds and other sources.

**LED Drive Circuitry (parallel)** - The individual LED light sources shall be wired so that a catastrophic failure of one LED light source will result in the loss of only that one LED light source, and the loss of no more than 1% of the total LED'S within the LED signal module.

**Material Composition** - Materials used for the lens and signal module construction shall conform to ASTM specification for the materials where applicable. Enclosures containing either the power supply or electronic components of the signal modules shall be made of UL94VO flame retardant materials. The lens of the signal module is excluded from this requirement.

**Identification Markings** - Each individual LED signal module shall be identified for warranty purposes. Each LED signal module shall be identified on the backside with the manufacturer's name and serial number. The following operating characteristics shall be identified: nominal operating voltage, power consumption, and Volt-Ampere. Modules shall have a prominent and permanent vertical indexing indicator, i.e. UP ARROW or the word UP or TOP, for correct indexing and orientation inside a signal housing. Modules conforming to this specification may have the following statement: "Manufactured in Conformance with the Interim Purchase Specification of the ITE for LED vehicle Traffic Signal Modules" on an attached label.

**The first sentence of Subsection 706.02, Materials. (d)** is deleted and the following substituted therefore:

The Contractor shall furnish and install the proper signs [either Left Turn Signal (MUTCD R10-10) or Left Turn Yield on Flashing Yellow Arrow (MUTCD Special) or Left Turn Yield on Green (symbolic green ball (MUTCD R10-12))] adjacent to signal heads controlling an exclusive left turn lane.

- (E) **Manufacturer's Warranty.** The standard contract warranty shall apply with time extensions applied to materials. The contractor shall provide a written manufacturer's guarantee to the Agency (City, County or etc.) who provides electrical service and maintenance of the intersection. Warranty shall provide the following stipulations:

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### LED TRAFFIC SIGNAL HEAD

- Isolated Failures Warranty Period not less than 7 Years
- Design Failure Warranty Period not less than 5 Years

Warranty for isolated lens failure shall include replacement LED module at no cost for materials and shipping for a period of 7 years from the date the intersection is considered substantially complete by the engineer. An LED module shall be considered failed when the luminosity drops below the ITE requirements listed above.

A product "Design Failure" is considered to have occurred if, within a period of 5 years or less, a total of ten percent (10%) of the LED modules supplied on a particular Job are considered failed as described above. The supplier shall then "recall" the entire shipment at no cost to the agency maintaining the equipment. This shall include labor and equipment necessary to replace the units.

3. **CONSTRUCTION REQUIREMENTS.** Construction shall be in accordance with the standard specifications. No distinction is made for span-wire installations, post mount, mast arm mount, or other mounting methods as described on the plan sheet(s).

Whether complete head assembly is replaced or existing head is retrofitted with new lenses, contractor shall be responsible for aligning head properly with approach lanes. This does not include relocating head and bracket, but adjusting the alignment of the head to achieve maximum visibility to motorists.

4. **METHOD OF MEASUREMENT.** Units are bid as "3 Section", "4 Section" or "5 Section". A 3 Section unit consists of one each: Red Ball, Yellow Ball, and Green Ball. A 4 Section unit consists of one each: Red Ball, Yellow Ball, Green Ball, and Green Arrow or Red Arrow, Yellow Ball, Yellow Arrow, and Green Arrow. A 5 Section unit consists of one each: Red Ball, Yellow Ball, Green Ball, Yellow Arrow, and Green Arrow. No distinction shall be made in the unit based on the orientation of the arrow indications.

A. **Traffic Signal Head, LED.** Work completed and accepted and measured as provided above will be measured by unit.

B. **Traffic Signal Head, LED Lens, Retrofit (Ret).** Work completed and accepted and measured as provided above will be measured by unit.

5. **BASIS OF PAYMENT.**

A. **LED Traffic Signal Head.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid per each for Traffic Signal Head, LED of the type, display and size specified, which price shall be full compensation for furnishing and installing all materials and signs; and for all labor, equipment, tools, and incidentals necessary to complete the work.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**LED TRAFFIC SIGNAL HEAD**

**B. LED Traffic Signal Lens Ret.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid per each for Traffic Signal Head, LED Lens, Retrofit of the type, number of sections, color and display specified, which price shall be full compensation for removing existing unnecessary hardware and modifying existing housing; and for furnishing and installing all materials; and for all labor, equipment, tools, and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Traffic Signal Head, LED, (___Section, 1 Way)	Each
Traffic Signal Head, LED Lens, Retrofit (___Section, 1 Way)	Each

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

JOB NO. 061457

#### SERVICE POINT ASSEMBLY (TRAFFIC CONTROL DEVICES)

**DESCRIPTION.** This item consists of furnishing and installing a distribution panel, circuit breaker, lightning arrestor, weatherhead, clamps, wiring, ground rod, and miscellaneous fittings at locations designated on the plans and in accordance with the latest version of the National Electrical Code.

Lightning arrestor shall be SPD Type 2 (load side) per NEC and UL Code 1449.

All construction and wiring shall be in compliance with local electrical codes. The Contractor shall perform all necessary liaisons with local power companies in order to ascertain such specific requirements as the power company may apply to each location.

**MATERIALS AND CONSTRUCTION REQUIREMENTS.** Height of the service riser weatherhead shall be 20 feet or greater depending on street crossings or other obstructions, unless otherwise approved by the Engineer.

The required weatherhead, conduit nipples, couplings, clamps and other fittings exposed to the weather shall be hot dipped galvanized steel and shall be attached to the pole in such a manner as to facilitate the final steel conduit connecting weatherhead. Service disconnect, distribution cabinet and tie to underground circuits is paid for by Service Point Assembly. Galvanized steel conduit for riser shall be paid as a separate item.

The Contractor shall furnish and install service feeder wire from the distribution cabinet to the main breaker and from the main breaker past the weatherhead. Tie-in and splicing of the service feeder wire to the secondaries supplied by the local utility will be performed by others and shall not be considered a part of this contract. Grounding shall be as shown on the Standard Drawing SD-9 (Service Point).

Mounted at the service location shall be NEMA 3R enclosure(s), circuit breaker, distribution panel and main breaker of a design and model number suitable to the local power company and as approved by the Engineer. The circuit breaker shall be magnetic trip only and sized in accordance with the plans. If required, a meter base provided by the utility company shall be installed above the distribution panel. All enclosures and circuit breakers shall be rated for 240 V.A.C. or greater, unless otherwise designated on the plan sheets. A 30 amp breaker shall be provided.

Where lighting is included in the signal installation for intersection lighting, a 20 amp breaker shall be provided.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**SERVICE POINT ASSEMBLY  
(TRAFFIC CONTROL DEVICES)**

The Contractor shall submit to the Engineer two (2) printed copies of the applicable brochures containing the design criteria for the equipment which the Contractor proposes to install for approval. The specific items that are proposed for use shall be clearly marked in the applicable brochures. A list shall be attached to identify the item and contain the manufacturer, quantity, model, and identifying descriptions of each item. The items to be submitted: load centers and enclosures, lightning arrestor, and all circuit breakers.

**METHOD OF MEASUREMENT.** Completed and accepted Service Point Assembly will be measured by the unit.

**BASIS OF PAYMENT.** Work completed and accepted and measured as provided above will be paid for at the contract unit price bid each for Service Point Assembly for the number of circuits specified, which price shall be full compensation for furnishing and installing a treated wood pole, enclosure(s), circuit breaker(s), main breaker, distribution panel, steel conduit, conduit fittings, wiring and ground rod; for testing the service point assembly; and for all materials, equipment, tools, labor, and incidentals necessary to complete the work.

Payment will be made under:

**Pay Item**

**Pay Unit**

Service Point Assembly (\_\_\_\_ Circuit(s))

Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**THERMOPLASTIC PAVEMENT MARKING (YIELD LINE)**

**Section 719 Thermoplastic Pavement Marking** of the Standard Specifications, Edition of 2014, is hereby amended as follows:

**Subsection 719.05 Basis of Payment** is hereby expanded to include the item Thermoplastic Pavement Marking (Yield Line).

Payment for this item will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Thermoplastic Pavement Marking (Yield Line)	Lin. Ft.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**STREET NAME SIGN  
(MAST ARM MOUNTED)**

**DESCRIPTION.** This item consists of furnishing and installing a Street Name Sign mounted on a traffic signal mast arm at locations designated on the plan sheets or as directed by the Engineer. All construction and materials shall be in accordance with the Standard Specifications for Highway Construction, Edition of 2014, with applicable supplemental specifications.

**MATERIALS AND CONSTRUCTION REQUIREMENTS.** Contractor shall provide all mounting hardware, sign blank, sheeting, tools, equipment and labor necessary to complete the installation. Sign design and construction shall be as shown on the plan sheets or as directed by the Engineer.

**METHOD OF MEASUREMENT.** Completed and accepted Street Name Sign shall be measured by the unit.

**BASIS OF PAYMENT.** Work completed, accepted and measured as provided above will be paid at the contract unit price bid for Street Name Sign which price shall be full compensation for furnishing the sign, mounting hardware, equipment, tools, labor, and incidentals necessary to complete the work.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
18" Street Name Sign	Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**EDGE CARD VIDEO PROCESSOR**

**Section 733 Video Detector with Radio Interface** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Subsection 733.02 Materials** is hereby amended by **adding** the following:

- (h) **Video Processor, Edge Card** - Unit shall insert into a standard NEMA Vehicle Detector Rack taking the position of **a single four-channel, 1 1/8" wide (single width) or a single four-channel 2" wide (double width) card slot**. Unit shall output to the standard vehicle channels with the provision to add extender cards for additional detector channels. Units shall be available for one or two video detector (camera) inputs.
- (i) **Video Edge Card Extender** - Unit shall insert into a standard NEMA Vehicle Detector Rack taking the position of **one card slot and be placed directly to the left of the associated Video Processor Edge Card**. Unit shall output to standard vehicle channels utilizing output channels from Video Processor Edge Card.
- (j) **Vehicle Detector Rack** – Unit consists of a standard NEMA TS2 Type 2 card rack unit with power supply, of the number of channels specified. Unit shall be configured with four (4) channels occupying one card slot of the rack. Unit shall be wired to be suitable for use with two (2) or four (4) channel card rack loop detectors, edge card video detectors, or video edge card extenders. Card rack shall be supplied with double width card slots if double width cards are utilized.
- (k) **Multi Port Edge Card Switch** – In lieu of providing a multi channel processor, contractor may utilize Video Processor, Edge Card with Extender Cards mounted in a Vehicle Detector Rack. When two or more Edge Cards are utilized, in order to achieve full functionality, the control and display of the Edge cards shall be combined into a single point switch allowing Ethernet, direct connect, and programming of the individual Edge Cards through a single unit. In lieu of a Multi Port Edge Card Switch, an environmentally hardened -35°C to +74°C rated 8 port RJ45 100/1000 Base-T Ethernet managed switch with power supply and patch cords shall be supplied.
- (l) **Video Detector Alignment Unit** – One programming module per job, for Zoom and focus of camera, shall be provided for alignment and setup of Detector. The module shall be given to the local government upon completion of the installation. The price for this unit shall be considered included in other items of the contract.

**Subsection 733.03 Construction Requirements (C) Software** is hereby **deleted** and the following substituted:

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**EDGE CARD VIDEO PROCESSOR**

- (C) **Software** - Software required for monitoring, setup and programming of the system shall be supplied as subsidiary to this special provision for the item "Video Processor" or "Video Processor, Edge Card", of the number of channels specified. Two licensed copies shall be required for the job. Software shall be windows based and operate from an IBM compatible, laptop with Windows XP or later operating system. If other programming device is required, one unit shall be supplied and it shall be considered subsidiary to this special provision.

**Subsection 733.04 Method of Measurement** is hereby amended by **adding** the following:

- (i) Video Processor, Edge Card of the number of inputs specified shall be measured by the unit.
- (j) Video Edge Card Extender shall be measured by the unit.
- (k) Vehicle Detector Rack of the number channels specified shall be measured by the unit.
- (l) Multi Port Edge Card switch is included in other items of the contract.

**Subsection 733.05 Basis of Payment** is hereby amended by **adding** the following:

- (i) **Video Processor, Edge Card** - Work completed and accepted under this item and measured as provided above, shall be paid for at the contract unit price for Video Processor, Edge Card of the number inputs specified; which price shall be full compensation for providing and installing the device, wiring, configuring, and testing the device; and shall also be for all labor, equipment, tools, and incidentals necessary to complete the work.
- (j) **Video Edge Card Extender** - Work completed and accepted under this item and measured as provided above, shall be paid for at the contract unit price for Video Edge Card Extender; which price shall be full compensation for providing and installing the device, wiring, configuring, and testing the device; and shall also be for all labor, equipment, tools, and incidentals necessary to complete the work.
- (k) **Vehicle Detector Rack** - Work completed and accepted under this item and measured as provided above, shall be paid for at the contract unit price for Vehicle Detector Rack of the number channels specified; which price shall be full compensation for providing and installing the device, wiring, configuring, and testing the device; and shall also be for all labor, equipment, tools and incidentals necessary to complete the work. Controller cabinet modifications, and removal of equipment inside the cabinet, and

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

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**EDGE CARD VIDEO PROCESSOR**

other work necessary for installation of the device shall be considered included in the price of this item.

- (l) Multi Port Edge Card Switch** - Work completed and accepted under this item will not be paid separately, but shall be included in the cost of other items of the contract where two or more Video Processors, Edge Card are utilized in the cabinet.
- (m) Video Detector Alignment Unit** - Work completed and accepted under this item will not be paid separately, but shall be included in the cost of other items of the contract.

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Video Processor, Edge Card ( ___ Camera)	Each
Video Edge Card Extender	Each
Vehicle Detector Rack ( ___ channel)	Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**VIDEO DETECTOR (COLOR)**

**Section 733 Video Detector with Radio Interface** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**The first sentence of Subsection 733.02, Materials. (a) Video Detector** is deleted and the following substituted therefore:

All video detectors shall consist of a color (CLR) video camera with electro-mechanically operated optical zoom lens, cable, manual pan and tilt bracket, wiring harness and all other accessories.

**Subsection 733.04 Method of Measurement (a) is deleted and the following substituted therefore:**

(a) Video Detector of the type specified shall be measured by the unit.

**Subsection 733.05 Basis of Payment. (a) Video Detector is hereby deleted and the following substituted therefore:**

Work completed and accepted under this item and measured as provided above, shall be paid for at the contract unit price bid per each for Video Detector of the type specified which price shall be full compensation for providing and installing the device, wiring and testing, aligning the zones; and shall also be for all labor equipment, tools and incidentals necessary to complete the work.

**The following Pay Item Video Detector (CLR) is added to Subsection 733.05 Basis of Payment:**

Payment will be made under:

<b>Pay Item</b>	<b>Pay Unit</b>
Video Detector (CLR)	Each

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB NO. 061457**

**SHORING FOR CULVERTS**

**DESCRIPTION:** Work under this item shall consist of the design, construction, and removal of a shoring or bracing system that may be required to retain the existing, temporary, or new roadway embankment and to maintain traffic during construction of culverts. The shoring system shall provide sufficient clearance for excavation and construction work and shall ensure the safety of the traveling public and workmen at all times.

**WORK TO BE PERFORMED:** Prior to construction of the shoring system, the Contractor shall submit the design and details of the system to the Engineer for informational and record purposes. Such submission shall include the design calculations, the kind and condition of materials to be used, working drawings showing all dimensions, and the procedure for installation of the system. The design and details submitted shall be prepared and/or approved by a Professional Engineer registered in Arkansas.

The Contractor shall be responsible for the adequacy of the temporary shoring during the entire period of construction. The Contractor shall be responsible for any and all damages and/or claims, including injury or death, arising out of the construction and use of temporary shoring.

The Contractor shall construct the shoring in accordance with the details submitted to the Engineer for informational purposes. Unless otherwise permitted by the Engineer, all components of the shoring system shall be removed upon completion of their use and shall remain the property of the Contractor.

**PAYMENT:** No direct payment will be made for work described in this special provision (which includes preparation of necessary design details and drawings, construction and removal of shoring, and for all materials, labor, tools, equipment, and incidentals necessary to complete the work) but shall be considered subsidiary to other pay items in the contract.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SPECIAL PROVISION**  
**JOB 061457**  
**INSURANCE, CONSTRUCTION, AND FLAGGING REQUIREMENTS ON RAILROAD**  
**PROPERTY (UPRR)**

**GENERAL**

The requirements of this Special Provision are intended to apply to construction activities on the Union Pacific Railroad Company right-of-way on Highway 319 and Highway 367 (437829U, Hoxie Sub, Railroad Mile Post 317.90) in Ward, Lonoke County Arkansas. For this project, a traffic signal will be installed at the intersection of Hwy 319 (Peyton) and Hwy 367. These requirements are in addition to construction details on the plans or called for elsewhere in the Specifications.

The Railroad Company's authorized representative is Mr. Christopher Reynolds, Manager of Track Maintenance (Hoxie Sub), 402-689-1990.

**INSURANCE**

For Insurance requirements, contact Mr. Rooke Jackson, Public Project Representative, 5233 Cockrell Ave., Fort Worth, TX 76133, (682) 274-3898, rjackson@benesch.com.

**CONTRACTOR'S RIGHT OF ENTRY AGREEMENT**

A Contractor's Right of Entry Agreement (CREA) must be completed and filed with the Railroad for this project. For information regarding the CREA contact Mr. Rooke Jackson, Public Project Representative, 5233 Cockrell Ave., Fort Worth, TX 76133, (682) 274-3898, rjackson@benesch.com.

**CONSTRUCTION**

The Contractor shall give at least 30 day's advance notice to the Railroad Company's authorized representative before starting any work whatsoever in the vicinity of the tracks. The Contractor shall reimburse the Railroad Company for any expense to the Company for all flagmen or other protective services or devices deemed necessary by the Railroad Company management during the performance of the work.

Fiber optic cable systems may be buried on the Railroad's property. The Contractor shall telephone the Arkansas One Call Center (800) 482-8998 to determine if fiber optic cable is buried anywhere on the Railroad's right-of-way within the project limits. If it is, the Contractor shall telephone the telecommunication company(ies) involved, arrange for a cable locator, and make arrangements for protection of the fiber optic cable prior to beginning any work on the Railroad's right-of-way.

The Contractor shall protect and hold harmless the Railroad and any other railroad company occupying or using the Railroad's right-of-way or line of the Railroad against all loss, liability and damage arising from activities of the Contractor, its forces or any of its subcontractors or agents.

Upon completion of work, the Contractor shall remove from the Railroad's premises all equipment, surplus material and debris, leaving the premises in a neat condition satisfactory to Railroad's superintendent.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SPECIAL PROVISION  
JOB 061457  
INSURANCE, CONSTRUCTION, AND FLAGGING REQUIREMENTS ON RAILROAD  
PROPERTY (UPRR)**

**HYDRODEMOLITION**

If hydrodemolition is required, the Contractor is responsible for providing falsework plans to UPRR for review and approval.

**FLAGGING**

Costs for flagging will be paid directly to the Railroad by the Contractor. Contact Mr. Rooke Jackson for more information.

**PAYMENT**

The costs incident to this Special Provision shall not constitute a separate pay item under this contract or be paid for directly but shall be considered to be subsidiary work pertaining to the various items of the contract.

**RAIL TRAFFIC**

The railroad estimates 5 trains traveling 60 mph over this crossing on a daily basis.

**FALSE DECKING**

If Contractor was planning to install false decking, including temporary false decking structures underneath the bridge, it is the Contractor's responsibility to provide to the plan to UPRR via Benesch Consultant noted above.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SPECIAL PROVISION  
JOB 061457  
INSURANCE, CONSTRUCTION, AND FLAGGING REQUIREMENTS ON RAILROAD  
PROPERTY (UPRR)**

**UNION PACIFIC RAILROAD  
CONTRACTORS RIGHT OF ENTRY AGREEMENT INSTRUCTIONS**

*Before Union Pacific Railroad Company can permit you to perform work on its property for the Purpose, it will be necessary for you to complete and execute two originals of the enclosed Contractor's Right of Entry Agreement. For further instructions, contact Mr. Rooke Jackson at the contact information above.*

*Please include a check made payable to the Union Pacific Railroad Company in the amount of \$1,025.00 for the non-refundable fee. If you require formal billing, you may consider this letter as a formal bill. In compliance with the Internal Revenue Services' new policy regarding their Form 1099, I certify that 94-6001323 is the Railroad Company's correct Federal Taxpayer Identification Number and that Union Pacific Railroad Company is doing business as a corporation.*

*The Contractor is required to procure Railroad Protective Liability Insurance (RPLI) for the duration of this project. Railroad Protective Liability Insurance (RPLI) may be obtained from any insurance company which offers such coverage. Union Pacific has also worked with a national broker, Marsh USA, to make available RPLI to you or your contractor. You can find additional information, premium quotes, and application forms at: [www.uprr.marsh.com](http://www.uprr.marsh.com).*

*This agreement will not be accepted by the Railroad Company until you have returned all of the following to the undersigned at Union Pacific Railroad Company:*

- 1. Executed, unaltered duplicate original counterparts of the Contractor's Right of Entry Agreement;*
- 2. Your check in the amount of \$1,025.00 to pay the non-refundable fee. (The Folder Number should be written on the check to insure proper credit). If you require formal billing, you may consider this letter as a formal bill;*
- 3. Copies of all of your up-to-date General Liability, Auto Liability & Workman's Compensation Insurance Certificates (yours and all contractors'), naming Union Pacific Railroad Company as additional insured;*
- 4. Copy of your up-to-date Railroad Protective Liability Insurance Certificate (yours and all contractors'), naming Union Pacific Railroad Company as the primary insured.*

**RETURN ALL OF THESE REQUIRED ITEMS TOGETHER IN ONE ENVELOPE.  
DO NOT MAIL ANY ITEM SEPARATELY.**



## **PUBLIC PROJECT PRE-CONSTRUCTION INFORMATION**

### **UPRR SAFETY TRAINING**

All contractors and subcontractors may not begin work on UPRR property until the successful completion of Union Pacific Property Access Training (UP-PAT) available at the link below. All contractors are responsible to ensure their subcontractors comply with all UPRR safety requirements. Failure to complete UPRR safety training or abide by UPRR safety rules may result in removal from UPRR property. Questions regarding UPRR safety training or UPRR safety requirements should be addressed with UPRR's PM.

<https://www.up.com/aboutup/community/safety/erailsafe/up-pat/index.htm>

### **PRE-CONSTRUCTION MEETINGS**

UPRR's PM shall be invited to pre-construction meetings involving work on UPRR property. All contractors and subcontractors are responsible for the information shared by UPRR's representative(s) at the pre-construction meeting.

### **OPERATIONALLY CRITICAL WORK AND SUBMITTALS: (4 to 6 weeks review timeline)**

Work that impacts (or could impact) UPRR operations is designated as Operationally Critical (OC) work. All OC work plans shall be submitted to UPRR's PM for engineering review. Failure to provide required submittals will result in project delays. Required submittals are specified in *UP Railroad - BNSF Railway Guidelines for Railroad Grade Separation Projects* and include:

- a. Shoring
- b. Falsework
- c. Demolition (substructure and superstructure)
- d. Erection (overhead and underpass structures)
- e. Construction Phasing Plans

Additional submittals required, but not specified in the Guidelines include:

- a. All work plans that remove tracks from service (track outage windows require a detailed Gantt chart when greater than 2 hours)
- b. Contingency plans (only Underpass Structures)
- c. Additional submittals may be required on a project by project basis.

### **PROJECT COORDINATION:**

#### **Railroad Contacts:**



<b>Railroad Emergency Number (RMCC)</b>	<b>1-888-877-7267</b>
Primary UPRR point of contact	Rooke Jackson, Benesch PM – Industry & Public Projects (682) 274-3898 rjackson@benesch.com  Jason Taylor, Benesch Manager of Construction/Inspection (254) 652-0774 jtaylor@benesch.com
UPRR flagging services and Track Work	<b>Marc Hook, Sr. Manager Track Maintenance (MTM)</b> <b>(501) 237-2085</b> <b>mrhook@up.com</b>
UPRR signal wireline identification/location	Donnie Burrow, Manager Signal Maintenance (MSM) (870) 926-5085 dgburrow@up.com
Railroad's Fiber Optic Hotline	<b>1-800-336-9193</b>



1. All soils imported to or removed from UPRR right-of-way must be tested for contaminants per UPRR requirements. Arrangement for testing and, if removing soil from UPRR, acceptance of the disposal site must be made well in advance – **60 days** is recommended to reduce the risk of delay. Removal/Placement of Soil agreement may be required.
2. Drainage shall not be altered or otherwise impacted without UPRR PE acceptance\*. Adequate positive drainage must be provided at all times adjacent to UPRR tracks and as required by UPRR PE.
3. Stormwater and regulatory compliance must be implemented per project specifications and permitting, and exceptions observed will be communicated by the Contractor.
4. Access used by UPRR, either public or private shall not be impacted or altered without UPRR PE acceptance\*.
5. Review UPRR's 4<sup>th</sup> quarter moratorium on track windows.
6. Establish communication protocol between UPRR PE, Benesch PM, Flagman, Contractor, and Agency. The primary contacts should be the Agency and Benesch PM.
7. Review project schedule – it must be continuously updated for flagging and track outage window planning.
8. Schedule for weekly meetings and conference call information.
9. Flagging, scheduled track outage windows and any changes with them require advance planning – plan accordingly. Track window requests must be minimized in duration and frequency, are never guaranteed and must be acceptable by UPRR. For track window requests:
  - a.  $\geq 6$  hours for scheduled “absolute” windows, 60-day lead-time.
  - b.  $< 6$  hours for scheduled “absolute” windows, 14-day lead-time.
  - c.  $< 2$  hours, work with flagman and dispatcher, lead-time may not be necessary.
  - d. Gantt Charts shall be prepared, reviewed and accepted\* by UPRR PE one week prior to all scheduled windows greater than 2 hours.
  - e. All submittals shall conform to acceptable work window durations.

## **ADDITIONAL ITEMS**

Contact Rooke Jackson to discuss the submittal process work from the State's side.



UPRR Audit No.:

**CONTRACTOR'S RIGHT OF ENTRY  
AGREEMENT**

**THIS AGREEMENT** is made and entered into as of the \_\_\_\_ day of \_\_\_\_\_,  
20\_\_, by and between **UNION PACIFIC RAILROAD COMPANY**, a Delaware corporation  
("Railroad"); and

\_\_\_\_\_ (*Name of Contractor*)

a \_\_\_\_\_ corporation ("Contractor").

**RECITALS:**

Contractor has been hired by the State of Arkansas Highway and Transportation Department ("State") to complete subject job. The Railroad is willing to permit the Contractor to perform the work described above at the location described above subject to the terms and conditions contained in this Agreement

**AGREEMENT:**

**NOW, THEREFORE**, it is mutually agreed by and between Railroad and Contractor, as follows:

**ARTICLE 1 - DEFINITION OF CONTRACTOR.**

For purposes of this Agreement, all references in this agreement to Contractor shall include Contractor's contractors, subcontractors, officers, agents and employees, and others acting under its or their authority.

**ARTICLE 2 - RIGHT GRANTED; PURPOSE.**

Railroad hereby grants to Contractor the right, during the term hereinafter stated and upon and subject to each and all of the terms, provisions and conditions herein contained, to enter upon and have ingress to and egress from the property described in the Recitals for the purpose of performing the work described in the Recitals above. The right herein granted to Contractor is limited to those portions of Railroad's property specifically described herein, or as designated by the Railroad Representative named in Article 4.



**ARTICLE 3 - TERMS AND CONDITIONS CONTAINED IN EXHIBITS B, C & D.**

The General Terms and Conditions contained in **Exhibit B**, the Insurance Requirements contained in **Exhibit C**, and the Minimum Safety Requirements contained in **Exhibit D**, each attached hereto, are hereby made a part of this Agreement.

**ARTICLE 4 - ALL EXPENSES TO BE BORNE BY CONTRACTOR; RAILROAD REPRESENTATIVE.**

- A. Contractor shall bear any and all costs and expenses associated with any work performed by Contractor, or any costs or expenses incurred by Railroad relating to this Agreement.
- B. Contractor shall coordinate all of its work with the following Railroad representative or his or her duly authorized representative (the "Railroad Representative") Noted Above.



- C. Contractor, at its own expense, shall adequately police and supervise all work to be performed by Contractor and shall ensure that such work is performed in a safe manner as set forth in Section 7 of **Exhibit B**. The responsibility of Contractor for safe conduct and adequate policing and supervision of Contractor's work shall not be lessened or otherwise affected by Railroad's approval of plans and specifications involving the work, or by Railroad's collaboration in performance of any work, or by the presence at the work site of a Railroad Representative, or by compliance by Contractor with any requests or recommendations made by Railroad Representative.

**ARTICLE 5 - SCHEDULE OF WORK ON A MONTHLY BASIS.**

The Contractor, at its expense, shall provide on a monthly basis a detailed schedule of work to the Railroad Representative named in Article 4B above. The reports shall start at the execution of this Agreement and continue until this Agreement is terminated as provided in this Agreement or until the Contractor has completed all work on Railroad's property.

**ARTICLE 6 - TERM; TERMINATION.**

- A. The grant of right herein made to Contractor shall commence on the date of this Agreement, and continue until \_\_\_\_\_, unless sooner terminated as herein  
*(Expiration Date)*



provided, or at such time as Contractor has completed its work on Railroad's property, whichever is earlier. Contractor agrees to notify the Railroad Representative in writing when it has completed its work on Railroad's property.

- B. This Agreement may be terminated by either party on ten (10) days written notice to the other party.

**ARTICLE 7 - CERTIFICATE OF INSURANCE.**

- A. Before commencing any work, Contractor will provide Railroad with the (i) insurance binders, policies, certificates and endorsements set forth in **Exhibit C** of this Agreement, and (ii) the insurance endorsements obtained by each subcontractor as required under Section 12 of **Exhibit B** of this Agreement.
- B. All insurance correspondence, binders, policies, certificates and endorsements shall be sent to:

*Union Pacific Railroad Company  
Real Estate Department  
1400 Douglas Street, MS 1690  
Omaha, NE 68179-1690*

**ARTICLE 8 - DISMISSAL OF CONTRACTOR'S EMPLOYEE.**

At the request of Railroad, Contractor shall remove from Railroad's property any employee of Contractor who fails to conform to the instructions of the Railroad Representative in connection with the work on Railroad's property, and any right of Contractor shall be suspended until such removal has occurred. Contractor shall indemnify Railroad against any claims arising from the removal of any such employee from Railroad's property.

**ARTICLE 9 - CROSSINGS.**

No additional vehicular crossings (including temporary haul roads) or pedestrian crossings over Railroad's trackage shall be installed or used by Contractor without the prior written permission of Railroad.

**ARTICLE 10 - CROSSINGS; COMPLIANCE WITH MUTCD AND FRA GUIDELINES.**

- A. No additional vehicular crossings (including temporary haul roads) or pedestrian crossings over Railroad's trackage shall be installed or used by Contractor without the prior written permission of Railroad.
- B. Any permanent or temporary changes, including temporary traffic control, to crossings must conform to the Manual of Uniform Traffic Control Devices (MUTCD) and any applicable Federal Railroad Administration rules, regulations and guidelines, and must be reviewed by the Railroad prior to any changes being implemented. In the event the Railroad is found to be out of compliance with federal safety regulations due to the Contractor's modifications, negligence, or any other reason arising from the Contractor's presence on the Railroad's property, the Contractor agrees to assume liability for any civil penalties imposed upon the Railroad for such



noncompliance.

**ARTICLE 11 - EXPLOSIVES.**

Explosives or other highly flammable substances shall not be stored or used on Railroad's property without the prior written approval of Railroad.

**IN WITNESS WHEREOF**, the parties hereto have duly executed this agreement in duplicate as of the date first herein written.

**UNION PACIFIC RAILROAD COMPANY**  
*(Federal Tax ID #94-6001323)*

By: \_\_\_\_\_  
Senior Manager-Real Estate

\_\_\_\_\_  
*(Name of Contractor)*

By \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_



## EXHIBIT B

### TO CONTRACTOR'S RIGHT OF ENTRY AGREEMENT

#### GENERAL TERMS & CONDITIONS

#### **Section 1. NOTICE OF COMMENCEMENT OF WORK - FLAGGING.**

- A. Contractor agrees to notify the Railroad Representative at least thirty (30) working days in advance of Contractor commencing its work and at least ten (10) working days in advance of proposed performance of any work by Contractor in which any person or equipment will be within twenty-five (25) feet of any track, or will be near enough to any track that any equipment extension (such as, but not limited to, a crane boom) will reach to within twenty-five (25) feet of any track. No work of any kind shall be performed, and no person, equipment, machinery, tool(s), material(s), vehicle(s), or thing(s) shall be located, operated, placed, or stored within twenty-five (25) feet of any of Railroad's track(s) at any time, for any reason, unless and until a Railroad flagman is provided to watch for trains. Upon receipt of such ten (10)-day notice, the Railroad Representative will determine and inform Contractor whether a flagman need be present and whether Contractor needs to implement any special protective or safety measures. If flagging or other special protective or safety measures are performed by Railroad, Railroad will bill Contractor for such expenses incurred by Railroad, unless Railroad and a federal, state or local governmental entity have agreed that Railroad is to bill such expenses to the federal, state or local governmental entity. If Railroad will be sending the bills to Contractor, Contractor shall pay such bills within thirty (30) days of Contractor's receipt of billing. If Railroad performs any flagging, or other special protective or safety measures are performed by Railroad, Contractor agrees that Contractor is not relieved of any of its responsibilities or liabilities set forth in this Agreement.
- B. The rate of pay per hour for each flagman will be the prevailing hourly rate in effect for an eight-hour day for the class of flagmen used during regularly assigned hours and overtime in accordance with Labor Agreements and Schedules in effect at the time the work is performed. In addition to the cost of such labor, a composite charge for vacation, holiday, health and welfare, supplemental sickness, Railroad Retirement and unemployment compensation, supplemental pension, Employees Liability and Property Damage and Administration will be included, computed on actual payroll. The composite charge will be the prevailing composite charge in effect at the time the work is performed. One and one-half times the current hourly rate is paid for overtime, Saturdays and Sundays, and two and one-half times current hourly rate for holidays. Wage rates are subject to change, at any time, by law or by agreement between Railroad and its employees, and may be retroactive as a result of negotiations or a ruling of an authorized governmental agency. Additional charges on labor are also subject to change. If the wage rate or additional charges are changed, Contractor (or the governmental entity, as applicable) shall pay on the basis of the new rates and charges.
- C. Reimbursement to Railroad will be required covering the full eight-hour day during which any flagman is furnished, unless the flagman can be assigned to other Railroad work during a portion of such day, in which event reimbursement will not be required for the portion of the day during which the flagman is engaged in other Railroad work. Reimbursement will also be required for any day not actually worked by the flagman following the flagman's assignment to work on the project for which Railroad is required to pay the flagman and which could not reasonably be avoided by Railroad by assignment of such flagman to other work, even though Contractor may not be working during such time. When it becomes necessary for Railroad to bulletin and assign an employee to a flagging position in compliance with union collective bargaining agreements, Contractor must provide Railroad a minimum of five (5) days notice prior to the cessation of the need for a flagman. If five (5) days notice of cessation is not given, Contractor will still be required to pay flagging charges for the five (5) day notice period required by union agreement to be given to the employee, even though flagging is not required for that period. An additional ten (10) days notice must then be given to Railroad if flagging services are needed again after such five day cessation notice has been given to Railroad.

#### **Section 2. LIMITATION AND SUBORDINATION OF RIGHTS GRANTED**

- A. The foregoing grant of right is subject and subordinate to the prior and continuing right and obligation of the Railroad to use and maintain its entire property including the right and power of Railroad to construct, maintain, repair, renew, use, operate, change, modify or relocate railroad tracks, roadways, signal, communication, fiber optics, or other wirelines, pipelines and other facilities upon, along or across any or all parts of its property, all or any of which may be freely done at any time or times by Railroad without liability to Contractor or to any other party for compensation or damages.

- B. The foregoing grant is also subject to all outstanding superior rights (including those in favor of licensees and lessees of Railroad's property, and others) and the right of Railroad to renew and extend the same, and is made without covenant of title or for quiet enjoyment.

**Section 3. NO INTERFERENCE WITH OPERATIONS OF RAILROAD AND ITS TENANTS.**

- A. Contractor shall conduct its operations so as not to interfere with the continuous and uninterrupted use and operation of the railroad tracks and property of Railroad, including without limitation, the operations of Railroad's lessees, licensees or others, unless specifically authorized in advance by the Railroad Representative. Nothing shall be done or permitted to be done by Contractor at any time that would in any manner impair the safety of such operations. When not in use, Contractor's machinery and materials shall be kept at least fifty (50) feet from the centerline of Railroad's nearest track, and there shall be no vehicular crossings of Railroads tracks except at existing open public crossings.
- B. Operations of Railroad and work performed by Railroad personnel and delays in the work to be performed by Contractor caused by such railroad operations and work are expected by Contractor, and Contractor agrees that Railroad shall have no liability to Contractor, or any other person or entity for any such delays. The Contractor shall coordinate its activities with those of Railroad and third parties so as to avoid interference with railroad operations. The safe operation of Railroad train movements and other activities by Railroad takes precedence over any work to be performed by Contractor.

**Section 4. LIENS.**

Contractor shall pay in full all persons who perform labor or provide materials for the work to be performed by Contractor. Contractor shall not create, permit or suffer any mechanic's or materialmen's liens of any kind or nature to be created or enforced against any property of Railroad for any such work performed. Contractor shall indemnify and hold harmless Railroad from and against any and all liens, claims, demands, costs or expenses of whatsoever nature in any way connected with or growing out of such work done, labor performed, or materials furnished. If Contractor fails to promptly cause any lien to be released of record, Railroad may, at its election, discharge the lien or claim of lien at Contractor's expense.

**Section 5. PROTECTION OF FIBER OPTIC CABLE SYSTEMS.**

- A. Fiber optic cable systems may be buried on Railroad's property. Protection of the fiber optic cable systems is of extreme importance since any break could disrupt service to users resulting in business interruption and loss of revenue and profits. Contractor shall telephone Railroad during normal business hours (7:00 a.m. to 9:00 p.m. Central Time, Monday through Friday, except holidays) at 1-800-336-9193 (also a 24-hour, 7-day number for emergency calls) to determine if fiber optic cable is buried anywhere on Railroad's property to be used by Contractor. If it is, Contractor will telephone the telecommunications company(ies) involved, make arrangements for a cable locator and, if applicable, for relocation or other protection of the fiber optic cable. Contractor shall not commence any work until all such protection or relocation (if applicable) has been accomplished.
- B. In addition to other indemnity provisions in this Agreement, Contractor shall indemnify, defend and hold Railroad harmless from and against all costs, liability and expense whatsoever (including, without limitation, attorneys' fees, court costs and expenses) arising out of any act or omission of Contractor, its agents and/or employees, that causes or contributes to (1) any damage to or destruction of any telecommunications system on Railroad's property, and/or (2) any injury to or death of any person employed by or on behalf of any telecommunications company, and/or its contractor, agents and/or employees, on Railroad's property. Contractor shall not have or seek recourse against Railroad for any claim or cause of action for alleged loss of profits or revenue or loss of service or other consequential damage to a telecommunication company using Railroad's property or a customer or user of services of the fiber optic cable on Railroad's property.

**Section 6. PERMITS - COMPLIANCE WITH LAWS.**

In the prosecution of the work covered by this Agreement, Contractor shall secure any and all necessary permits and shall comply with all applicable federal, state and local laws, regulations and enactments affecting the work including, without limitation, all applicable Federal Railroad Administration regulations.

**Section 7. SAFETY.**

- A. Safety of personnel, property, rail operations and the public is of paramount importance in the prosecution of the work performed by Contractor. Contractor shall be responsible for initiating, maintaining and supervising all safety, operations and programs in connection with the work. Contractor shall at a minimum comply with Railroad's safety standards listed in

- Exhibit D**, hereto attached, to ensure uniformity with the safety standards followed by Railroad's own forces. As a part of Contractor's safety responsibilities, Contractor shall notify Railroad if Contractor determines that any of Railroad's safety standards are contrary to good safety practices. Contractor shall furnish copies of **Exhibit D** to each of its employees before they enter the job site.
- B. Without limitation of the provisions of paragraph A above, Contractor shall keep the job site free from safety and health hazards and ensure that its employees are competent and adequately trained in all safety and health aspects of the job.
- C. Contractor shall have proper first aid supplies available on the job site so that prompt first aid services may be provided to any person injured on the job site. Contractor shall promptly notify Railroad of any U.S. Occupational Safety and Health Administration reportable injuries. Contractor shall have a nondelegable duty to control its employees while they are on the job site or any other property of Railroad, and to be certain they do not use, be under the influence of, or have in their possession any alcoholic beverage, drug or other substance that may inhibit the safe performance of any work.
- D. If and when requested by Railroad, Contractor shall deliver to Railroad a copy of Contractor's safety plan for conducting the work (the "Safety Plan"). Railroad shall have the right, but not the obligation, to require Contractor to correct any deficiencies in the Safety Plan. The terms of this Agreement shall control if there are any inconsistencies between this Agreement and the Safety Plan.

**Section 8. INDEMNITY.**

- A. To the extent not prohibited by applicable statute, Contractor shall indemnify, defend and hold harmless Railroad, its affiliates, and its and their officers, agents and employees (individually an "Indemnified Party" or collectively "Indemnified Parties") from and against any and all loss, damage, injury, liability, claim, demand, cost or expense (including, without limitation, attorney's, consultant's and expert's fees, and court costs), fine or penalty (collectively, "Loss") incurred by any person (including, without limitation, any Indemnified Party, Contractor, or any employee of Contractor or of any Indemnified Party) arising out of or in any manner connected with (i) any work performed by Contractor, or (ii) any act or omission of Contractor, its officers, agents or employees, or (iii) any breach of this Agreement by Contractor.
- B. The right to indemnity under this Section 8 shall accrue upon occurrence of the event giving rise to the Loss, and shall apply regardless of any negligence or strict liability of any Indemnified Party, except where the Loss is caused by the sole active negligence of an Indemnified Party as established by the final judgment of a court of competent jurisdiction. The sole active negligence of any Indemnified Party shall not bar the recovery of any other Indemnified Party.
- C. Contractor expressly and specifically assumes potential liability under this Section 8 for claims or actions brought by Contractor's own employees. Contractor waives any immunity it may have under worker's compensation or industrial insurance acts to indemnify the Indemnified Parties under this Section 8. Contractor acknowledges that this waiver was mutually negotiated by the parties hereto.
- D. No court or jury findings in any employee's suit pursuant to any worker's compensation act or the Federal Employers' Liability Act against a party to this Agreement may be relied upon or used by Contractor in any attempt to assert liability against any Indemnified Party.
- E. The provisions of this Section 8 shall survive the completion of any work performed by Contractor or the termination or expiration of this Agreement. In no event shall this Section 8 or any other provision of this Agreement be deemed to limit any liability Contractor may have to any Indemnified Party by statute or under common law.

**Section 9. RESTORATION OF PROPERTY.**

In the event Railroad authorizes Contractor to take down any fence of Railroad or in any manner move or disturb any of the other property of Railroad in connection with the work to be performed by Contractor, then in that event Contractor shall, as soon as possible and at Contractor's sole expense, restore such fence and other property to the same condition as the same were in before such fence was taken down or such other property was moved or disturbed. Contractor shall remove all of Contractor's tools, equipment, rubbish and other materials from Railroad's property promptly upon completion of the work, restoring Railroad's property to the same state and condition as when Contractor entered thereon.

**Section 10. WAIVER OF DEFAULT.**

Waiver by Railroad of any breach or default of any condition, covenant or agreement herein contained to be kept, observed and performed by Contractor shall in no way impair the right of Railroad to avail itself of any remedy for any subsequent breach or default.

**Section 11. MODIFICATION - ENTIRE AGREEMENT.**

No modification of this Agreement shall be effective unless made in writing and signed by Contractor and Railroad. This Agreement and the exhibits attached hereto and made a part hereof constitute the entire understanding between Contractor and Railroad and cancel and supersede any prior negotiations, understandings or agreements, whether written or oral, with respect to the work to be performed by Contractor.

**Section 12. ASSIGNMENT - SUBCONTRACTING.**

Contractor shall not assign or subcontract this Agreement, or any interest therein, without the written consent of the Railroad. Contractor shall be responsible for the acts and omissions of all subcontractors. Before Contractor commences any work, the Contractor shall, except to the extent prohibited by law; (1) require each of its subcontractors to include the Contractor as "Additional Insured" in the subcontractor's Commercial General Liability policy and Business Automobile policies with respect to all liabilities arising out of the subcontractor's performance of work on behalf of the Contractor by endorsing these policies with ISO Additional Insured Endorsements CG 20 26, and CA 20 48 (or substitute forms providing equivalent coverage); (2) require each of its subcontractors to endorse their Commercial General Liability Policy with "Contractual Liability Railroads" ISO Form CG 24 17 10 01 (or a substitute form providing equivalent coverage) for the job site; and (3) require each of its subcontractors to endorse their Business Automobile Policy with "Coverage For Certain Operations In Connection With Railroads" ISO Form CA 20 70 10 01 (or a substitute form providing equivalent coverage) for the job site.

## EXHIBIT C

### TO CONTRACTOR'S RIGHT OF ENTRY AGREEMENT

#### INSURANCE REQUIREMENTS

Contractor shall, at its sole cost and expense, procure and maintain during the course of the Project and until all Project work on Railroad's property has been completed and the Contractor has removed all equipment and materials from Railroad's property and has cleaned and restored Railroad's property to Railroad's satisfaction, the following insurance coverage:

**A. COMMERCIAL GENERAL LIABILITY INSURANCE.** Commercial general liability (CGL) with a limit of not less than \$1,000,000 each occurrence and an aggregate limit of not less than \$2,000,000. CGL insurance must be written on ISO occurrence form CG 00 01 12 04 (or a substitute form providing equivalent coverage).

The policy must also contain the following endorsement, which must be stated on the certificate of insurance:

- Contractual Liability Railroads ISO form CG 24 17 10 01 (or a substitute form providing equivalent coverage) showing "Union Pacific Railroad Company Property" as the Designated Job Site.

**B. BUSINESS AUTOMOBILE COVERAGE INSURANCE.** Business auto coverage written on ISO form CA 00 01 10 01 (or a substitute form providing equivalent liability coverage) with a combined single limit of not less \$5,000,000 for each accident and coverage must include liability arising out of any auto (including owned, hired and non-owned autos).

The policy must contain the following endorsements, which must be stated on the certificate of insurance:

- Coverage For Certain Operations In Connection With Railroads ISO form CA 20 70 10 01 (or a substitute form providing equivalent coverage) showing "Union Pacific Property" as the Designated Job Site.

**C. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY INSURANCE.** Coverage must include but not be limited to:

- Contractor's statutory liability under the workers' compensation laws of the state where the work is being performed.
- Employers' Liability (Part B) with limits of at least \$500,000 each accident, \$500,000 disease policy limit \$500,000 each employee.

If Contractor is self-insured, evidence of state approval and excess workers compensation coverage must be provided.

Coverage must include liability arising out of the U. S. Longshoremen's and Harbor Workers' Act, the Jones Act, and the Outer Continental Shelf Land Act, if applicable.

**D. RAILROAD PROTECTIVE LIABILITY INSURANCE.** Contractor must maintain Railroad Protective Liability insurance written on ISO occurrence form CG 00 35 12 04 (or a substitute form providing equivalent coverage) on behalf of Railroad as named insured, with a limit of not less than \$2,000,000 per occurrence and an aggregate of \$6,000,000. A binder stating the policy is in place must be submitted to Railroad before the work may be commenced and until the original policy is forwarded to Railroad.

**E. UMBRELLA OR EXCESS INSURANCE.** If Contractor utilizes umbrella or excess policies, these policies must "follow form" and afford no less coverage than the primary policy. Requirements in Section A – C may be satisfied through a combination of primary and excess/umbrella insurance policies.

#### OTHER REQUIREMENTS

**F.** All policy(ies) required above (except worker's compensation and employers liability) must include Railroad as "Additional Insured" using ISO Additional Insured Endorsement CG 20 26 (or substitute forms providing equivalent coverage). The coverage provided to Railroad as additional insured shall not be limited by Contractor's liability under the indemnity provisions of this Agreement. BOTH CONTRACTOR AND COMPANY EXPECT THAT UNION PACIFIC RAILROAD COMPANY WILL BE PROVIDED WITH THE BROADEST POSSIBLE COVERAGE AVAILABLE BY OPERATION OF LAW UNDER ISO ADDITIONAL INSURED FORM CG 20 26 (OR SUBSTITUTE FORMS PROVIDING EQUIVALENT COVERAGE).

- G. Contractor waives all rights of recovery, and its insurers also waive all rights of subrogation of damages against Railroad and its agents, officers, directors and employees. This waiver must be stated on the certificate of insurance.
- H. Prior to commencing the work, Contractor shall furnish Railroad with a certificate(s) of insurance, executed by a duly authorized representative of each insurer, showing compliance with the insurance requirements in this Agreement.
- I. All insurance policies must be written by a reputable insurance company acceptable to Railroad or with a current Best's Insurance Guide Rating of A- and Class VII or better, and authorized to do business in the state where the work is being performed.
- J. The fact that insurance is obtained by Contractor or by Railroad on behalf of Contractor will not be deemed to release or diminish the liability of Contractor, including, without limitation, liability under the indemnity provisions of this Agreement. Damages recoverable by Railroad from Contractor or any third party will not be limited by the amount of the required insurance coverage.

## EXHIBIT D

### TO CONTRACTOR'S RIGHT OF ENTRY AGREEMENT

#### MINIMUM SAFETY REQUIREMENTS

The term "employees" as used herein refer to all employees of Contractor as well as all employees of any subcontractor or agent of Contractor.

#### I. CLOTHING

- A. All employees of Contractor will be suitably dressed to perform their duties safely and in a manner that will not interfere with their vision, hearing, or free use of their hands or feet.

Specifically, Contractor's employees must wear:

- i. Waist-length shirts with sleeves.
  - ii. Trousers that cover the entire leg. If flare-legged trousers are worn, the trouser bottoms must be tied to prevent catching.
  - iii. Footwear that covers their ankles and has a defined heel. Employees working on bridges are required to wear safety-toed footwear that conforms to the American National Standards Institute (ANSI) and FRA footwear requirements.
- B. Employees shall not wear boots (other than work boots), sandals, canvas-type shoes, or other shoes that have thin soles or heels that are higher than normal.
- C. Employees must not wear loose or ragged clothing, neckties, finger rings, or other loose jewelry while operating or working on machinery.

#### II. PERSONAL PROTECTIVE EQUIPMENT

Contractor shall require its employees to wear personal protective equipment as specified by Railroad rules, regulations, or recommended or requested by the Railroad Representative.

- i. Hard hat that meets the American National Standard (ANSI) Z89.1 – latest revision. Hard hats should be affixed with Contractor's company logo or name.
- ii. Eye protection that meets American National Standard (ANSI) for occupational and educational eye and face protection, Z87.1 – latest revision. Additional eye protection must be provided to meet specific job situations such as welding, grinding, etc.
- iii. Hearing protection, which affords enough attenuation to give protection from noise levels that will be occurring on the job site. Hearing protection, in the form of plugs or muffs, must be worn when employees are within:
  - 100 feet of a locomotive or roadway/work equipment
  - 15 feet of power operated tools
  - 150 feet of jet blowers or pile drivers
  - 150 feet of retarders in use (when within 10 feet, employees must wear dual ear protection – plugs and muffs)
- iv. Other types of personal protective equipment, such as respirators, fall protection equipment, and face shields, must be worn as recommended or requested by the Railroad Representative.

#### III. ON TRACK SAFETY

Contractor is responsible for compliance with the Federal Railroad Administration's Roadway Worker Protection regulations – 49CFR214, Subpart C and Railroad's On-Track Safety rules. Under 49CFR214, Subpart C, railroad contractors are responsible for the training of their employees on such regulations. In addition to the instructions contained in Roadway Worker Protection regulations, all employees must:

- i. Maintain a distance of twenty-five (25) feet to any track unless the Railroad Representative is present to authorize movements.

- ii. Wear an orange, reflectorized workwear approved by the Railroad Representative.
- iii. Participate in a job briefing that will specify the type of On-Track Safety for the type of work being performed. Contractor must take special note of limits of track authority, which tracks may or may not be fouled, and clearing the track. Contractor will also receive special instructions relating to the work zone around machines and minimum distances between machines while working or traveling.

#### **IV. EQUIPMENT**

- A. It is the responsibility of Contractor to ensure that all equipment is in a safe condition to operate. If, in the opinion of the Railroad Representative, any of Contractor's equipment is unsafe for use, Contractor shall remove such equipment from Railroad's property. In addition, Contractor must ensure that the operators of all equipment are properly trained and competent in the safe operation of the equipment. In addition, operators must be:
  - i. Familiar and comply with Railroad's rules on lockout/tagout of equipment.
  - ii. Trained in and comply with the applicable operating rules if operating any hy-rail equipment on-track.
  - iii. Trained in and comply with the applicable air brake rules if operating any equipment that moves rail cars or any other railbound equipment.
- B. All self-propelled equipment must be equipped with a first-aid kit, fire extinguisher, and audible back-up warning device.
- C. Unless otherwise authorized by the Railroad Representative, all equipment must be parked a minimum of twenty-five (25) feet from any track. Before leaving any equipment unattended, the operator must stop the engine and properly secure the equipment against movement.
- D. Cranes must be equipped with three orange cones that will be used to mark the working area of the crane and the minimum clearances to overhead powerlines.

#### **V. GENERAL SAFETY REQUIREMENTS**

- A. Contractor shall ensure that all waste is properly disposed of in accordance with applicable federal and state regulations.
- B. Contractor shall ensure that all employees participate in and comply with a job briefing conducted by the Railroad Representative, if applicable. During this briefing, the Railroad Representative will specify safe work procedures, (including On-Track Safety) and the potential hazards of the job. If any employee has any questions or concerns about the work, the employee must voice them during the job briefing. Additional job briefings will be conducted during the work as conditions, work procedures, or personnel change.
- C. All track work performed by Contractor meets the minimum safety requirements established by the Federal Railroad Administration's Track Safety Standards 49CFR213.
- D. All employees comply with the following safety procedures when working around any railroad track:
  - i. Always be on the alert for moving equipment. Employees must always expect movement on any track, at any time, in either direction.
  - ii. Do not step or walk on the top of the rail, frog, switches, guard rails, or other track components.
  - iii. In passing around the ends of standing cars, engines, roadway machines or work equipment, leave at least 20 feet between yourself and the end of the equipment. Do not go between pieces of equipment if the opening is less than one car length (50 feet).
  - iv. Avoid walking or standing on a track unless so authorized by the employee in charge.
  - v. Before stepping over or crossing tracks, look in both directions first.
  - vi. Do not sit on, lie under, or cross between cars except as required in the performance of your duties and only when track and equipment have been protected against movement.
- E. All employees must comply with all federal and state regulations concerning workplace safety.



**BUILDING AMERICA®**

7/25/2016

VIA Electronic Mail

Ms. Jessie Jones  
Arkansas State Highway and Transportation Department  
PO Box 2261  
Little Roc, AR 72203-2261

Re: Buy America Compliance

Dear Ms Jones:

This letter is to confirm Union Pacific's procedure with respect to Buy America compliance on projects involving FHWA funds.

All portions of these projects whether performed by the State or Union Pacific shall be performed in compliance with 23 CFR 635.410 and 23 USC 313 as amended by Section 1518 of P.L. 112-141 Buy America Requirements.

Union Pacific shall maintain documentation/certification of all products of iron, steel, or a coating of steel that are incorporated into the project for a period of three years after completion of all obligations under this Agreement.

Within a reasonable time after State's written request, Company shall make such records available for State's audit during Company's regular business hours in Company's headquarters office located in Omaha, Nebraska.

The foregoing procedure is effective immediately and will apply to all FHWA-funded projects.

Sincerely,

Clay McManaman – Manager Special Projects

Acknowledged by: Division Engineer

By: \_\_\_\_\_

Name: Jessie Jones

Title: Division Engineer – Transportation Planning and Policy

Date: \_\_\_\_\_

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**JOB NO. 061457**

**STORM WATER POLLUTION PREVENTION PLAN**

**National Pollution Discharge Elimination System  
General Permit # ARR150000**

**Prepared for:**

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

Date: August 24, 2020

**GENERAL INFORMATION:**

A Storm Water Pollution Prevention Plan (SWPPP) has been developed by the ARDOT for this construction project in accordance with good engineering practice. Various items constitute the SWPPP for the project and should be provided for persons requesting to view the SWPPP, including:

- a) *The ARDOT Standard Specifications for Highway Construction, 2014 Edition*, (Standard Specifications). The following sections are in reference to water quality or sediment and erosion control: Sections 107, 110, 620, 621, 622, 623, 624, 626, and other sections pertaining to storm water controls.
- b) The Construction Plans contain temporary and permanent erosion controls and permanent storm water management measures.
- c) Contract documents provide the Contractor and ARDOT with additional specifications. These may include Supplemental Specifications and Special Provisions. Parts of the SWPPP that may be in the Contract include this Special Provision, *Storm Water Pollution Prevention Plan*.
- d) Project records including SWPPP inspection reports, the authorized Site Manager daily work report, and various pay quantity documentation, all of which detail the progression of work on the project, when erosion control measures were taken, when the Contractor was given instructions to install or maintain the erosion and sediment control (E&SC) items, and the timing and details of E&SC installation. The Contractor identification form and the Inspector identification form are included as part of the project records.
- e) Construction site posting.
  - i. For large construction sites (all sites five acres or above) – The first page of the *e-Portal* ADEQ Notice of Intent (NOI) submission, if ten business days have passed since the NOI was deemed complete, to be replaced by the completed Arkansas Department of Environmental Quality (ADEQ) Authorization Letter to Discharge Storm Water when it is sent by ADEQ.
  - ii. For small construction sites under five acres (automatic coverage sites) - the completed ADEQ Notice of Coverage for small sites from the ADEQ website.

**PROJECT NAME AND LOCATION:**

Job 061457, Hwy. 319 RR Sig. Upgrade & Traffic Sig. (Ward) (S)

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**JOB NO. 061457**  
**STORM WATER POLLUTION PREVENTION PLAN**

**OPERATOR NAME AND ADDRESS:**

Arkansas Department of Transportation

Name of District Engineer Mark Headley

Address of District Headquarters

8900 Mabelvale Pike, Little Rock, AR 72209 – I-30 Exit 130 (Mabelvale Overpass)

P.O. Box 190296, Little Rock, AR 72219-0296

Name of Resident Engineer (Contact Person) Sam Davies (Assistant RE)

Contact Number (501) 945-9514

**A. Site Description**

- 1) Pre-construction Topographic view: Refer to the plan and profile sheets for topographic and waterbody information.
- 2) Project Description and Intended Use after Notice of Termination (NOT) is filed:  
*This project includes widening of Hwy. 367 for left turn lanes and a traffic signal at the intersection with Hwy. 319.*
- 3) Sequence of Activities:

The sequence of Major Soil Disturbing Activities is shown below. **Be aware that the sequence below is provided as a general course of action for the progression of construction activities. Actual sequence of construction will be determined by the Contractor's schedule and field conditions.**

- a. Clearing and Grubbing/Removal and Disposal Items.
- b. Construction of Drainage Structures.
- c. Excavation and Fill.
- d. Rough grading of roadway.
- e. Seedbed preparation.

4) Total Acres Available: 2.11 Total Disturbed Area: 1.16

(\*Note: Any off-site borrow or waste areas are operated by the Contractor, who is responsible for obtaining any required NPDES permits for the sites. The "total acres available" and "total disturbed areas" shown here do not include areas covered under permits obtained by another operator. The Contractor is also responsible for meeting local regulations regarding these sites, including those of a Qualifying Local Program).

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5) Existing Site Information:

a. Runoff Coefficient Based on attachment C:

Before construction starts, the site has a runoff coefficient of 0.81

After construction is completed, the site will have a runoff coefficient of 0.88

b. Soil Information Brown and reddish tan silty fine sand with crushed stone

**B. Responsible Parties-General Contractors, Inspectors, etc:**

Refer to Contractor identification form in Section Q and the Inspector identification form in Section R. This information will be completed after the Pre-construction conference.

**C. Receiving Waters: (Permit Pg. 3 of Part II)**

1) Location of Surface Water on Construction Site:

The following surface waters are located on the construction site. List them by name with Station Numbers.

- a. N/A
- b. \_\_\_\_\_
- c. \_\_\_\_\_

2) The following bodies of water receive runoff from the construction site:

Name of Operator of Municipal Storm Sewer and/or Receiving Stream: Unnamed  
Tributary/City of Ward \_\_\_\_\_

Narrative Description of Nearest Water: Var. unnamed tributaries thence Cypress Bayou

Name of Ultimate Receiving Water: White River

Waterbodies that would require the fifty (50) foot buffer zone are Extraordinary Resource Waters (ERW), Ecologically Sensitive Waterbodies (ESW), Natural and Scenic Waterways (NSW), waterbodies with approved TMDLs, waterbodies on the 303(d) list, and/or other uses at the discretion of the Director of ADEQ.

Above categorized waterbodies, if any on project, list both waterbody and qualifier:

Cypress Bayou TMDL pathogens

**D. TMDL and 303(d) list can be found at:**

[\(http://www.adeq.state.ar.us/water/planning/integrated/\)](http://www.adeq.state.ar.us/water/planning/integrated/)

1) 303(d) Listed Waters - Select the following appropriate statement utilizing information received from the Environmental Division.

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Statement 1:

  X Storm water discharges from this site do not enter a waterbody on the list of waters impaired for turbidity or other pollutant which could be impacted by roadway construction on the 303(d) list.

Statement 2:

\_\_\_\_\_ Storm water discharges from this construction site enter a waterbody on the list of impaired waterbodies (303d list) for turbidity and/or other pollutant. The SWPPP has been developed with BMPs which are designed to minimize the discharge of these pollutants to the maximum extent practicable. Condition of sediment control BMPs will be monitored during regular inspections to ensure this goal is met.

- 2) TMDL Waters - Select the following appropriate statement utilizing information received from the Environmental Division.

Statement 1:

  X Storm water discharges from this site do not enter a waterbody with an approved TMDL for turbidity or other pollutant which could be impacted by roadway construction.

Statement 2:

\_\_\_\_\_ Storm water discharges from this construction site enter a waterbody with an established TMDL allocation for turbidity and/or other pollutant. A TMDL has been written for the waterbody that is applicable to the construction project. The following information documents the construction projects compliance with the TMDL:

- 1.) List TMDL assumptions and allocations: \_\_\_\_\_  
\_\_\_\_\_
- 2.) List measures taken to ensure that the discharge of pollutants from the site is consistent with the assumptions and allocations of the TMDL. \_\_\_\_\_  
\_\_\_\_\_

**E. Attainment of Water Quality Standards after Authorization:** (Permit Pg. 4 of Part II)

BMPs have been selected and will be installed and maintained at the construction site that will minimize the discharge of pollutants as necessary to meet applicable water quality standards.

**F. Site Map:** See Attachment A for items to be included. All of these items should be marked on the job plans maintained for the SWPPP.

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**G. Storm Water Controls**

1. Initial Site Stabilization, Erosion, & Sediment Controls: (Permit Pg. 5 of Part II)

Complete descriptions and specifications for control measures may be found in the ARDOT's Standard Specifications for Highway Construction, Supplemental Specifications, Special Provisions, Construction Contract, and Construction Plans. **All controls are designed and installed with the primary goal of retaining sediment on site to the maximum extent practicable.**

**Insert a description below of the construction activities that are a part of the initial site disturbance and stabilization, along with the appropriate controls measures and time of installation for that activity.** This information should be provided by the Contractor at the Pre-construction meeting.

Be aware that the list is general. Actual timing of erosion control installations will be determined daily based upon the construction activity occurring and actual field conditions.

(Construction Activity/Control/Timing)

- 1) Clearing and Grubbing  
Silt fences as shown on plans precede any soil disturbing activities/ongoing inspection and maintenance.
- 2) Roadway Subgrade and Ditches  
Silt fences and ditch checks as shown on plans precede any soil disturbing activities/ongoing inspection and maintenance.

2. Stabilization Practices: (Permit Pg. 5 of Part II)

List of Stabilization Practices to be utilized and scheduling of implementation for that practice:

- X Dust control - Wet down dusty areas as needed/ongoing.
- X Erosion control matting - As constructed.
- Geotextiles - \_\_\_\_\_
- X Limiting disturbed area - Will be limited by Engineer as discussed in Subsection 110.05(d) of Standard Specifications/ongoing
- X Mulches - To be placed immediately after seeding.
- Mulch control netting - \_\_\_\_\_
- X Off-site tracking controls (Either stabilized exits and/or wheel washing)\*
- Preserving existing vegetation - As shown on the job plans/ongoing
- X Sod stabilization - On F.E.S. and concrete ditch paving.
- X Temporary and permanent seeding - Will be initiated within 14 days of temporarily ceasing construction activity on a portion of the site or immediately initiated where construction activities have permanently ceased.

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Natural buffer zone – (Will be established along waterbodies with at least 25 feet for any unnamed streams, creeks, rivers, lakes, or other waterbodies and at least 50 feet for an established TMDL waterbody, streams listed on the 303d list, an ERW, ESW, NSW, and any others at the discretion of the Director of ADEQ.

If encroachment is necessary within these required buffer zones, briefly describe the reason why.)

When encroachment occurs, additional measures will be taken to protect the waterbody, and the contractor will be required to stabilize the disturbed area within the buffer zone within 5 business days of completion of work.

Slope Tracking - As constructed.

Other -

\*Stabilized exits will use either suitable sized rock as directed by the Engineer or manufactured devices designed to minimize the amount of soil being tracked off-site.

3. Structural Practices: (Permit Pg. 6 of Part II)

List of Structural Practices to be utilized and scheduling of implementation for that practice:

Sediment basins\* (to be utilized whenever 10 or more acres drain from common drainage locations on the site based upon 3600 cubic feet per acre or sized based on the runoff volume of a 10 year, 24 hours storm, unless not attainable. If not attainable, briefly describe reason(s) that a basin was not used)

Curb & gutter - As constructed

Ditch checks\*\* - As ditches are constructed.

Diversion ditches -

Drainage swales -

Drop inlet silt fences -

Erosion Control Matting - As constructed.

Gabions -

Inlet & outlet protection - As constructed.

Silt fences - Prior to soil disturbing activities.

Slope drains -

Storm sewer - As constructed.

Retaining walls -

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\_\_\_\_\_ Temporary Silt Dikes - \_\_\_\_\_  
\_\_\_\_\_ Wattles/Sediment Logs - \_\_\_\_\_  
 Filter Socks - As constructed.  
\_\_\_\_\_ Other - \_\_\_\_\_

\*Sediment will be removed from basins when design capacity is reduced by 50%. In addition, when a sediment basin is utilized per permit requirements, the procedures for the removal of a sediment basin can be found in the Standard Specifications Subsection 621.03.

\*\*Hay/Straw bales will not be used in areas of concentrated flow.

**H. Other Controls:** In addition to erosion control and storm water management, our plan will include measures to properly manage solid wastes, hazardous wastes, dust generation, and all other activities that will generate wastes during the construction phase. (Permit Pg. 7 of Part II)

1) Solid material control, debris, and wastes:

All solid materials discharged to waters of the United States shall be in accordance with Section 110 of the Standard Specifications, the applicable Section 404 Special Provisions in the Job Contract, the plans, and as authorized by a USA Corps of Engineers Section 404 Permit. Litter and construction debris will be prevented from becoming a pollutant source for storm water discharges. Any debris which inadvertently enters a water of the state will be removed daily.

2) Offsite vehicle tracking:

Each vehicle exit from the construction site must either be stabilized or use wheel washing to prevent the tracking of material onto the public roadway. (If sediment escapes the construction site through tracking, it will be removed by sweeping frequently enough to minimize off-site impacts to waterbodies.)

3) Temporary sanitary facilities:

Facilities will be provided and properly maintained by the Contractor in accordance with Subsection 107.06 of the Standard Specifications.

4) Concrete waste area:

Designated concrete washout waste area(s) will be established and utilized to prevent liquid concrete waste from being discharged to a water of the state.

5) Fuel storage, hazardous materials, and truck washing areas:

The following is a list of materials which could be potential sources of pollution in storm water runoff: asphalt materials, concrete, cement, concrete wash water, paint, solvents, petroleum products, fertilizers, concrete curing compound, lime, linseed oil, asphalt additives, concrete additives, and sewage. Handling of the above materials or other potential pollutants shall be in accordance with Subsection 110.06, Pollutants, of the Standard Specifications.

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**STORM WATER POLLUTION PREVENTION PLAN**

**I. Non-Storm Water Discharges:** (Permit Pg. 11-12 of Part I)

List of Anticipated Allowable Non-Storm Water Discharges\*:

- 1) Water used to wash vehicles (where detergents or other chemicals are not used) or control dust in accordance with Part II.A.4.H.2
- 2) Landscape Irrigation
- 3) Pavement wash waters where spills or leaks of toxic or hazardous material have not occurred (unless all spilled material have been removed) and where detergents or other chemicals are not used.
- 4) Uncontaminated springs, excavation dewatering, and groundwater (Part I.B.13.C). If dewatering is necessary and turbidity exists, the discharge will be managed with appropriate devices such as a sediment bag or basin prior to discharge.

\*Other Allowable Non-Storm Water Discharges are listed in the Permit Part I.B.10, but there is no reasonable anticipation of these discharges at this time.

**J. Post-Construction Storm Water Management:** (Permit Pg. 7 of Part II)

Permanent Storm Water Management - List of devices to be utilized for storm water infiltration and management:

<input checked="" type="checkbox"/>	Channel linings	<input checked="" type="checkbox"/>	Concrete ditch paving
<input checked="" type="checkbox"/>	Culverts	<input checked="" type="checkbox"/>	Curb and gutter
<input type="checkbox"/>	Detention basins	<input checked="" type="checkbox"/>	Drop inlets
<input type="checkbox"/>	Dumped riprap	<input type="checkbox"/>	Floodgates
<input type="checkbox"/>	Gabions	<input type="checkbox"/>	Grassed swale
<input checked="" type="checkbox"/>	Inlet & outlet protection	<input checked="" type="checkbox"/>	Permanent seeding
<input type="checkbox"/>	Retention pond	<input type="checkbox"/>	Riprap
<input checked="" type="checkbox"/>	Solid sodding	<input checked="" type="checkbox"/>	Storm sewer
<input type="checkbox"/>	Topsoil replacement	<input checked="" type="checkbox"/>	Underdrains
<input type="checkbox"/>	Velocity dissipators	<input type="checkbox"/>	Wetland creation
<input type="checkbox"/>	Other-list _____		

Velocity dissipation devices:

<input type="checkbox"/>	Concrete spillways	<input type="checkbox"/>	Grouted riprap
<input checked="" type="checkbox"/>	Permanent seeding & mulch	<input checked="" type="checkbox"/>	Underdrains
<input checked="" type="checkbox"/>	Solid sodding	<input checked="" type="checkbox"/>	Concrete ditch paving
<input type="checkbox"/>	Dumped riprap	<input type="checkbox"/>	Detention basins
<input type="checkbox"/>	Velocity dissipators	<input type="checkbox"/>	Wetland infiltration
<input type="checkbox"/>	Other-list _____		

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
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**K. State or Local Programs:** (Permit Pg. 8 of Part II)

The Arkansas State Highway Commission and the Arkansas Department of Transportation have the exclusive authority over the state highway system (See Ark. Code Ann. § 27-67-101, et al), therefore no local agencies would have authority or jurisdiction over the lands owned, controlled, and maintained by the ARDOT. The ARDOT will make every effort to address any concerns of local entities concerning storm water discharges from the state highway right of way.

This authority does not extend to the Contractor's off-site operations. The Contractor is responsible for complying with all State and Local Programs in accordance with Subsection 107.01 of the Standard Specifications.

**L. Inspections:** (Permit Pg. 8 of Part II)

Inspections will be conducted by a qualified inspector at the following frequency:

- Every 7 days or**  
 **Every 14 Days and within 24 hours after a ¼ inch or greater rainfall event.**

A report of the inspection will summarize the scope of the inspection, the name of the inspector, the date of inspection, and any damages observed and repairs made to any control measure. Completed inspection forms will be kept with the SWPPP.

**The following are the minimum inspection, maintenance, and reporting practices that will be used to maintain erosion and sediment controls at the construction site:**

1. Inspection form (Attachment B).
2. All erosion and sediment control measures will be maintained in good working order. If repair is necessary, it will be completed **within three (3) business days of discovery**.
3. All controls will be inspected to ensure that they meet the manufacturer's specifications.
4. Controls will be replaced or modified if periodic inspections reveal the device is not performing as intended.
5. Approximate times of beginning and duration of storm events.
6. Sediment basins and sediment traps will be cleaned out when they reach 50% of the original capacity.
7. A description of any discharges during inspections.
8. Inspections are not required if snow cover exists over the entire site for an extended period of time. If there is any runoff from the site at any time during snow cover, melting conditions would be considered to be existent at the site then inspections would need to be resumed.
9. All site entrances and exits will be checked to ensure no off-site tracking.
10. All components of the SWPPP and inspection reports will be maintained for a minimum of 3 years after permit termination.
11. In addition to inspection, records will be kept of the following:
  - a. Dates when major grading activities occur,
  - b. Dates when construction activities cease in an area, temporarily or permanently,
  - c. Dates when an area is stabilized, temporarily or permanently.

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**M. Maintenance:** All erosion and sediment control measures will be maintained in good working order. If a repair is necessary, it will be completed **within three (3) business days of discovery**. (Permit Pg. 9 of Part II)

However, if conditions do not permit large equipment to be used, a longer time frame is allowed if the condition is thoroughly documented on the inspection form as stated in the Permit Part II.4.M.

**N. Adverse Weather Conditions:** Adverse conditions are those that are dangerous or create inaccessibility for personnel, such as local flooding, high winds, or electrical storms, or situations that otherwise make inspections impractical, such as extended frozen conditions. When adverse weather conditions prevent the inspection of the site, an inspection should be completed as soon as safe and feasible. If adverse weather conditions prevent compliance with the permit, documentation of the beginning and ending date of adverse weather condition should be included. **This information will be documented in the Site Manager Program job records.**

**O. Endangered Species:** Endangered species clearance is obtained during the National Environmental Policy Act (NEPA) process for all ARDOT projects and is conducted in accordance with Section 7 of the Endangered Species Act. Further information about this process can be obtained by contacting the ARDOT Environmental Division at (501) 569-2522, or the U.S. Fish and Wildlife Service at (501) 513-4489.

**P. Employee Training:** ARDOT employees who perform inspections have received formal training in NPDES Storm Water requirements and SWPPP implementation. Training records will be available electronically or will be maintained with the SWPPP after the project commences.





**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**JOB NO. 061457**  
**STORM WATER POLLUTION PREVENTION PLAN**

**S. Plan Certification:** (Permit Pg. 9 of Part II) (To be completed by a duly authorized representative or the cognizant official.)

"I certify under penalty of law that this document and all attachments such as Inspection Form were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

Note: For this permit only, "this document" refers to the Storm Water Pollution Prevention Plan, "attachments" refers to the site map and inspection forms, and "system" is referencing the project site.

Printed Name: Trinity D. Smith

Printed Title: Engineer of Roadway Design

Signature: 

*CLM*

Date: 8-24-2020

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SPECIAL PROVISION**

**JOB 061457**

**DELAY IN RIGHT OF WAY OCCUPANCY**

Right of occupancy and use of the right of way for the following areas will be delayed until December 11, 2020, to allow for the completion of acquisition activities.

<u>Tract</u>	<u>Approximate Station Locations</u>
1, 3, 5, 6, 8, 9	470+63 to 479+50 Lt.
2	471+17 to 474+75 Rt.
4, 7, 10	475+44 to 479+60 Rt.

The Contractor is forewarned that they will in no event be allowed to perform work in the area(s) mentioned above until right of occupancy and use has, in fact, been extended to them.

In case there is a delay in extending the Contractor the right of occupancy and use beyond the anticipated dates as set forth above, and should such delay necessarily cause a delay in the Contractor's prosecution of the work, an equitable extension of contract time will be granted to the Contractor. No claim for extra compensation will be allowed, however, because of such delay.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SPECIAL PROVISION

#### JOB 061457

#### UTILITY ADJUSTMENTS

Utility facilities at the approximate locations noted in Appendix A will be removed, relocated and/or adjusted in accordance with separate agreements between the Department of Transportation and the respective utility owners.

In accordance with Subsection 105.07, Cooperation with Utilities, of the Standard Specifications, Edition of 2014, the Contractor is forewarned that such work may be underway concurrently with the work under this contract.

1. Owner – City of Ward Water and Sewer  
*Contact Bruce Jones, 501-843-2271, P.O. Box 237, Ward, AR 72176.*

It is anticipated that City of Ward Water and Sewer will be issued a work order by October 30, 2020 with an estimated completion date of January 30, 2021.

2. Owner – CenterPoint Energy  
*Contact Geoffrey Ford, 501-377-4549, 401 West Capitol Ave., Suite 600, Little Rock, AR 72201-3434.*

CenterPoint Energy has advised no adjustments are necessary .

3. Owner – First Electric Cooperative Corp.  
*Contact Randy Jones, 501-985-4570, P.O. Box 5018, Jacksonville, AR 72078.*

First Electric Cooperative Corp. has advised no adjustments are necessary.

4. Owner – AT&T Arkansas  
*Contact Ron Anderson, 479-442-3319, 1133 E. Harold Street, Fayetteville, AR 72703.*

It is anticipated that AT&T Arkansas will be issued a work order by October 30, 2020 with an estimated completion date of January 30, 2021.

5. Owner – SuddenLink Communications  
*Contact Jeff Browsers, 501-513-1797, 1421 S. 2<sup>nd</sup> St., Cabot, AR 72023.*

SuddenLink Communications has advised no adjustments are necessary.

6. Owner – Ritter Communications, Inc.  
*Contact Willie Brown, 870-358-5759, 2400 Ritter Drive, Jonesboro, AR 72403.*

Ritter Communications, Inc. has advised no adjustments are necessary.

7. Owner – Sprint Communications  
*Contact James Stuart, 918-622-9483, 1616 Maryland Road, Irving, TX 75061-3495.*

Sprint Communications has advised no adjustments are necessary.

8. Owner – CenturyLink Communications  
*Contact Tracey Warden, 501-241-6346, 2616 West Main Street, Jacksonville, AR 72076.*

It is anticipated that CenturyLink Communications will be issued a work order by October 30, 2020 with an estimated completion date of December 18, 2020.

The utility status were based on information received from the utility companies and the most current information available at this time; therefore, the information is subject to change.

In case there is a delay beyond the information as set forth above, and should such delay necessarily cause a delay in the Contractor's prosecution of the work, an equitable extension of contract time will be granted to the Contractor. No claim for extra compensation will be allowed, however, because of such delay.

An approved Highway-Utility Agreement, a letter of commitment, or other appropriate document evidencing satisfactory arrangements for the orderly removal, relocation, and/or adjustment of separately owned utility facilities located within the limits and interfering with the construction under this contract is on file with the Arkansas Department of Transportation.

**The Contractor is required to make every effort to locate buried utilities including, but not limited to, calling Arkansas One Call Center (800) 482-8998.**

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SPECIAL PROVISION**  
**LIQUIDATED DAMAGES**

As specified in the Contract, liquidated damages for this project will be as shown in the following tables:

**WORKING DAY PROJECTS**

ORIGINAL CONTRACT AMOUNT		RATE
FROM MORE THAN	TO AND INCLUDING	-----
\$ 0	\$ 50,000	\$ 400
50,000	100,000	700
100,000	500,000	800
500,000	1,000,000	1,100
1,000,000	2,000,000	1,300
2,000,000	5,000,000	1,500
5,000,000	10,000,000	1,900
10,000,000	15,000,000	2,000
15,000,000	20,000,000	2,100
20,000,000	-----	2,500

**FIXED DATE PROJECTS**

ORIGINAL CONTRACT AMOUNT		RATE
FROM MORE THAN	TO AND INCLUDING	-----
\$ 0	\$ 50,000	\$ 90
50,000	100,000	100
100,000	500,000	200
500,000	1,000,000	250
1,000,000	2,000,000	320
2,000,000	5,000,000	400
5,000,000	10,000,000	600
10,000,000	-----	750

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**CONTRACTOR'S LICENSE**

**Section 102** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The third paragraph of **Subsection 102.01, Prequalification of Bidders** is hereby deleted and the following substituted thereof:

The attention of prospective bidders is directed to Ark. Code Ann. §17-25-101 et seq., Act 150 of the 1965 Acts of Arkansas, being an "Act Regulating the Practice of Contracting in the State of Arkansas", and any subsequent amendments made thereto. When the work offered is financed in whole with State funds and is estimated to cost \$50,000 or more, the prospective bidder must show evidence of license with the Contractors Licensing Board for the State of Arkansas before being furnished with a proposal form.

The third paragraph of **Subsection 108.01, Subletting of Contract** is hereby deleted and the following substituted thereof:

It shall be the responsibility of the Contractor to determine that all parties performing work amounting to \$50,000 or more are currently licensed by the Contractors Licensing Board for the State of Arkansas.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**DEPARTMENT NAME CHANGE**

All references to the Arkansas State Highway and Transportation Department contained within the Standard Specifications for Highway Construction (Edition of 2014), the Qualified Products List, the Manual of Field Sampling and Testing Procedures, plan sheets, Supplemental Specifications, and all Special Provisions contained in this proposal are hereby deleted and replaced with the title of Arkansas Department of Transportation.

All references to AHTD contained within the Standard Specifications for Highway Construction (Edition of 2014), the Qualified Products List, the Manual of Field Sampling and Testing Procedures, plan sheets, Supplemental Specifications, and all Special Provisions contained in this proposal are hereby deleted and replaced with the abbreviation ARDOT.

All references to the Arkansas State Highway Commission contained within the Standard Specifications for Highway Construction (Edition of 2014), the Qualified Products List, the Manual of Field Sampling and Testing Procedures, the Standard Drawings, plan sheets, Supplemental Specifications, and all Special Provisions contained in this proposal remain in effect.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENTAL SPECIFICATION  
ISSUANCE OF PROPOSALS**

**Section 102** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Subsection 102.04(j)** is hereby deleted and the following is substituted therefore:

(j) If the prospective bidder is the Contractor on a current Contract with the Commission on which Liquidated Damages are being assessed, and there are no pending time extensions warranted to remove the project from Liquidated Damages.

**Subsection 102.04(k)** is hereby deleted and the following is substituted therefore:

(k) If the prospective bidder has a current Contract in default.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**WORK ALLOWED PRIOR TO ISSUANCE OF WORK ORDER**

**Section 108** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Subsection 108.02(b)(2)** is hereby deleted and the following is substituted therefore:

(2) The delivery to the Department for execution of the Contract and bonds properly executed on behalf of the Contractor and surety and the minimum 72 hours advance notice as required above shall constitute the Contractor's authority to begin the following items of work:

- Mobilization;
- Preparation of shop drawings and other required submissions;
- Ordering, fabrication, assembly, and/or stockpiling of materials;
- Driving Test Piling; and
- Contract surveying, when Roadway and/or Bridge Construction Control is included in the Contract.
- Erection of advance warning signs.
- Installation of netting on structures to prevent nesting of migratory birds in accordance with applicable Special Provisions (if included in the Contract).
- Set up, installation, and testing of Automated Work Zone Information Systems (if included in the Contract).
- Off-site area approval process per Section 107.10(c).

Such advance work shall be subject to the Contractor's assumption of the risk of cancellation of the award and the following:

- The Contractor shall, on commencing such operations, take all precautions required for public safety and shall observe all the provisions in the Contract;
- In the event of cancellation of the award, the Contractor shall at Contractor expense do such work as necessary to leave the site in a neat condition to the satisfaction of the Engineer;
- In the event of cancellation of the award, all work performed shall be deemed to be at the Contractor's expense; and
- All work done under this subsection in accordance with the Contract before its execution by the Commission will, when the Contract is executed, be considered authorized work and will be paid for as provided in the Contract.

Unless otherwise notified in writing, no time will be assessed for work performed prior to the effective date of a Work Order.

No payments will be made prior to the date established by the Engineer under Subsection 109.07, which date will be after the effective date of a Work Order.

The Contractor shall not be entitled to any additional compensation or an extension of time for any delay, hindrance, or interference caused by or attributable to commencement of work before the effective date of a Work Order.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**PROTECTION OF WATER QUALITY AND WETLANDS**

**Section 110** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is hereby added as the last paragraph of **Subsection 110.04(b)**:

On all projects let to contract after October 1, 2018, the project superintendent or supervisor (as defined in Subsection 105.06) must be certified in National Pollutant Discharge Elimination System (NPDES) through the University of Arkansas' Center for Training Transportation Professionals (CTTP). The project superintendent or supervisor must provide proof of NPDES certification before any earth disturbing activities, including clearing and grubbing, or any installation of erosion control activities are allowed to begin.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**UNCLASSIFIED EXCAVATION**

**Section 200** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is hereby added after the first paragraph of **Subsection 210.08, Excavation Operations**:

When performing excavation to construct cut slopes, the Contractor shall not excavate material below the finished slope grade. If excavation is performed more than 8 inches below the finished cut slope grade, overcut material shall be removed at no cost to the Department and replaced with clean durable stone. The stone source and gradation shall be approved by the engineer before placement. There shall be no payment for this work.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**AGGREGATE BASE COURSE**

**Section 303** of the Standard Specifications for Highway Construction, Edition 2014, is hereby amended as follows:

The second paragraph of **Subsection 303.02, Materials** is hereby deleted and the following substituted therefor:

The Contractor shall have the option of using any higher numbered class Aggregate Base Course than that specified, provided that payment will be for the class specified. Acceptance criteria shall be for the class specified. Different classes of Aggregate Base Course shall not be mixed in the same location.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**QUALITY CONTROL AND ACCEPTANCE**

**Division 300** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The first sentence of the third paragraph **Subsection 306.03 Acceptance Testing** is hereby deleted and the following substituted therefor:

If the material being furnished is crushed stone the Department will furnish the PL, LL, and PI for the material, further tests for PL, LL, and PI are waived.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**TACK COATS**

**Division 400** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 401, Prime and Tack Coats and Emulsified Asphalt in Base Course**, is hereby modified as follows:

The first sentence of **Subsection 401.03(a)** is hereby deleted and the following substituted therefore:

The surface to be treated with prime or tack coat shall be cleaned of dust, dirt, and loose or foreign material by sweeping with mechanical brooms immediately preceding the application of the prime or tack coat.

Third sentence of **Subsection 401.03(c)** is hereby deleted and the following is substituted therefore:

No dilution beyond that which is part of the emulsification process is permitted. The tack coat shall not be diluted, cut, or otherwise thinned after receipt from the manufacturer's facility.

The fifth sentence of **Subsection 401.03(c)** is hereby deleted and the following substituted therefore:

The rate of application shall be from 0.03 gallon to 0.10 gallon per square yard (0.1 L/sq m to 0.5 L/sq m) of residual asphalt as designated by the Engineer.

**Section 410, Construction Requirements and Acceptance of Asphalt Concrete Plant Mix Courses**, is hereby modified as follows:

The sixth paragraph of **Subsection 410.05** is hereby deleted and the following substituted therefore:

For foreign material, or when the time lapse between courses is more than 8 hours, the earlier course shall be cleaned and given a tack coat before placing the succeeding course. When directed, the tack coat shall be applied and paid for under Section 401. If directed by the Engineer, a tack coat shall be used even though the elapsed time has been less than 8 hours.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**DESIGN AND QUALITY CONTROL OF ASPHALT MIXTURES**

**Division 400** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 404, QUALITY CONTROL OF ASPHALT MIXTURES**, is hereby modified as follows:

The third paragraph **Subsection 404.04** is hereby deleted and the following substituted therefore:

The accepted mix design shall be field verified by the Contractor at the start of mix production or after an interruption of more than 120 calendar days. The asphalt mixture shall be verified by testing mix that has been produced through the plant using the aggregate proportions shown on the accepted mix design. Production of Department approved mix designs for placement on non-ARDOT projects may be used for mix verification. The Contractor shall notify the Engineer sufficiently in advance for Department personnel to witness all testing of this production and shall provide copies of all test results to the Department.

**Section 410, Construction Requirements and Acceptance of Asphalt Concrete Plant Mix Courses** is hereby modified as follows:

The first and second sentence of **Subsection 410.09, Acceptance of the Payment and Adjustments in Payment**, is hereby deleted and the following is substituted therefore:

- (a) General. The accepted mix design shall be verified by the Contractor at the start of mix production for that design or after an interruption of more than 120 calendar days. A maximum of 200 tons (200 metric tons) of materials may be placed on the roadway during the verification process.

**Section 411, Asphalt Concrete Plant Mix** is hereby modified as follows:

The third sentence of Subsection 411.05 (B), Acceptance is hereby amended and the following is substituted therefore:

- (b) Acceptance. The accepted mix design shall be field verified by the Contractor at the start of mix production or after an interruption of more than 120 calendar days.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**PERCENT AIR VOIDS FOR ACHM MIX DESIGNS**

**Division 400** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The fourth sentence of Paragraph 1 of **Subsection 404.01(b), Design Requirements**, is hereby deleted and the following substituted therefor:

The optimum asphalt content is the asphalt binder content at 4% Air Voids (AV).

The first bullet of Paragraph 1 is hereby deleted and the following substituted therefor:

- PG 64-22 and PG 70-22 mixes will be designed using 4% air voids;

The second sentence of Paragraph 2 of **Subsection 404.04, Quality Control of Asphalt Mixtures**, is hereby deleted and the following substituted therefor:

Adjustments to the accepted mix design to conform to actual production values without re-design of the mixture shall be based on production of the mixture at a target value of 4.0% Air Voids (AV) in specimens and an asphalt binder content not less than that specified in the accepted mix design.

Table 405-1 of **Subsection 405.03 Materials** is hereby deleted and the following substituted therefor:

<b>Table 405-1</b>		
Design Requirements for Asphalt Concrete Hot Mix Base Course		
(1-1/2" [37.5 mm])		
Control Points		
Sieve (mm)	Percent Passing (%)	
2" (50.0)	100	
1½" (37.5)	90 - 100	
1" (25.0)	90 max.	
No. 4 (4.75)	-	
No. 8 (2.36)	15 - 41	
No. 16 (1.18)	-	
No. 30 (0.60)	-	
No. 50 (0.30)	-	
No. 200 (0.075)	0 - 6	
Asphalt Binder Content	Design Value	
% Air Voids	4.0	
% VMA	11.5 – 13.0	
Minimum Water Sensitivity Ratio	80.0	
% Anti-strip	As Required	
Fines to Asphalt Ratio*	0.6 – 1.6	
Wheel Tracking Test	<u>Design Gyration</u>	<u>Maximum Rut</u>
(8000 cycles, 100 psi, 64°C)	75 & 115	0.315 in. (8.000 mm)
	160	0.197 in. (5.000 mm)
	205	0.197 in. (5.000 mm)

\*Fines to asphalt ratio shall be defined as the percent materials passing the No. 200 (0.075 mm) sieve (expressed as a percent of total aggregate weight) divided by the effective asphalt binder content.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**PERCENT AIR VOIDS FOR ACHM MIX DESIGNS**

Table 406-1 of **Subsection 406.04, Construction Requirements and Acceptance**, is hereby deleted and the following substituted therefor:

<b>Table 406-1</b>		
Design Requirements for Asphalt Concrete Hot Mix Binder Course (1" [25 mm])		
Control Points		
Sieve (mm)	Percent Passing (%)	
1½" (37.5)	100	
1" (25.0)	90 - 100	
¾" (19.0)	90 max.	
No. 4 (4.75)	-	
No. 8 (2.36)	19 - 45	
No. 16 (1.18)	-	
No. 30 (0.60)	-	
No. 50 (0.30)	-	
No. 200 (0.075)	1 - 7	
Asphalt Binder Content	Design Value	
% Air Voids	4.0	
% VMA	12.5 – 14.0	
Minimum Water Sensitivity Ratio	80	
% Anti-strip	As Required	
Fines to Asphalt Ratio*	0.6 – 1.6	
Wheel Tracking Test (8000 cycles, 100 psi, 64°C)	<u>Design Gyration</u>	<u>Maximum Rut</u>
	75 & 115	0.315 in. (8.000 mm)
	160	0.197 in. (5.000 mm)
	205	0.197 in. (5.000 mm)

\*Fines to asphalt ratio shall be defined as the percent materials passing the No. 200 (0.075 mm) sieve (expressed as a percent of total aggregate weight) divided by the effective asphalt binder content.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**PERCENT AIR VOIDS FOR ACHM MIX DESIGNS**

Table 407-1 and Table 407-2 of **Subsection 407.04, Construction Requirements and Acceptance**, are hereby deleted and the following substituted therefor:

<b>Table 407-1</b>		
Design Requirements for Asphalt Concrete Hot Mix Surface Course (1/2" [12.5 mm])		
Control Points		
Sieve (mm)	Percent Passing (%)	
3/4" (19.0)	100	
1/2" (12.5)	90 - 100	
3/8" (9.5)	90 max.	
No. 8 (2.36)	28 - 58	
No. 16 (1.18)	-	
No. 30 (0.60)	-	
No. 50 (0.30)	-	
No. 200 (0.075)	2 - 10	
Asphalt Binder Content	Design Value	
% Air Voids	4.0	
% VMA	14.0 – 16.0	
Minimum Water Sensitivity Ratio	80.0	
% Anti-strip	As Required	
Fines to Asphalt Ratio*	0.6 – 1.6	
Wheel Tracking Test (8000 cycles, 100 psi, 64°C)	<u>Design Gyration</u>	<u>Maximum Rut</u>
	75 & 115	0.315 in. (8.000 mm)
	160	0.197 in. (5.000 mm)
	205	0.197 in. (5.000 mm)

\*Fines to asphalt ratio shall be defined as the percent materials passing the No. 200 (0.075 mm) sieve (expressed as a percent of total aggregate weight) divided by the effective asphalt binder content.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**PERCENT AIR VOIDS FOR ACHM MIX DESIGNS**

**Table 407-2**

Design Requirements for Asphalt Concrete Hot Mix Surface Course (3/8" [9.5 mm])

	Control Points	
Sieve (mm)	Percent Passing (%)	
1/2" (12.5)	100	
3/8" (9.5)	90 - 100	
No. 4 (4.75)	90 max.	
No. 8 (2.36)	32 - 67	
No. 16 (1.18)	-	
No. 30 (0.60)	-	
No. 50 (0.30)	-	
No. 200 (0.075)	2 - 10	
Asphalt Binder Content	Design Value	
% Air Voids	4.0	
% VMA	15.0 – 17.0	
Minimum Water Sensitivity Ratio	80.0	
% Anti-strip	As Required	
Fines to Asphalt Ratio*	0.6 – 1.6	
Wheel Tracking Test	<u>Design Gyration</u>	<u>Maximum Rut</u>
(8000 cycles, 100 psi, 64°C)	75 & 115	0.315 in. (8.000 mm.)
	160	0.197 in. (5.000 mm)
	205	0.197 in. (5.000 mm)

\*Fines to asphalt ratio shall be defined as the percent materials passing the No. 200 (0.075 mm) sieve (expressed as a percent of total aggregate weight) divided by the effective asphalt binder content.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

### SUPPLEMENTAL SPECIFICATION

#### LIQUID ANTI-STRIP ADDITIVE

**Division 400** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 404, DESIGN AND QUALITY CONTROL OF ASPHALT MIXTURES**, is hereby modified as follows:

The following is added as the last bullet following the first paragraph of **Subsection 404.01(b), Design Requirements**:

- All ACHM mixes must contain a liquid, anti-strip additive.

**Section 409, MATERIALS AND EQUIPMENT FOR ASPHALT CONCRETE PLANT MIX COURSES**, is hereby modified as follows:

The second paragraph of **Subsection 409.02 Asphalt Binder** is hereby deleted and the following substituted therefor:

The asphalt binder for all Asphalt Concrete Hot Mixes shall contain a heat-stable, liquid anti-strip additive. The additive shall be furnished from the Qualified Products List. The additive shall not harm the completed bituminous concrete mixture and must be compatible with the aggregate and asphalt binder supplied for the project. The anti-strip additive shall be added either by an in-line blending process just before introduction of the asphalt binder to the mixer or by blending with the asphalt binder at the asphalt binder terminal. If blended at the terminal, the bill of lading accompanying the load being delivered to the hot mix asphalt plant shall include the anti-strip manufacturer's name, product name, and quantity of all anti-strip additive included in the load.

The liquid anti-strip additive shall be added at rates as indicated below:

- For ACHM mixes where the use of an anti-strip additive is required as determined by the laboratory analysis and mix design procedures, the anti-strip additive shall be added at the rate of 0.5% to 0.75% (0.05% to 0.10% for organosilane based materials) by weight of asphalt binder as determined by the laboratory analysis and laboratory mix design procedures.
- For all other mixes, the manufacturer's recommended dosage of the additive shall be used, but the rate of liquid anti-strip additive shall not be less than 0.25% (0.05% for organosilane based materials) by weight of the asphalt binder.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**DESIGN OF ASPHALT MIXTURES**

**Section 400** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is added after the first sentence of paragraph 3 **Subsection 404.01 Design of Asphalt Mixtures. (b) Design Requirements:**

Any use of recycled engine oil bottoms (REOB) or other engine oil derivatives in the manufacture or modification of a binder are strictly prohibited. Ground Tire Rubber (GTR) may be added to asphalt binder with blending of GTR into asphalt occurring only at the asphalt terminal. GTR shall be Class 80-1 ground tire rubber as defined by ASTM D5603.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**CONSTRUCTION REQUIREMENTS AND ACCEPTANCE OF  
ASPHALT CONCRETE PLANT MIX COURSES**

**Section 410, Construction Requirements and Acceptance of Asphalt Concrete Plant Mix Courses**, of the Standard Specifications for Highway Construction, Edition of 2014, is hereby modified as follows:

**Subsection 410.10 Incentives** is hereby deleted.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**DEVICES FOR MEASURING DENSITY FOR ROLLING PATTERNS**

**Section 410** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The fourth sentence of the first paragraph of **Subsection 410.08, Rolling and Density Requirements and Joints**, is hereby deleted and the following substituted therefor:

The Engineer will observe the Contractor's use of an electromagnetic surface contact device that meets ASTM D7113/D7113M or the use of a nuclear density gauge to verify that the maximum densities possible are obtained.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**PORTLAND CEMENT CONCRETE DRIVEWAY**

**Division 500, RIGID PAVEMENT**, of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 505, PORTLAND CEMENT CONCRETE DRIVEWAY**, is hereby modified as follows:

The first paragraph of **Subsection 505.02(b) Joint Filler** is hereby deleted and the following substituted therefore:

Material for joint filler shall comply with AASHTO M 213 or a Semi-Rigid Closed-Cell Polypropylene Foam, Preformed Expansion joint filler that meets ASTM D8139. Materials meeting ASTM D8139 shall be accepted on the basis of the manufacturer's certificates in accordance with these specifications and acceptable performance on the project.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

## SUPPLEMENTAL SPECIFICATION

## INCIDENTAL CONSTRUCTION

**Sections 609, 611, 617, and 618** of the Standard Specifications for Highway Construction, Edition of 2014, are hereby amended as follows:

**Subsection 609.02(c), Materials for Drop Inlets and Junction Boxes**, is hereby deleted and the following is substituted therefor:

- (c) Steel for welded steel grates and frames shall comply with ASTM A709, Grade 36 (250).

**Subsection 611.02(a)(2), Materials for Pipe Underdrains, Outlet Protectors, and Covers**, is hereby deleted and the following is substituted therefor:

- (2) **Corrugated Polyethylene Tubing.** The tubing shall be the heavy duty type and shall comply with AASHTO M 252. The tubing shall have a minimum pipe stiffness of 46 psi (3.23 kg/cm<sup>2</sup>) at 5% deflection and shall be capable of 60 percent vertical deflection in parallel plate loading without splitting or cracking when tested in accordance with ASTM D 2412.

The second sentence of **Subsection 617.02(a)(2), Materials for Steel Posts**, is hereby deleted and the following is substituted therefor:

- (2) **Steel Posts.** The steel shall comply with ASTM A709, Grade 36 (250).

**Subsection 617.02(b)(3), Materials for Terminal Anchor Posts**, is hereby deleted and the following is substituted therefor:

- (3) The steel anchor posts shall consist of structural shapes of the section shown on the plans, or as otherwise specified, and shall comply with ASTM A709, Grade 36 (250). The upper 15" (380 mm) of the anchor assembly shall be galvanized according to AASHTO M 111.

The third sentence of the third paragraph **Subsection 618.02(a), Posts for Guard Cable**, is hereby deleted and the following is substituted therefor:

- The steel shall comply with ASTM A709, Grade 36 (250).

**Subsection 618.02(d), Materials for Bolts, Nuts, and Washers**, is hereby deleted and the following is substituted therefor:

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****INCIDENTAL CONSTRUCTION**

**(d) Bolts, Nuts, and Washers.** Bolts, nuts, and washers shall conform to the plans and shall be steel complying with ASTM A 307, ASTM F3125, Grade A325, Heavy Hex, Type 1, or ASTM A449 (Heavy Hex), galvanized according to AASHTO M 232. Threads on bolts and nuts shall conform to Unified Coarse Thread Series Class 2A, ANSI B 1.1 (Metric Coarse Thread Series, ANSI B 1.13M, 6g tolerance).

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****LANE CLOSURE NOTIFICATION**

**Division 600** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 603, Maintenance of Traffic and Temporary Structures**, is hereby modified as follows:

The first sentence of the third paragraph **Subsection 603.02 (d)** is hereby deleted and the following substituted therefor:

The Contractor shall provide the Engineer with a minimum of five full business days advance, written notification of any nonemergency lane closure or lane width restriction. The first full business day shall commence at midnight on the first business day following written notification to the Engineer. This advanced notification is required to allow adequate notice for the issuance of over width load permits by the Department.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**RETROREFLECTIVE SHEETING FOR**  
**TRAFFIC CONTROL DEVICES IN CONSTRUCTION ZONES**

**Section 604** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is inserted after the first paragraph of Subsection 604.02(b):

Retroreflective sheeting used on traffic drums shall meet the requirements of ASTM D4956 for Type III or IV with the additional requirements for Reboundable Sheeting. Retroreflective sheeting for delineators shall comply with section 728.

Retroreflective sheeting shall be applied to a properly treated substrate with mechanical equipment and in a manner specified by the sheeting manufacturer. Sign material (substrate) shall be of sufficient thickness and stability to maintain a substantial, effective sign for the duration of the project. One splice will be allowed in retroreflective sheeting on sign blanks. "Left", "Right", "Distances", and "Ahead" will be allowed on signs as inserts. All letters and numerals on inserts shall be of the same size and series as those on the sign face.

## ARKANSAS DEPARTMENT OF TRANSPORTATION

## SUPPLEMENTAL SPECIFICATION

## TRAFFIC CONTROL DEVICES IN CONSTRUCTION ZONES (MASH)

**Section 604** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The first paragraph of **Subsection 604.02 Materials (a) General** is hereby deleted and the following substituted therefor:

All work zone traffic control devices used on the project, including sign supports, barricades, traffic drums equipped with flashing lights, crash cushions, and impact attenuators, manufactured after December 31, 2019, shall comply with the requirements of the Manual for Assessing Safety Hardware (MASH). Such devices manufactured on or before December 31, 2019, and successfully tested to the requirements of National Cooperative Highway Research Program (NCHRP) Report 350 or the 2009 edition of MASH, may continue to be used throughout their normal service lives. The Contractor shall furnish a certification of such compliance from the manufacturer or supplier of all work zone traffic control devices prior to using the devices on the project. The certification shall state the device meets the requirements of MASH, or in the case that the device was manufactured on or before December 31, 2019, the certification shall state the device meets the requirements of NCHRP 350 or MASH. The certification shall include a copy of the Federal Highway Administration's (FHWA) approval letter with all attachments for each device. Devices shall be fabricated and installed in accordance with the plans and with the crash testing documentation provided in the FHWA approval letter which is available at:

[http://safety.fhwa.dot.gov/roadway\\_dept/policy\\_guide/road\\_hardware/](http://safety.fhwa.dot.gov/roadway_dept/policy_guide/road_hardware/).

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**CONCRETE DITCH PAVING**

**Division 600, INCIDENTAL CONSTRUCTION**, of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 605, CONCRETE DITCH PAVING**, is hereby modified as follows:

The last sentence of **Subsection 605.03(e) Expansion Joints** is hereby deleted and the following substituted therefor:

The space shall be filled with approved joint filler complying with AASHTO M 213 or a Semi-Rigid Closed-Cell Polypropylene Foam, Prefomed Expansion joint filler that meets ASTM D8139. Materials meeting ASTM D8139 shall be accepted on the basis of the manufacturer's certification in accordance with these specifications and acceptable performance on the project.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**PIPE CULVERTS FOR SIDE DRAINS**

**Section 606** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The second paragraph of **Subsection 606.01** is hereby deleted and the following substituted therefore:

For side drains, when the type is not specified on the plans, the Contractor may furnish any of the types listed in Subsection 606.02 provided that only one type and material shall be used for all side drains of like cross-sectional shape on the project. In addition, when circular pipe is specified for a side drain the Contractor may, at no additional cost to the Department, substitute an arch pipe providing the equivalent waterway.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**Mulch Cover**

**Section 620** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

Subsection **620.02 Materials (d)** is hereby deleted and the following substituted therefore:

**(d) Mulch cover.** Shall be a mulch cover system as listed on the Department's Qualified Products List (QPL) or shall consist of straw from threshed rice, oats, wheat, barley, or rye; of wood excelsior; or of hay obtained from various legumes or grasses, such as lespedeza, clover, vetch, soybeans, bermuda, carpet sedge, bahia, fescue, or other legumes or grasses; or a combination thereof. Mulch shall be dry and reasonably free from Johnson grass or other noxious weeds, and shall not be excessively brittle or in an advanced state of decomposition. All material will be inspected and approved prior to use.

The following is inserted after Subsection **620.03 Construction Requirements (c) Seeding (3) Hydro-seeding:**

**(4) Mulch Cover.** If a mulch cover system listed on the Department's Qualified Products List (QPL) is used then the mulch cover and the seed may be incorporated into one operation.

Subsection **620.03 Construction Requirements (d)** is hereby deleted and the following substituted therefore:

**(d) Mulch Cover.** If a Mulch Cover system listed on the Department's Qualified Products List (QPL) is used then refer to the application rate listed in the QPL otherwise the mulch cover shall be applied at the rate of 4000 pounds per acre (4500 kg/ha). If the mulch cover and seed are not incorporated into one operation then apply the mulch cover immediately after seeding and spread the mulch cover uniformly over the entire area by approved power mulching equipment. When approved by the Engineer, the Contractor may use hand methods to apply mulch cover to small or inaccessible areas. If the Contractor so elects, an approved mulching machine may be used, whereby the application of mulch cover and tackifier may be combined into one operation. If this method is used, no change in application rates will be allowed. In its final position, the anchored mulch shall be loose enough to allow air to circulate, but compact enough to partially shade the ground and reduce the impact of rainfall on the surface of the soil. Care shall be taken to prevent tackifier materials from discoloring or marking structures, pavements, utilities, or other plant growth. Removal of any objectionable discoloration shall be at no cost to the Department.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENTAL SPECIFICATION**

**Mulch Cover**

The first paragraph of subsection **620.03 Construction Requirements (e)** is hereby deleted and the following substituted therefore:

**(e) Mulch Anchoring.** If a mulch cover system is selected from the Department's Qualified Products List (QPL) then no additional anchoring is needed. If a mulch cover system is not used then immediately following or during the application of mulch cover on seeded areas, the mulch shall be anchored by one of the following methods.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**FILTER SOCKS**

**Section 621** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following is added to **Subsection 621.01**:

**(p) Filter Socks.** This item shall consist of furnishing, installing, maintaining, and removing filter socks at locations indicated on the plans or as otherwise directed by the Engineer. Filter socks consist of filter media (compost or non-treated wood) encased in a three-dimensional fabric tube for the purposes of filtering silt, sediment, and other pollutants out of stormwater.

The following is added to **Subsection 621.02**:

**(o)** Compost or non-treated wood used for filter sock filter media shall be weed, disease, and pathogen free and derived from a clean source of woody organic matter. The media shall be free of any refuse, contaminants, or other materials toxic to plant growth. Test methods for the parameters shown in Table 621-2 should follow the recommendations provided in the AASHTO Standard Practice for Compost for Erosion and Sediment Control (R 51). Compost products must be supplied with a Seal of Testing Assurance (STA) by the U.S. Composting Council from the manufacturer. The Engineer may request a sample for approval prior to being used and materials must comply with all local, state, and federal regulations.

Table 621-2  
Filter Sock Media Parameters

<b>Parameters</b>	<b>Reported as (units of measure)</b>	<b>Test Method</b>	<b>Required Value</b>
pH	pH Units	AASHTO R 51	5.0-8.5
Moisture Content	%, wet weight basis	AASHTO R 51	<60%
Organic Matter Content	%, dry weight basis	AASHTO R 51	>30%
Particle Size	% passing a selected mesh size, dry weight basis	AASHTO R 51	99% passing a 2" sieve <40% passing a 3/8" sieve
Physical Contaminates (man-made inert material)	%, dry weight basis	N/A	<1%

Filter sock containment shall be produced from 5-mil-thick continuous high density polyethylene (HDPE) filament or multi-filament polypropylene (MFPP), woven or knitted into a tubular mesh netting. Openings in the mesh shall range from 1/8<sup>th</sup> to 3/8<sup>th</sup> inch. This tube shall then be filled to the specified diameter of the sock with filter media which meets the specifications outlined in Table 621-2. Filter sock fabric shall have a minimum functional longevity of 9 months.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENTAL SPECIFICATION**  
**FILTER SOCKS**

Furnish filter socks with a diameter of 8-9, 12, 18, or 24 inches in diameter in variable lengths as directed by the Engineer.

Use 2" by 2" hardwood stakes of a length which will allow them to be driven at least one foot into the soil while leaving at least 3" projecting above the sock after installation. In rocky or other difficult locations steel stakes may be used if directed by the Engineer. Sandbags may be used as necessary to anchor the filter sock for installation on paved surfaces. Placement shall be as directed by the Engineer.

The following is added to **Subsection 621.03**:

(q) Trenching of filter socks is not required but woody vegetation shall be cut at ground level or otherwise removed, and uneven or rocky surfaces shall be graded or raked to ensure the socks uniformly contact the ground. The socks shall be secured with stakes driven through the center of the devices or installed as recommended by the manufacturer. For perimeter control or on slopes, stakes shall be installed on a maximum of 10 foot centers and the ends of the socks shall be directed upslope to prevent storm water from running around the end of the sock. For ditch checks and drop inlets, stakes shall be installed on a maximum of 4 foot centers. Additional stakes may be necessary as directed by the Engineer. Filter socks may be laid end to end or overlapped according to the manufacturer's directions.

Routinely maintain the socks in good condition (including staking, anchoring, etc.) Accumulated sediment shall be removed when the sediment reaches one-half the height of the sock or as directed by the Engineer. Sediment removed shall be deposited and stabilized as described in Section 110 of the Standard Specifications for Highway Construction, Edition of 2014. Repair of or complete replacement of torn or damaged socks shall be performed as required or as directed by the Engineer. Filter socks shall be carefully removed and replaced as required to facilitate construction operations.

When the required work has been completed, the area has been stabilized, and the filter socks are no longer required as approved by the Engineer, the containment material shall be cut and the core material shall be evenly distributed on the surrounding ground area. Containment shall be removed and disposed of.

The following is added to **Subsection 621.04**:

(q) Filter Socks will be measured by the linear foot (meter) complete in place; measurement will be made along the centerline of the top of the filter sock. No payment will be made for overlap. No payment will be made for additional length beyond that approved by the Engineer.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENTAL SPECIFICATION  
FILTER SOCKS**

The following is added to **Subsection 621.05**:

(q) Filter Socks completed and accepted and measured as provided above will be paid for at the contract unit price bid per linear foot (meter) for Filter Socks, which price shall be full compensation for furnishing all materials; for installation and maintenance of filter socks; for temporarily removing and replacing filter socks as required to facilitate construction operation; for removal and disposal of the filter socks as directed; and for all labor, equipment, tools, and incidentals necessary to complete the work.

The following is added as the last Pay Item in **Subsection 621.05**:

<b>Pay Item</b>	<b>Pay Unit</b>
Filter Sock (____")	Linear Foot (Meter)

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**CONCRETE ISLAND**

**Division 600, INCIDENTAL CONSTRUCTION**, of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 632, CONCRETE ISLAND**, is hereby modified as follows:

The last sentence of the fifth paragraph of **Subsection 632.03 Construction Requirements** is hereby deleted and the following substituted therefor:

The space shall be filled with approved joint filler complying with AASHTO M 213 or a Semi-Rigid Closed-Cell Polypropylene Foam, Prefomed Expansion joint filler that meets ASTM D8139. Materials meeting ASTM D8139 shall be accepted on the basis of the manufacturer's certification in accordance with these specifications and acceptable performance on the project.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**CURBING**

**Division 600, INCIDENTAL CONSTRUCTION**, of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Section 634, Curbing**, is hereby modified as follows:

The last paragraph of **Subsection 634.02 Materials** is hereby deleted and the following substituted therefor:

Material for the joint filler shall comply with AASHTO M 213 or a Semi-Rigid Closed-Cell Polypropylene Foam, Preformed Expansion joint filler that meets ASTM D8139. Materials meeting ASTM D8139 shall be accepted on the basis of the manufacturer's certification in accordance with these specifications and acceptable performance on the project.

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****TRAFFIC CONTROL FACILITIES**

**Sections 712, 713, 714, 715, 728 and 730** of the Standard Specifications for Highway Construction, Edition of 2014, are hereby amended as follows:

**Subsection 712.02(a) Materials for Span Wire Support Pole With Foundation** is hereby deleted and the following is substituted therefor:

(a) Pole shafts shall comply with ASTM A 1011, SS, Grade 50 (345), ASTM A709, Grade 50 (345), ASTM A 595 Grade A, or ASTM A 572, Grade 50 or Grade 65. Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 712.02(c) Materials for Span Wire Support Pole With Foundation** is hereby deleted and the following is substituted therefor:

(c) Anchor base plates shall comply with ASTM A709, Grade 36 (250). Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 712.02(e) Materials for Span Wire Support Pole With Foundation** is hereby deleted and the following is substituted therefor:

(e) Hex nuts shall comply with AASHTO M 292 Grade 2H or ASTM A653 Grade 2H or ASTM A653 Grade DH or DH3 (Grade 10S or 10S3). The thread series shall correspond with that of the bolt furnished. Washers shall comply with ASTM F436. Galvanizing shall comply with AASHTO M 232 or ASTM B 695 Class 40 or 50. Nuts shall be galvanized by the same process as that of the bolts.

**Subsection 712.02(f) Materials for Span Wire Support Pole With Foundation** is hereby deleted and the following is substituted therefor:

(f) Concrete shall comply with Section 802 for Class S concrete. The Department will perform all acceptance sampling and testing at the frequencies shown for Contractor acceptance in Subsection 802.06. Reinforcing steel shall comply with Section 804 for Grade 60 steel.

The third paragraph of **Subsection 713.02 Materials for Span Wire Assembly** is hereby deleted and the following is substituted therefor:

Suitable cable ties shall be provided to suspend the traffic control cable at intervals not to exceed 18" (450 mm). Necessary eyebolts, washers, nuts, and fittings shall be galvanized steel complying with AASHTO M 232 or ASTM B695, Class 40 or 50.

**Subsection 714.02(a) Materials for Traffic Signal Mast Arm and Pole with Foundation** is hereby deleted and the following is substituted therefor:

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****TRAFFIC CONTROL FACILITIES**

(a) Poles and mast arms shall be ASTM A 1011, SS, Grade 50 (345), ASTM A709, Grade 50 (345), ASTM A 595 Grade A or ASTM A 572, Grade 50 or Grade 65. Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 714.02(c) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

(c) Anchor Base Plates shall be ASTM A709, Grade 36 (250). Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 714.02(e) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

(e) Hex nuts shall comply with AASHTO M 292 Grade 2H or ASTM A563 Grade DH or DH3 (Grade 10S or 10S3). The thread series shall correspond with that of the bolt furnished. Washers shall comply with ASTM F436. Galvanizing shall comply with AASHTO M 232 or ASTM B695, Class 40 or 50. Nuts shall be galvanized by the same process as that of the bolts.

**Subsection 714.02(f) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

(f) Clamp Plates shall be ASTM A709, Grade 36 (250). Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 714.02(g) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

(g) Flange and Gusset Plates shall be ASTM A709, Grade 36 (250). Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 714.02(h) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

(h) Clamp and Flange Bolts shall be ASTM F3125, Grade A325, Type 1, Heavy Hex with the requirements of Annex A2. Galvanizing shall comply with AASHTO M 232 or ASTM B695, Class 40 or 50.

**Subsection 714.02(i) Materials for Traffic Signal Mast Arm and Pole With Foundation** is hereby deleted and the following is substituted therefor:

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****TRAFFIC CONTROL FACILITIES**

(i) Concrete shall comply with Section 802 for Class S concrete. The Department will perform all acceptance sampling and testing at the frequencies shown for Contractor acceptance testing in Subsection 802.06. Reinforcing steel shall comply with Section 804 for Grade 60 steel.

**Subsection 715.02(c) Materials for Traffic Signal Pedestal Pole With Foundation** is hereby deleted and the following is substituted therefor:

(c) Anchor Base Plates shall be ASTM A709, Grade 36 (250). Galvanizing shall comply with AASHTO M 111, Thickness Grade 100.

**Subsection 715.02(e) Materials for Traffic Signal Pedestal Pole With Foundation** is hereby deleted and the following is substituted therefor:

(e) Hex nuts shall comply with AASHTO M 292 Grade 2H or ASTM F436 Grade DH or DH3 (Grade 10S or 10S3). The thread series shall correspond with that of the bolt furnished. Washers shall comply with ASTM F436. Galvanizing shall comply with AASHTO M 232 or ASTM B695, Class 40 or 50. Nuts shall be galvanized by the same process as that of the bolts.

The fifth and sixth paragraphs of **Subsection 728.02 Materials for Delineators** are hereby deleted and the following are substituted therefor:

Steel posts for bridge rail installation shall be a 1" x 1" x 3/16" (25 mm x 25 mm x 4.76 mm) angle weighing 1.61 pounds per foot (2.4 kg/m), and manufactured from ASTM A709, Grade 36. Length of post and spacing of holes shall be as shown on the plans.

All delineators posts shall be hot dip galvanized in accordance with ASTM A123 and all fabrication, including punching or drilling holes, shall be completed before the posts are galvanized.

The second and third paragraphs of **Subsection 730.02 Materials for Breakaway Sign Support** are hereby deleted and the following are substituted therefor:

All structural steel, except pipe posts but including base plates on pipe posts, and steel fuse plates, shall comply with AASHTO M 270 Grade 50. Pipe posts shall be structural steel complying with ASTM A 53 Grade B pipe. Steel bolted or welded to the primary support posts and not affecting the breakaway function, may be AASHTO M 270 Grade 36.

All high strength bolts, nuts, and washers shall comply with ASTM F3125, Grade A325, Type 1, Heavy Hex with the requirements of Annex A2.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**SUPPLEMENTAL SPECIFICATION**

**TRAFFIC CONTROL FACILITIES**

The third paragraph of **Subsection 730.03 Fabrication for Breakaway Sign Support** is hereby deleted and the following is substituted therefor:

All structural steel shall be galvanized after fabricating according to AASHTO M 111. All bolts, nuts, and washers shall be galvanized according to AASHTO M 232 or ASTM B695, Class 40 or 50.

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****GENERAL REQUIREMENTS FOR SIGNS**

**Section 723** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

**Subsection 723.02(b)** is hereby deleted and the following is substituted therefor:

**(b) Sign Panels.** Standard signs shall consist of a single sheet of aluminum alloy (ASTM B 209, Alloy 5052 H38) without stiffeners on the back. Minimum sign blank thickness shall be 0.080" (2.0 mm) for a sign size of 9 square feet (0.84 sq m) or less or 0.100" (2.5 mm) for a sign size greater than 9 square feet (0.84 sq m). Sign blanks shall be flat and straight and within commercial tolerances established by the aluminum industry.

Guide signs shall be fabricated using one piece extruded panels fabricated of aluminum alloy (ASTM B221, Alloy 6063 T6).

Extruded panel signs shall consist of sign panels; stringers or horizontal supporting members; necessary fasteners for assembling the units; reflective materials; letters; numerals; symbols; and border. All extrusions and fasteners shall be applied without causing objectionable projections on the sign face.

The one piece extruded aluminum panels shall be a minimum of 12" (300 mm) in width except one 6" (150 mm) panel may be used per sign face when necessary to construct the sign as shown on the plans.

All extruded panels shall be bolted together at every other hole (every 24" [610 mm]) with the faces and ends in alignment.

Single sheet and extruded panels to which reflective sheeting is to be applied shall be conversion coated as specified in ASTM B449 or ASTM B921 per the sheeting manufacturer's recommendations.

All fabrication, including cutting and punching of holes, excluding holes for demountable letters, numerals, symbols, and borders, shall be completed before conversion coating.

Sign panels shall be free of buckles, warp, dents, cockles, burrs, and defects resulting from fabrication. The surface of all sign panels shall be flat.

The Contractor shall submit a Certified Test Report to the Engineer covering the sign panels.

The first paragraph of **Subsection 723.02(c)** is hereby deleted and the following is substituted therefor:

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****GENERAL REQUIREMENTS FOR SIGNS**

**(c) Retroreflective Sheeting.** The retroreflective sheeting for signs shall comply with ASTM D4956 for Type III, IV, VIII, or IX retroreflective sheeting, except that Type IX retroreflective sheeting shall be used on all W1-6, W1-8, and OM-3 signs. ASTM D4956 Type XI sheeting shall be used on all R5-1 and R5-1a signs. All retroreflective sheeting shall have either Class 1 or Class 2 backing.

**Subsection 723.02(d)** is hereby deleted and the following is substituted therefor:

**(d) Legend.** All legend, which includes letters, numerals, symbols, arrows, and border, shall have a regular outline, be clean cut and sharp, and shall have a continuous stroke and border without ragged or torn edges.

All legend on guide signs shall be of the size shown on the plans. Legend on standard signs shall comply with the latest revision of FHWA Standard Highway Signs.

The legend on freeway main lane guide signs shall be demountable. Unless otherwise specified, the legend on all other guide signs shall be manufactured using either direct application or acrylic overlay film. All other signs shall be manufactured using standard industry processes, including silk screening, acrylic overlay film, and digital printing. Digitally printed signs shall be overlaid with a clear UV film per the sheeting manufacturer's recommendation.

All demountable legend shall be of the same manufacturer. The sign area outside the corner radius shall not be trimmed to match the border radius.

Frames for border strips, corners, shields, and legend shall be fabricated from 0.063" (1.6 mm) sheet aluminum complying with the requirements of ASTM B209, Alloy 5052-H38. Mounting holes shall be provided with the frames to permit the use of screws, bolts, rivets, or other fasteners of stainless steel, galvanized steel, or aluminum to fasten the frames to the sign face, subject to the condition that dissimilar metals shall be insulated to prevent corrosion.

The aluminum frames shall comply with Subsection 723.02(b).

All border material shall be secured from the same company that furnishes the cutout letters, numerals, etc. and shall be mounted in the same manner as the cutout letters.

Transparent colors, inks, paints, and films used in the sign manufacturing process shall be of the type and quality recommended by the manufacturer of the reflective sheeting and shall conform to red, blue, yellow, and green colors approved by the FHWA and shown in the MUTCD and FHWA Standard Highway Signs. The Contractor shall provide a sheeting manufacturer's full component system warranty, and shall provide certification that the materials used shall meet all MUTCD minimum requirements for retroreflectivity and contrast for the warranty period of the sheeting.

**ARKANSAS DEPARTMENT OF TRANSPORTATION****SUPPLEMENTAL SPECIFICATION****CHANNEL POST SIGN SUPPORT**

**Section 729** of the Standard Specifications for Highway Construction, Edition of 2014, is hereby amended as follows:

The following paragraph is added after the last paragraph of **Subsection 729.02 Materials**:

All posts used on the project, manufactured after December 31, 2019, shall comply with the requirements of the Manual for Assessing Safety Hardware (MASH). Such devices manufactured on or before December 31, 2019, and successfully tested to the requirements of National Cooperative Highway Research Program (NCHRP) Report 350 or the 2009 edition of MASH, may continue to be used throughout their normal service lives. The Contractor shall furnish a certification of such compliance from the manufacturer or supplier of all posts prior to using the devices on the project. The certification shall state the post meets the requirements of MASH, or in the case that the post was manufactured on or before December 31, 2019, the certification shall state the post meets the requirements of NCHRP 350 or MASH. The certification shall include a copy of the Federal Highway Administration's (FHWA) approval letter with all attachments for each device. Devices shall be fabricated and installed in accordance with the plans and with the crash testing documentation provided in the FHWA approval letter, which is available at:

[http://safety.fhwa.dot.gov/roadway\\_dept/policy\\_guide/road\\_hardware/](http://safety.fhwa.dot.gov/roadway_dept/policy_guide/road_hardware/).

**ARKANSAS  
STATE HIGHWAY COMMISSION**



**STANDARD SPECIFICATIONS  
FOR  
HIGHWAY CONSTRUCTION**

*EDITION OF 2014*

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***PROPOSAL DOCUMENTS  
AND  
SCHEDULE OF ITEMS***

**ARKANSAS STATE HIGHWAY COMMISSION**  
**PROPOSAL DOCUMENTS**

**PROPOSAL FOR CONSTRUCTING:**

THE PURPOSE OF THIS PROJECT IS TO INSTALL A TRAFFIC SIGNAL UPGRADE WITH RAILROAD INTERCONNECT ON HWYS. 319 AND 367 IN THE CITY OF WARD IN LONOKE COUNTY. THIS PROJECT CONSISTS OF CLEARING AND GRUBBING, REMOVAL AND DISPOSAL ITEMS, EARTHWORK, AGGREGATE BASE COURSE, ACHM BASE, BINDER, AND SURFACE COURSES, COLD MILLING ASPHALT PAVEMENT, ACHM PATCHING OF EXISTING ROADWAY, MAINTENANCE OF TRAFFIC, MINOR DRAINAGE STRUCTURES, EROSION CONTROL ITEMS, TRAFFIC SIGNAL ITEMS, PAVEMENT MARKING, AND MISC. ITEMS.

State Highway 367 & 319, Section 1 & 14, in **LONOKE** County, Arkansas, in accordance with Standard Specifications for Highway Construction, Edition of 2014; the Supplemental Specifications and Special Provisions attached hereto; and the Construction Plans on file in the Office of the State Highway Commission, designated as

Job **061457**                      **FEDERAL AID PROJECT RPD-STPU-0043(29)**

Job Name: **HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)**

said project being approximately **0.180 mile in length.**

Proposal received until 10:00 a.m. on November 4, 2020

**TO THE ARKANSAS STATE HIGHWAY COMMISSION:**

Gentlemen: By submission of your bid, you agree to the following:

It is hereby certified that a careful examination has been made of the Plans, Specifications, Supplemental Specifications, Special Provisions, and Form of Contract and the site of the work throughout its whole extent. On the basis of the Plans, Specifications, Supplemental Specifications, Special Provisions, and Form of Contract, the bidder proposes to furnish all necessary machinery, equipment, tools, labor and other means of construction, and to furnish all materials as specified, in the manner and at the time prescribed, and to finish the entire project within the time hereinafter proposed. The bidder understands that the quantities of work mentioned herein are approximate only, and are subject to increase or decrease, and hereby proposes to perform all quantities of work, whether increased or decreased, in accordance with the provisions of the Specifications, and at the unit prices bid in the attached Schedule of Items.

Receipt is hereby specifically acknowledged, and complete examination expressly guaranteed of the following:

1. Standard Specifications for Highway Construction, Edition of 2014.
2. Supplemental Specifications.
3. Special Provisions.
4. Proposal Documents.
5. Schedule of Items.
6. Construction Plans.

The bidder further proposes to perform all Extra Work that may be required, on the basis provided in the Specifications, and to give such work personal attention, and to secure economical performance.

The bidder further proposes to execute the contract agreement, and to furnish satisfactory bonds within ten days after he has received notice that he has been awarded the contract. The bidder further agrees to begin work when ordered by the Engineer, or within ten days thereafter, and to complete the work **within the number of working days bid by the bidder in accordance with the Job Special Provision “Establishing Contract Time-Working Day Contract.”**

## **PROPOSAL DOCUMENTS**

(Continued)

The bidder also proposes to furnish a surety Performance bond or bonds in a sum equal to the full amount of the contract and a surety Payment bond or bonds in a sum equal to 80% of the full amount of the contract. These bonds shall not only serve to guarantee the completion of the work and payment of all bills and claims by the bidder, but also to guarantee the excellence of both workmanship and material until the work is finally accepted and the provisions of the Plans, Specifications and Special Provisions fulfilled.

The bidder shall furnish a Proposal Guaranty in the form specified in Subsection 102.09 of the Specifications, in the amount of five percent (5%) of the total amount bid, which is submitted as a guarantee of the good faith of the proposal, and that the Bidder will enter into written contract, as provided, to do the work should the award be made to him; and it is hereby agreed that if, at any time other than as provided in Subsection 102.11 of the Standard Specifications, Withdrawal/Modification of Proposals, the bidder should withdraw his proposal, or should fail to execute the contract and furnish satisfactory bonds as herein provided, if his proposal is accepted, the Arkansas State Highway Commission, in either of such events, shall be entitled and is hereby given the right to retain the Proposal Guaranty, not as a penalty, but as liquidated damages, it being understood and agreed by the bidder that the amount of the Proposal Guaranty is a reasonable sum to be fixed as liquidated damages considering the damages the Arkansas State Highway Commission will sustain in the event of the bidder's withdrawal of his proposal, or failure to execute the contract and furnish satisfactory bonds if his proposal is accepted, and said amount is herein agreed upon and fixed as liquidated damages because of the difficulty of ascertaining the exact amount of damage that may be sustained by reason of the above set out circumstances.

Arkansas Department of Transportation  
Schedule of Items

State Job No.: 061457

Date Estimated: 6/25/2020

Job Name: HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

Date Revised:

Federal Aid Project: RPD-STPU-0043(29)

Line Number	Item Code and Description	Estimated Quantity	Unit Bid Price	Price Extension
<b>Section 01 - PROPOSAL ITEMS</b>				
0001	201 - CLEARING	5.000 STA		
0002	201 - GRUBBING	5.000 STA		
0003	202 - REMOVAL AND DISPOSAL OF CONCRETE PAVEMENT	36.000 SQYD		
0004	202 - REMOVAL AND DISPOSAL OF PIPE CULVERTS	2.000 EACH		
0005	202 - REMOVAL AND DISPOSAL OF MAILBOXES	4.000 EACH		
0006	SS&210 - UNCLASSIFIED EXCAVATION	204.000 CUYD		
0007	210 - COMPACTED EMBANKMENT	3,499.000 CUYD		
0008	SP&210 - SOIL STABILIZATION	50.000 TON		
0009	SS&303 - AGGREGATE BASE COURSE (CLASS 7)	326.000 TON		
0010	309 - PORTLAND CEMENT CONCRETE BASE (5" UNIFORM THICKNESS)	491.000 SQYD		
0011	SS&401 - TACK COAT	1,071.000 GAL		
0012	SPSS405 - MINERAL AGGREGATE IN ACHM BASE COURSE (1 1/2")	563.000 TON		
0013	SPSS405 - ASPHALT BINDER (PG 64-22) IN ACHM BASE COURSE (1 1/2") (MINIMUM BID \$120.00)	21.000 TON		
0014	SPSS406 - MINERAL AGGREGATE IN ACHM BINDER COURSE (1")	265.000 TON		
0015	SPSS406 - ASPHALT BINDER (PG 64-22) IN ACHM BINDER COURSE (1") (MINIMUM BID \$120.00)	11.000 TON		
0016	SPSS407 - MINERAL AGGREGATE IN ACHM SURFACE COURSE (1/2")	1,196.000 TON		
0017	SPSS407 - ASPHALT BINDER (PG 64-22) IN ACHM SURFACE COURSE (1/2") (MINIMUM BID \$120.00)	3.000 TON		
0018	SPSS407 - ASPHALT BINDER (PG 70-22) IN ACHM SURFACE COURSE (1/2") (MINIMUM BID \$120.00)	59.000 TON		
0019	412 - COLD MILLING ASPHALT PAVEMENT	761.000 SQYD		
0020	SPSS414 - ASPHALT CONCRETE PATCHING FOR MAINTENANCE OF TRAFFIC	5.000 TON		

Arkansas Department of Transportation  
Schedule of Items

State Job No.: 061457

Date Estimated: 6/25/2020

Job Name: HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

Date Revised:

Federal Aid Project: RPD-STPU-0043(29)

Line Number	Item Code and Description	Estimated Quantity	Unit Bid Price	Price Extension
0021	SPSS415 - ACHM PATCHING OF EXISTING ROADWAY	25.000 TON		
0022	SS&505 - PORTLAND CEMENT CONCRETE DRIVEWAY	318.080 SQYD		
0023	SP&602 - FURNISHING FIELD OFFICE	1.000 EACH		
0024	SS&603 - MAINTENANCE OF TRAFFIC	1.000 L.S.		
0025	SS&604 - SIGNS	273.000 SQFT		
0026	SS&604 - TRAFFIC DRUMS	11.000 EACH		
0027	SS&604 - TRAFFIC CONE	18.000 EACH		
0028	604 - CONSTRUCTION PAVEMENT MARKINGS	4,195.000 LF		
0029	SS&604 - VERTICAL PANELS	20.000 EACH		
0030	SS&605 - CONCRETE DITCH PAVING (TYPE B)	2.000 SQYD		
0031	606 - 18" REINFORCED CONCRETE PIPE CULVERTS (CLASS III)	171.000 LF		
0032	SS&606 - 12" SIDE DRAIN	362.000 LF		
0033	606 - 18" FLARED END SECTIONS FOR REINFORCED CONCRETE PIPE CULVERTS	3.000 EACH		
0034	606 - SELECTED PIPE BEDDING	100.000 CUYD		
0035	SS&609 - DROP INLETS (TYPE MO)	6.000 EACH		
0036	SS&609 - DROP INLETS (TYPE SPECIAL)	1.000 EACH		
0037	SS&609 - JUNCTION BOXES (TYPE E)	3.000 EACH		
0038	SS&609 - DROP INLET EXTENSIONS (4')	9.000 EACH		
0039	SS&609 - YARD DRAINS	4.000 EACH		
0040	SS&611 - 4" PIPE UNDERDRAINS	250.000 LF		
0041	615 - PAVEMENT REPAIR OVER CULVERTS (ASPHALT)	22.000 TON		
0042	SS&617 - GUARDRAIL (TYPE C)	13.000 LF		
0043	620 - LIME	2.000 TON		
0044	620 - SEEDING	1.050 ACRE		
0045	SS&620 - MULCH COVER	2.910 ACRE		
0046	620 - WATER	145.100 MGAL		
0047	621 - TEMPORARY SEEDING	1.860 ACRE		
0048	621 - SILT FENCE	1,433.000 LF		
0049	621 - SAND BAG DITCH CHECKS	88.000 BAG		
0050	621 - SEDIMENT BASIN	20.000 CUYD		

Arkansas Department of Transportation  
Schedule of Items

State Job No.: 061457

Date Estimated: 6/25/2020

Job Name: HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

Date Revised:

Federal Aid Project: RPD-STPU-0043(29)

Line Number	Item Code and Description	Estimated Quantity	Unit Bid Price	Price Extension
0051	621 - OBLITERATION OF SEDIMENT BASIN	20.000 CUYD		
0052	621 - SEDIMENT REMOVAL AND DISPOSAL	93.000 CUYD		
0053	SS&621 - FILTER SOCK (18")	429.000 LF		
0054	623 - SECOND SEEDING APPLICATION	1.050 ACRE		
0055	624 - SOLID SODDING	17.000 SQYD		
0056	626 - EROSION CONTROL MATTING (CLASS 3)	276.000 SQYD		
0057	SS&632 - CONCRETE ISLAND	13.000 SQYD		
0058	SS&634 - CONCRETE COMBINATION CURB AND GUTTER (TYPE A) (1' 6")	2,030.000 LF		
0059	635 - ROADWAY CONSTRUCTION CONTROL	1.000 L.S.		
0060	637 - MAILBOXES	4.000 EACH		
0061	637 - MAILBOX SUPPORTS (SINGLE)	4.000 EACH		
0062	SP&701 - SYSTEM LOCAL CONTROLLER TS2-TYPE 2 (8 PHASES)	1.000 EACH		
0063	SP - RAILROAD PREEMPTION INTERCONNECT SYSTEM	1.000 EACH		
0064	SP - BATTERY BACKUP SYSTEM	1.000 EACH		
0065	SP - LED BLANK OUT SIGN	1.000 EACH		
0066	SP&706 - TRAFFIC SIGNAL HEAD, LED, (3 SECTION, 1 WAY)	11.000 EACH		
0067	SP&706 - TRAFFIC SIGNAL HEAD, LED, (4 SECTION, 1 WAY)	1.000 EACH		
0068	SP&706 - TRAFFIC SIGNAL HEAD, LED, (5 SECTION, 1 WAY)	1.000 EACH		
0069	708 - TRAFFIC SIGNAL CABLE (5C/14 A.W.G.)	540.000 LF		
0070	708 - TRAFFIC SIGNAL CABLE (7C/14 A.W.G.)	213.000 LF		
0071	708 - TRAFFIC SIGNAL CABLE (12C/12 A.W.G.)	75.000 LF		
0072	708 - TRAFFIC SIGNAL CABLE (20C/14 A.W.G.)	634.000 LF		
0073	SP - ELECTRICAL CONDUCTORS-IN-CONDUIT (1C/8 A.W.G., E.G.C.)	463.000 LF		
0074	SP - ELECTRICAL CONDUCTORS-IN-CONDUIT (2C/6 A.W.G.)	65.000 LF		
0075	SP - ELECTRICAL CONDUCTORS-IN-CONDUIT (2C/12 A.W.G.)	795.000 LF		

Arkansas Department of Transportation  
Schedule of Items

State Job No.: 061457

Date Estimated: 6/25/2020

Job Name: HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

Date Revised:

Federal Aid Project: RPD-STPU-0043(29)

Line Number	Item Code and Description	Estimated Quantity	Unit Bid Price	Price Extension
0076	SP - ELECTRICAL CONDUCTORS FOR LUMINAIRES	230.000 LF		
0077	709 - GALVANIZED STEEL CONDUIT (2")	20.000 LF		
0078	710 - NON-METALLIC CONDUIT (2")	49.000 LF		
0079	710 - NON-METALLIC CONDUIT (3")	485.000 LF		
0080	711 - CONCRETE PULL BOX (TYPE 2)	1.000 EACH		
0081	711 - CONCRETE PULL BOX (TYPE 1 HD)	1.000 EACH		
0082	711 - CONCRETE PULL BOX (TYPE 2 HD)	7.000 EACH		
0083	SS&714 - TRAFFIC SIGNAL MAST ARM AND POLE WITH FOUNDATION (32')	1.000 EACH		
0084	SS&714 - TRAFFIC SIGNAL MAST ARM AND POLE WITH FOUNDATION (38')	1.000 EACH		
0085	SS&714 - TRAFFIC SIGNAL MAST ARM AND POLE WITH FOUNDATION (44')	1.000 EACH		
0086	SS&714 - TRAFFIC SIGNAL MAST ARM AND POLE WITH FOUNDATION (48')	1.000 EACH		
0087	SP - LED LUMINAIRE ASSEMBLY	4.000 EACH		
0088	SP - SERVICE POINT ASSEMBLY (2 CIRCUITS)	1.000 EACH		
0089	718 - REFLECTORIZED PAINT PAVEMENT MARKING WHITE (12")	44.000 LF		
0090	719 - THERMOPLASTIC PAVEMENT MARKING WHITE (6")	1,054.000 LF		
0091	719 - THERMOPLASTIC PAVEMENT MARKING WHITE (12")	884.000 LF		
0092	719 - THERMOPLASTIC PAVEMENT MARKING YELLOW (6")	3,532.000 LF		
0093	719 - THERMOPLASTIC PAVEMENT MARKING (WORDS)	2.000 EACH		
0094	719 - THERMOPLASTIC PAVEMENT MARKING (ARROWS)	4.000 EACH		
0095	SP&719 - THERMOPLASTIC PAVEMENT MARKING (YIELD LINE)	30.000 LF		
0096	721 - RAISED PAVEMENT MARKERS (TYPE II)	34.000 EACH		
0097	SS&726 - STANDARD SIGN	5.000 SQFT		
0098	SP - 18" STREET NAME SIGN	4.000 EACH		
0099	SS&729 - CHANNEL POST SIGN SUPPORT (TYPE U-1)	2.000 EACH		
0100	SP&733 - VIDEO DETECTOR (CLR)	7.000 EACH		

Arkansas Department of Transportation  
Schedule of Items

State Job No.: 061457

Date Estimated: 6/25/2020

Job Name: HWY. 319 RR SIG. UPGRADE & TRAFFIC SIG. (WARD) (S)

Date Revised:

Federal Aid Project: RPD-STPU-0043(29)

Line Number	Item Code and Description	Estimated Quantity	Unit Bid Price	Price Extension
0101	733 - VIDEO CABLE	1,198.000 LF	_____	_____
0102	733 - VIDEO MONITOR (CLR)	1.000 EACH	_____	_____
0103	SP&733 - VIDEO PROCESSOR, EDGE CARD (2 CAMERA)	4.000 EACH	_____	_____
0104	SP&733 - VEHICLE DETECTOR RACK (16 CHANNEL)	1.000 EACH	_____	_____
Section 01 Total:				_____

Section 02 - 18" PIPE CULVERTS ALTERNATE - BID ONE ITEM ONLY

0105 AO - 1	606 - 18" REINFORCED CONCRETE PIPE CULVERTS (CLASS III)	533.000 LF	_____	_____
0106 AO - 2	606 - 18" SMOOTH LINED POLYMER PRECOATED METALLIC COATED CORRUGATED STEEL PIPE	533.000 LF	_____	_____
Section 02 Total:				_____

Subtotal: \_\_\_\_\_

0107	601 - MOBILIZATION (UNIT BID AMOUNT MAY NOT EXCEED 5% OF SUBTOTAL)	1.000 L.S.	_____	_____
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Bid Total: \_\_\_\_\_

This job requires the bidder to establish the contract time according to the Special Provision "Establishing Contract Time - Working Day Contract".

Days \_\_\_\_\_

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENT TO PROPOSAL**  
**ANTI-COLLUSION AND DEBARMENT CERTIFICATION**

**FAILURE TO EXECUTE AND SUBMIT THIS CERTIFICATION SHALL RENDER THIS  
BID NONRESPONSIVE AND NOT ELIGIBLE FOR AWARD CONSIDERATION.**

As a condition precedent to the acceptance of the bidding document for this project, the bidder shall file this Affidavit executed by, or on behalf of the person, firm, association, or corporation submitting the bid. The original of this Affidavit shall be filed with the Arkansas Department of Transportation **at the time proposals are submitted.**

**A F F I D A V I T**

I hereby certify, under penalty of perjury under the laws of the United States and/or the State of Arkansas, that the bidder listed below has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the submitted bid for this project, is not presently barred from bidding in any other jurisdiction as a result of any collusion or any other action in restraint of free competition, and that the foregoing is true and correct.

Further, that except as noted below, the bidder, or any person associated therewith in the capacity of owner, partner, director, officer, principal investigator, project director, manager, auditor, or any position involving the administration of Federal funds:

- a. is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal, State, or Local agency;
- b. has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal, State, or Local agency within the past 3 years;
- c. does not have a proposed debarment pending; and
- d. has not been indicted, convicted, or had an adverse civil judgment rendered by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

**ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENT TO PROPOSAL  
ANTI-COLLUSION AND DEBARMENT CERTIFICATION**

**FAILURE TO EXECUTE AND SUBMIT THIS CERTIFICATION SHALL RENDER THIS  
BID NONRESPONSIVE AND NOT ELIGIBLE FOR AWARD CONSIDERATION.**

**EXCEPTIONS:**

APPLIED TO	INITIATING AGENCY	DATES OF ACTION
_____	_____	_____
_____	_____	_____
_____	_____	_____

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. Providing false information may result in criminal prosecution or administrative sanctions.

Job No. _____  F.A.P. No. _____  _____ (Date Executed)	_____ (Name of Bidder)  _____ (Signature)  _____ (Title of Person Signing)
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The following Notary Public certification is **OPTIONAL** and may or may not be completed at the contractor's discretion.

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )ss.

\_\_\_\_\_, being duly sworn, deposes and says that he is

\_\_\_\_\_ of \_\_\_\_\_  
(Title) (Name of Bidder)

and that the above statements are true and correct.

Subscribed and Sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
My commission expires: \_\_\_\_\_.

\_\_\_\_\_  
(Notary Public)

ARKANSAS DEPARTMENT OF TRANSPORTATION  
SUPPLEMENT TO PROPOSAL  
RESTRICTION OF BOYCOTT OF ISRAEL CERTIFICATION

Pursuant to Arkansas Code Annotated § 25-1-503, a public entity **shall not** enter into a contract valued at \$1,000 or greater with a company unless the contract includes a written certification that the person or company is not currently engaged in, and agrees for the duration of the contract not to engage in, a boycott of Israel.

By signing below, the Contractor agrees and certifies that they do not boycott Israel and will not boycott Israel during the remaining aggregate term of the contract.

If a company does boycott Israel, see Arkansas Code Annotated § 25-1-503.

Bid Number/Contract Number	
Description of product or service	
Contractor name	

Contractor Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENT TO PROPOSAL**  
**CERTIFICATION FOR FEDERAL-AID CONTRACTS**

The prospective contractor certifies, by signing and submitting this proposal, to the best of his or her knowledge and belief that:

1. No Federal appropriated funds have been paid or will be paid, by or on his or her behalf, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a member of Congress, an officer or employee of Congress, or any employee of a member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal-Aid contract, the prospective contractor shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities", in accordance with its instructions. (Available from Arkansas Department of Transportation, Program Management Division.)

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. This Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code.

During the period of performance of the contract, the contractor and all lower tier subcontractors must file a Form-LLL at the end of each calendar year quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any previously filed disclosure form. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure.

The prospective contractor also agrees by submitting his or her proposal that he or she shall require that the language of this Certification be included in all lower tier subcontracts which exceed \$100,000 and that all such subcontractors shall certify and disclose accordingly.

**ARKANSAS DEPARTMENT OF TRANSPORTATION**  
**SUPPLEMENT TO PROPOSAL**  
**C E R T I F I C A T I O N**

**THIS CERTIFICATION SHALL BE COMPLETED BY THE BIDDER**  
**AS PART OF THIS PROPOSAL**

The bidder \_\_\_\_\_, proposed subcontractor \_\_\_\_\_, hereby certifies that he has \_\_\_\_\_, has not \_\_\_\_\_, participated in a previous contract or subcontract subject to the equal opportunity clause, as required by Executive Orders 10925, 11114, or 11246, and that he has \_\_\_\_\_, has not \_\_\_\_\_, filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

(Currently, Standard Form 100 [EEO-1] is the only report required by the Executive Orders or their implementing regulations)

Job No. \_\_\_\_\_ (Company)

F.A.P. No. \_\_\_\_\_ By: \_\_\_\_\_

Date \_\_\_\_\_ (Title)

**NOTE:** The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.



**ARKANSAS DEPARTMENT OF TRANSPORTATION**

**CERTIFICATION STATEMENT**

**JOB 061457**

**Contractor's Certification Statement for National Pollutant Discharge Elimination System (NPDES) Construction Storm Water Permit Number ARR150000.**

All Contractors operating on the site shall have the responsibility for compliance with Section 110 of the Standard Specifications for their operations, including, but not limited to: Good housekeeping practices, spill prevention, spill reporting and clean-up, and product specific practices such as limiting the discharge of concrete waste water to areas specified in the SWPPP.

**Contractor Printed Name:** \_\_\_\_\_

**Signature:** \_\_\_\_\_ **Title:** \_\_\_\_\_

**Company Name:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Company Address:** \_\_\_\_\_

**Telephone No.:** \_\_\_\_\_ **ARDOT Job Number:** \_\_\_\_\_